# Clark County Air Pollution Control Hearing Board

Clark County Building Services

Presentation Room

August 2, 2023 at 1:30 p.m.

#### Additional material entered into the record at the

#### 8/2/2023 Hearing Board Meeting:

### CLARK COUNTY DIVISION OF AIR QUALITY PRESENTED THE REPORT BY DEPARTMENT OF ENVIRONMENT AND SUSTAINABILITY STAFF

(January 1 – May 31, 2023)

Agenda Item #7A



## REPORT BY DEPARTMENT OF ENVIRONMENT AND SUSTAINABILITY STAFF (January 1 – May 31, 2023)

#### > Planning

#### Criteria Pollutants

- O Carbon Monoxide (CO) attainment/maintenance
  - 2nd 10-year maintenance plan approved by EPA in October 2021
- Ozone (O<sub>3</sub>)
  - 1997 O<sub>3</sub> NAAQS: the Clark County nonattainment area was redesignated to attainment in February 2013. In January 2022 DAQ submitted its 2<sup>nd</sup> 10-year maintenance plan for 1997 O<sub>3</sub> NAAQS showing how the area will maintain the standard through 2033. *Under EPA review, expect approval by end of 2023*.
  - 2015 O<sub>3</sub> NAAQS: EPA reclassified the Las Vegas Valley from marginal nonattainment to moderate nonattainment on January 5, 2023. DAQ is developing a moderate O<sub>3</sub> attainment state implementation plan to show how the area will attain the 2015 O<sub>3</sub> NAAQS. Moderate attainment plan requirements include identifying and implementing Reasonably Available Control Technologies, Reasonably Available Control Measures, and any other controls determined to be necessary. Rulemaking is underway for some of the new controls we have determined to be necessary for this plan. The necessity of additional controls is still being considered. DAQ has scheduled workshops for July 10 and 13 for some of these rulemakings. *Aiming for late 2023, early 2024 submittal*

You follow the developments of this plan at:

https://www.clarkcountynv.gov/government/departments/environment\_and\_sustainability/division\_of\_air\_quality/planning/ozone\_attainment\_plan/index.php

#### O PM<sub>10</sub> - attainment/maintenance

- 2<sup>nd</sup> 10-year PM<sub>10</sub> maintenance plan is being developed.
- One of our monitoring sites is out of attainment for PM<sub>10</sub> for the 2019-2021 time period and several are out of attainment for 2020-2022. The 8 high-wind exceedances we had in 2022 contributed to these sites being out of attainment.
- DAQ is currently developing exceptional event demonstrations to exclude these exceedance days and bring these sites back into attainment.
- PM<sub>2.5</sub> attainment (the NAAQS is currently under review, and it is anticipated that EPA will lower the standard, which could present attainment challenges for Clark County).
- Nitrogen dioxide (NO<sub>2</sub>) attainment
- Sulfur dioxide (SO<sub>2</sub>) attainment
- Lead (Pb) attainment

#### Other studies:

2021 Saturation Study for NOx/VOC Limiting and Ratios. DAQ contracted NOAA to conduct a study to determine NOx/VOC limiting ratios and VOC source apportionment. Study has been completed.



- An in-house PM2.5 study with fingerprinting to identify significant sources contributing to PM2.5 in the Las Vegas Valley is underway, and Phase 1 has been completed. Phase II is scheduled for FY24.
- The VOC smoke tracer study has been initiated. Results will assist with air quality exceptional event demonstrations.

#### ■ Performance Metrics for January 1 – May 2023

- O Increment Modelling: 8 major, 48 minor source reviews
- Review/Analysis of agency air quality actions: 60

#### Classic Car Loophole

- The State Assembly Bill to close the loophole went into effect January 1, 2023.
- O DES is funding a 1-yr pilot program to assist low-income residents with smog emissions repairs.

#### Lawnmower Exchange Program

O DES is funding a lawnmower exchange program to incentivize commercial businesses to convert to electric equipment.

#### Barriers to daily operations and initiatives

- Multiple SIP deadlines
- O Staff shortages and loss of institutional knowledge due to early retirements

#### > Monitoring

- Implemented 2023 Annual Monitoring Network Plan
  - O Stations located in neighbourhoods to assess exposure levels to the general population (18 AQ stations).
  - Network characterized pollution transported into Clark County and background levels natural to Clark County.
  - Deployed Photochemical Assessment Monitoring Stations (PAMS) monitoring of ozone precursors NO<sub>2</sub> and VOCs.
  - Conducts additional ozone monitoring at Apex, Spring Mountain Youth Camp (SMYC) and Indian Springs during ozone season (April 1<sup>st</sup> – September 30<sup>th</sup>)
  - Deployed trace CO monitors at Joe Neal, Green Valley, Paul Meyer and SMYC during ozone season (April 1<sup>st</sup> September 30<sup>th</sup>).
  - Conducted special studies to assess ozone and PM<sub>2.5</sub> National Ambient Air Quality Standards.
  - Commenced County-wide monitoring study of wildfire tracers including VOCs, carbonyls, and L-glucosan.
- Optimized air monitoring network data acquisition system (DAS)
  - Optimized DAS hardware and software network wide, added in-depth calibration reports and assets tracking module.
  - Upgraded public AQ monitoring website.



#### Other studies

- Analysed data from in-house PM<sub>2.5</sub> fingerprinting study to identify significant sources contributing to PM<sub>2.5</sub> in the Las Vegas Valley, will work on final data report.
- Completed "true NO<sub>2</sub>" methods comparison study of Teledyne API T500 and N500 analysers.

#### Stationary Source Permitting

- Completed 219 stationary source permitting actions (January May)
- Issued 215 permitting actions complying with regulatory deadline (98%) and meeting the department goal of 90%
- As of May 31<sup>st</sup>, there were 1,134 active stationary source operating permits

#### Compliance: Dust Permits and Vacant Land

- Issued 1,386 dust permits from January 2023 through May 2023; averaged 4.52 days to issue a dust permit.
- Conducted 3,487 construction inspections from January 2023 through May 2023.
- As of June 8, 2023, there were 1,913 active permits with a total of 32,081.04 acres of permitted area.

#### **Compliance: Stationary Sources, Complaints and Enforcement**

- Conducted 792 stationary source inspections from January 2023 through May 2023.
- Received and responded to 373 complaints from January 2023 through May 2023; responded to 372 (99.7%) complaints within 24-hrs.
- Notices of Violation (NOVs) issued from January 2023 through May 2023:
  - o 18 for construction
  - o 21 for stationary sources
  - 4 for asbestos
- Recommended \$212,381.44 in penalties from January 2023 through May 2023. Hearing Officer levied \$166,647.00.
  - o \$43,221.44 has not yet been adjudicated.

Out of the 43 NOVs issued between January 2023 through May 2023, 11 resulted from complaints which is approximately 26%.

#### Major initiatives and barriers to daily operations

AQMS Upgrade Project: Database development project started in late 2019 to replace the outdated database system for managing dust control permitting, complaint processing, dust classes, and air quality compliance inspections for construction site, vacant land, and asbestos projects. Phases I through III of the project were completed September 2022. Phase IV proposal/SOW was submitted to purchasing department in fall of 2022, which included development of data management features for the vacant land and asbestos programs, and dust control classes. Compliance section is unable to



move forward with this project phase due to disagreements and objections from Purchasing Department on the contract terms related to proposed services to be paid on a time & materials basis.

#### > Small Business Assistance Program

- Responded to 989 requests for assistance (453 for permitting assistance and 536 for compliance assistance) from January 2023 through May 2023.
- SBAP provided the following virtual workshops:
  - Completing Annual Reports for Minor Sources 1/25/2023
  - O Gasoline Dispensing Operation (GDO) Daily Inspections 2/15/2023
  - O Compliance Boot Camp for Minor Sources 4/19/2023
  - O Permitting 101 for Minor Sources 5/17/2023
- SBAP will provide the following additional virtual workshops:
  - O Gasoline Dispensing Operation (GDO) Daily Inspections 6/21/2023
  - O Preparing for a Minor Source Permit Inspection 7/19/2023
  - O Gasoline Dispensing Operation (GDO) Daily Inspections 9/20/2023
  - O Annual Billing Checkup 10/18/2023
  - O Gasoline Dispensing Operation (GDO) Daily Inspections 11/15/2023
- The SBAP specialists work primarily from the office. We are meeting with customers with walk-in questions, visiting their businesses, and continue offering virtual contact using phone calls, emails, and WebEx.

#### > Regulations Updates

- DES is in the process of working with a consultant to develop the following new rules and controls to satisfy RACT and 15% ROP requirements for the 2015 O<sub>3</sub> Moderate Attainment SIP. AQR Section numbers are subject to change.
  - O AQR Section 100: Consumer Product & Adhesives
  - O AQR Section 101: Architectural and Industrial Maintenance (AIM) Coatings
  - O AQR Section 102: Gasoline Dispensing Facilities
  - AQR Section 103: VOC Emissions Controls for Miscellaneous Metal or Plastic Parts Coating Operations
  - AQR Section 104: VOC Emissions Controls for Industrial Cleaning Solvent Operations
  - O AQR Section 105: VOC Emissions control for Metal Solvent Degreasers
  - O AQR Section 106: VOC Emissions Controls for Graphic Art Operations
  - AQR Section 107: VOC Emissions Control for Cutback and Emulsified Asphalt Manufacturing and Use
  - AQR Section 108: VOC Emissions Controls for Industrial Adhesives Operations



DES is working with Region 9 EPA as they work through reviewing and finalizing previously submitted SIP regulations.

#### Desert Conservation Program

#### Riparian Reserve Units and Water Rights

- Continued planning efforts for the Southern Nevada Public Land Management Act (SNPLMA) Round 16 project to conduct habitat restoration on the Muddy River Reserve Unit; the restoration design has been completed; continued coordination with FEMA and adjacent landowners prior to moving forward with construction. The Desert Conservation Program is unable to move forward with this project due to obstruction from the Purchasing Department and we have initiated termination procedures to de-obligate approximately \$2.2 million.
- Submitted applications to the Nevada Division of Water Resources to update the
  place of use for Muddy River water right permits. These applications will support
  future Muddy River Reserve revegetation efforts and are currently under review.
- O Continued monthly data collection on groundwater monitoring wells.
- Continued monitoring of Muddy River parcel for non-native plant species after tamarisk treatment.
- O Completed repairs on Hillside Drive following a flood event on the Muddy River.
- Hidden Valley Ranch (Muddy River) is for sale. This property is currently under evaluation and we are coordinating with the Southern Nevada Water Authority for a potential joint acquisition effort.
- Continued monitoring of Mormon Mesa parcel for browsing and trampling by trespass cattle, and non-native plant species; a study design is under development to test herbivore deterrent to protect vegetation from herbivory – scheduled for fall.
- Continued management of vegetation and maintenance activities for enhancement of native riparian species, including treatment of non-native species.
- Collaborated with U.S. fish and Wildlife Service (USFWS) on a project to identify
  the cause of decline in screwbean mesquite trees along the Virgin River. A scope of
  work has been prepared to expand monitoring and identify mitigation options and
  was awarded in May.
- Coordinated with Clark County Regional Flood Control District and City of Mesquite to develop solutions for flood issues on Pulsipher Wash in Mesquite.
- Continued management of contract to evaluate archaeological resources that may be affected by management actions along the Muddy and Virgin rivers.
- Prepared a draft scope of work to continue nest monitoring and cowbird control on Mesquite West and Mormon Mesa. A contract was awarded and surveys began in May.
- Continued to coordinate with the Clark County Regional Flood District for development of an In-Lieu Fee Program. Coordinated with legislative staff to



introduce Senate Bill 115, to provide necessary authority to develop the In-Lieu fee Program. The bill was approved by the Nevada Legislature and signed by the Governor.

- Request to adjudicate hydrobasins 222, 223, and 224, was submitted in Q2 2020 to Nevada Division of Water Resources. Currently under review.
- Examined inventory of water rights and determined that fifty-eight (58) surface water rights and twelve (12) groundwater rights could be conveyed to the Nevada Division of Wildlife (NDOW). Board of County Commissioners approved proposed conveyance of water rights. Nevada Division of State Lands (NDSL) approved the conveyance in Q2 2022. The County recently received the signed documents from NDSL to move forward with updating the ownership of each water right with the State of Nevada Division of Water Resources.

#### Boulder City Conservation Easement (BCCE)

- O Completed a revision and update of the BCCE management plan.
- Continued coordination with utility companies based in the BCCE Energy Zone and completed annual effectiveness monitoring to ensure these projects meet desert conservation and restoration goals.
- Continued mapping and assessment of unauthorized roads and other disturbances to guide prioritization of restoration activities on the BCCE.
- Completed annual in-house effectiveness monitoring of recently restored sites and completed minor site repairs at one site that incurred low-level damages on three separate occasions.

#### Public Information, Education, And Outreach

- Ended the 2022-2023 school year with 20 school assemblies and over 60 Tortoise Talks, reaching over 4,500 students directly.
- Hosted Mojave Max assemblies for homeschool students for the first time in the history of the program.
- Facilitated the Mojave Max Emergence Contest (a total of 4,584 students entered a guess).
- Facilitated Mojave Max Emergence Contest winner announcement and field trip.
- o Facilitated multiple media interviews with both local and national news outlets.
- Hosted education tables at multiple outreach events, including the Clark County Fair and Rodeo, several County Commissioners' community events, and multiple events at the Clark County Wetlands Park.
- Expanded direct outreach to new groups, including: Senior Citizens, off-highway vehicle (OHV) event participants, Future Farmers of America students, and SafeKey after school programs.
- Adapted the Mojave Max presentation to accommodate learning by visually impaired/blind students (at the request of Nevada Blind Children's Foundation).
- Increased social media followers by 17 percent.



- Increased social media postings by 12 percent.
- Expanded social media platforms by adding TikTok and LinkedIn accounts.

#### Wild Desert Tortoise Assistance

- Published the Manuscript "Designing a Long-term Occupancy Monitoring Plan for a Cryptic Reptile" in the Journal of Herpetology.
- Performed maintenance on temporary holding pens at the tortoise holding facility; continued to care for tortoises removed from construction sites until they are cleared for translocation.
- Lead tortoise training for project partners to ensure that proper protocols were followed for all tortoise field crews.
- Presented 2 presentations at the Desert Tortoise Council Symposium detailing the work Clark County contributions to desert tortoise recovery efforts.
- Completed field work for tortoise surveys for the Eldorado post-translocation monitoring and BCCE occupancy projects.
- O Continued weekly data collection of telemetered desert tortoises on the BCCE.
- o Initiated an overhaul of the Wild Desert Tortoise Assistance hotline database.

#### Other Conservation Work

- U.S. Geological Survey continued to study rare plant propagation for this project through an interlocal agreement. Research included completion of a seed germination trial for sticky buckwheat, maintenance of Blue Diamond Cholla and white-margined penstemon plants and sticky buckwheat seed stock that were developed in previous stages, and completion of seed longevity analysis for white-margined penstemon. The second phase of this project kicked off in January to ensure continuing progress for this research. (2019-USGS-1990A).
- Received approval from the SNPLMA program to begin working on the Round 18 Rainbow Gardens Bearpoppy Restoration project; coordination and planning activities are underway. This project contains a fencing component, valued at \$2 million, that we will be unable to move forward with until issues with the Purchasing Department can be resolved.
- Received approval from the SNPLMA program to begin working on the Round 18 Piute-Eldorado Restoration project; coordination and planning activities are underway.
- Entered into a new interlocal agreement with U.S. Geological Survey to expand upon rare plant propagation and reproductive research. Activities so far include development of a work plan and data management plan and scouting for active white-margined penstemon populations.
- U.S. Department of Agriculture Agricultural Research Service completed scouting for active Las Vegas bearpoppy populations and documented plant phenology.
   Mojave bee surveys and collections for the purposes of species identification are in progress.



- University of Nevada, Las Vegas continued field studies for Phase 2 of our Riparian Plan-Pollinator Ecology research. Activities for this period included conducting pollinator identification, preservation, and photo-documentation of specimens. Data analysis was also completed.
- Ironwood Consulting submitted annual data and reports for county-wide autumn surveys of Blue Diamond cholla. Surveys started again this spring and will continue into the summer.
- Executed a new project with Ironwood Consulting for targeted rare plant and milkweed surveys. This project focuses on under-surveyed species that are being considered for coverage under the amended Multiple Species Habitat Conservation Plan (MSHCP). Survey locations were identified with input from Bureau of Land Management (BLM) and Nevada Department of Transportation (NDOT) to ensure that high priority areas are included. Activities for the period include completion of a work plan and data management plan. The surveys have also been completed, and QA/QC of the data is in progress.
- O Kicked off a new project with University of Nevada, Las Vegas to re-evaluate restoration sites throughout Clark County that are at least ten years old. The objective of the study is to analyze the effectiveness of various restoration techniques and provide results to practitioners. Activities included completion of a work plan and data management plan, requests and continuing communications with various agencies to acquire historical restoration records, and initial evaluations of potential study sites.
- Conducted the first three rounds of point count bird surveys at 20 locations within the BCCE and 29 locations throughout the riparian reserve units. Also completed the first round of surveys for the federally listed southwestern willow flycatcher.
- Installed five acoustic bat detectors at the BCCE for our in-house bat monitoring project.
- O Published the Manuscript "Mapping Low-Elevation Species Richness and Biodiversity in the Eastern Mojave Desert" in the Natural Areas Journal. Three additional manuscripts are currently under development to be submitted for publication later this year.
- Participated in the State of Eastern Mojave Desert workshop for the Eastern Mojave Conservation Collaborative, as well as attended steering committee meetings and wildlife subgroup meetings for the organization.
- Completed field work and final reporting for the implementation of Assess, Inventory, and Monitoring of Habitat protocols on the BCCE.

#### MSHCP Amendment

 Continued coordination activities with MSHCP Permittees, USFWS, and BLM to develop the MSHCP Amendment and incidental take permit application package.



- Continued to work with stakeholders and elected officials to enact federal legislation to support the MSHCP Amendment and other County initiatives.
- Completed a preliminary funding analysis to determine the total 50-year cost of implementing the MSHCP Amendment.
- Identified a need to obtain permit coverage for the federally endangered Ridgway's rail prior to the MSHCP Amendment taking effect; work with various agencies to determine the scope of covered activities; the application package is currently under development.
- Continued to conduct a variety of species surveys in support of developing and refining species distribution models for all species proposed for coverage under the MSHCP Amendment.
- Ocontinued development of a fine-scale vegetation map that will provide detailed vegetation data covering approximately 4.2 million acres within the County; collected data and photos for 450 accuracy assessment points. Received a grant under the Southern Nevada Public Lands Management Act that will fund the final phase of map development.

#### > Office of Sustainability

#### All-in Clark County Community Sustainability and Climate Action Plan

- o Adopted by BCC in April
- O Six (6) in-person engagement events / approx. total attendance: 3,360
  - Trivia Night at McMullin's
  - Electric Avenue Expo with demonstrations of vehicles, bikes, and lawn equipment, April
  - Mountains Edge Park Clean-up with Commissioner Jones & Get Outdoors Nevada
  - Earth Day Festival at Springs Preserve
  - Bioblast at Wetlands Park
  - District F Neighborhood Block Party

#### Clean Cities Designation

- Formation of Advisory Committee w/election of Chair and Vice Chair, first meeting held in April
- O Two Clean Cities stakeholder meetings, January and April
- O Lightning eMotors (medium-duty) EV Ride and Drive, January
- O The Road to Better Health webinar, February
- O Be Idle Free webinar, March
- Medium- and Heavy-Duty EV webinar, May

#### **Employee Education & Engagement:**

- O Six (6) virtual webinars / total attendance: 352
  - Vehicle Repair Program



- Urban Birds of Clark County
- Electronic Recycling
- Clean Cities: The Road to Better Health
- Clean Cities: Be Idle Free
- Clean Cities: Getting to Work
- O Six (6) in-person events and tours / total attendance: 501
  - Three Square, volunteer day
  - Southern Nevada Recycling Center, tour
  - Electronic Recycling Facility, tour
  - Lake Mead NPS, litter clean-up and invasive plant species removal
  - Electric Avenue, Clean Cities event
- O Gained 200 new employees participating in All-In Sustainability Leaders program
- Electronic Recycling Drive
  - Clark County diverted more than 28,500 pounds of end-of-life electronics from the landfill during a four (4) day recycling drive with the Blind Center of Nevada.
- Battery Recycling Program
  - Clark County has diverted more than 250 pounds of batteries from the landfill with its ongoing battery recycling program.

#### > Public Information and Outreach

#### Earned Media

- News releases. Seven news releases and two media advisories were issued from January – May 2023.
- Total mentions. DES has been mentioned and/or appeared in local media (print, radio, broadcast) approximately 20 times from January May 2023. This includes stories on local, Spanish-speaking media.

#### Social Media

- Facebook
  - Reach: 67,804 (2,200 percent increase)
  - Page visits: 1,308 (153 percent increase)
  - New likes: 33 (135 percent increase)

#### Instagram

- Reach: 2,172 (160 percent increase)
- Profile visits: 495 (66 percent increase)
- New followers: 133 (56 percent increase)

#### Twitter

- Followers: 1,579 (+40 from 2022; 2.5 percent increase)
- Mentions: 150 (year-over-year comparison: 40 percent decrease)
- Impressions: 265,400 (year-over-year comparison: 25 percent increase)

#### Promoted Social Media

o All-In Scavenger hunt (April)



Promoted social media on Facebook and Instagram

Budget: \$50
Reach: 7,136
Link clicks: 356
Cost per click: \$0.14

o Smog-Free Clark County (April)

• Promoted social media on Facebook and Twitter

Budget: \$1,000
Reach: 45,895
Link clicks: 1,972
Cost per click: \$0.28

#### Website

Total hits: 62631Unique visits: 51270

#### In-Person Outreach

- o Provided promotion and support of various All-In April events, which showcased the All-In Clark County plan adoption, including:
  - Electric Avenue, a Clean Cities Event, held at DES's main office. Attendance: 150-200.
  - Trivia Night at MacMullan's Irish Pub. Attendance: approximately 100.
  - Four All-In scavenger hunts on the Strip. Attendance: approximately 50 in total.



# Clark County Air Pollution Control Hearing Board Clark County Building Department Presentation Room 4701 West Russell Road Las Vegas, NV 89118

August 2, 2023 – 1:30 P.M.

#### Agenda

#### Note:

- Items on the agenda may be taken out of order.
- The Air Pollution Control Hearing Board may combine two (2) or more agenda items for consideration.
- The Air Pollution Control Hearing Board may remove an item from the agenda or delay discussion relating to an item at any time.
- No action may be taken on any matter not listed on the posted agenda.
- Please turn off or mute all cell phones and other electronic devices.
- Please take all private conversations outside the room.
- With a forty-eight (48) hour advance request, a sign language interpreter or other reasonable efforts to assist and accommodate persons with physical disabilities, may be made available by calling (702) 455-0354, TDD at (702) 385-7486, or Relay Nevada toll-free at (800) 326-6868, TD/TDD
- Supporting material provided to the Board members for this meeting may be requested from Sherrie Rogge, Administrative Secretary, at <a href="mailto:sherrie.rogge@clarkcountynv.gov">sherrie.rogge@clarkcountynv.gov</a> or (702) 455-0354.
  - Supporting material is also available at the Clark County Department of Environment & Sustainability, 4701 West Russell Road, 2<sup>nd</sup> Floor, Las Vegas NV 89118.
  - Supporting material is/will be available on the Department's website at:

    <a href="https://www.clarkcountynv.gov/government/departments/environment\_and\_sustainability/compliance/enforcement\_notices.php">https://www.clarkcountynv.gov/government/departments/environment\_and\_sustainability/compliance/enforcement\_notices.php</a>

Hearing Board Members Daniel Sanders, Chair

Ryan L. Dennett, Esq., Vice-Chair

Daniel Bartlett
Elspeth Cordua
Troy Hildreth
William Kremer
Lauren Rosenblatt

Deputy District Attorney Catherine Jorgenson

Air Quality Staff Marci Henson, Director MDH

Shibi Paul, Compliance & Enforcement Manager

Anna Sutowska, Air Quality Supervisor

Administrative Secretary Sherrie Rogge, Phone: 702-455-0354; Email sherrie.rogge@clarkcountynv.gov

Business Address: Clark County Department of Environment & Sustainability,

4701 W. Russell Road, 2<sup>nd</sup> Floor, Las Vegas NV 89118

#### 1. <u>CALL TO ORDER</u>

#### 2. PUBLIC COMMENT

This is a period devoted to comments by the general public about items on this agenda. No discussion, action, or vote may be taken on this agenda item. You will be afforded the opportunity to speak on individual Public Hearing Items at the time they are presented. If you wish to speak to the Board about items within its jurisdiction but not appearing on this agenda, you must wait until the "Comments by the General Public" period listed at the end of this agenda. Comments will be limited to three (3) minutes. Please step up to the speaker's podium, if applicable, clearly state your name and address and please **spell** your last name for the record. If any member of the Board wishes to extend the length of a presentation, this will be done by the Chairperson or the Board by majority vote.

#### 3. OATHS OF OFFICE

A. Daniel Bartlett (Professional Licensed Engineer)
Term of Office: 4/4/2023 through 4/3/2026

#### 4. <u>APPROVAL OF MINUTES</u>

Approval of October 5, 2022 meeting minutes. (For possible action)

#### 5. APPEAL OF HEARING OFFICER DECISION – PROPOSED SETTLEMENT

A. AHUSA SERIES 2 LLC (DCOP #53484) – NOV #9784 – On January 19, 2023, the Hearing Officer found AHUSA Series 2 LLC (AHUSA) in violation of Sections 94.13(a) and (b) of the AQRs for failure to employ Best Available Control Measures (BACM) and comply with soil stabilization standards at their site 24 hours a day, seven days a week, as identified by Air Quality Specialist Satyra George while performing routine and follow-up inspections on October 18, 26 and 27, 2022, and a follow-up inspection during a Construction Notice period on November 2, 2022 at the Inspiration at The Village construction project, located at Manor Green Lane and Corwood Green Lane, in Clark County Nevada. The Hearing Officer assessed a penalty amount of \$4,750.00. AHUSA Series 2 LLC appealed the Air Pollution Control Hearing Officer's Order.

To resolve this appeal, the parties have agreed to settle the NOV in accordance with the terms and conditions of the attached settlement agreement. The Hearing Board may approve or disapprove the settlement agreement. If a settlement agreement is approved, the Hearing Board will enter an order requiring compliance with the settlement agreement, including setting a deadline for payment of any penalty. If a settlement agreement is disapproved, the appeal will be rescheduled for a hearing at a subsequent meeting. (For possible action.)

#### 6. NEW MEMBER ORIENTATION

Presentation by Catherine Jorgenson, Deputy District Attorney (Materials to be provided at the meeting.)

#### 7. REPORT BY DEPARTMENT OF AIR QUALITY STAFF

A. General Update

### 8. <u>IDENTIFY EMERGING ISSUES TO BE DISCUSSED BY THE BOARD AT A FUTURE MEETING</u>

#### 9. PUBLIC COMMENT

A period devoted to comments by the general public about matters relevant to the Board's jurisdiction will be held. No vote may be taken on a matter not listed on the posted agenda. Comments will be limited to three (3) minutes. Please step up to the speaker's podium, if applicable, clearly state your name and address and please **spell y**our last name for the record. If any member of the Board wishes to extend the length of a presentation, this will be done by the Chairperson or the Board by majority vote.

#### 10. ADJOURNMENT

The Presentation Room is accessible to individuals with disabilities. Within forty-eight (48) hour advanced request, a sign language interpreter may be made available by contacting (702) 455-0354 or TDD (702) 385-7486 or Nevada Relay toll-free (800) 326-6868, TT/TDD. Assistive listening devices are available upon request.

This meeting has been properly noticed and posted online at: <a href="https://clarkcountynv.gov/government/departments/environment\_and\_sustainability/compliance/enforcement/notices.php">https://clarkcountynv.gov/government/departments/environment\_and\_sustainability/compliance/enforcement/notices.php</a> and Nevada Public Notice at <a href="https://notice.nv.gov/">https://notice.nv.gov/</a> and in the following location:

Clark County Operations Center, West, 4701 W. Russell Road, Las Vegas, Nevada (Principal Office)

Signature: Shibi Paul
Shibi Paul (Jul 19, 2023 08:09 PDT)

Email: shibi.paul@clarkcountynv.gov

Signature: Marci D Henson

Marci D Henson (Jul 19, 2023 09:10 PDT)

Email: marci.henson@clarkcountynv.gov



#### **Minutes**

# **Regular Meeting of the Clark County Air Pollution Control Hearing Board**

**October 5, 2022** 

# Clark County Building Services Presentation Room 4701 West Russell Road Las Vegas, NV

#### 1. CALL TO ORDER

Chair Sanders called the meeting of the Air Pollution Control Hearing Board to order at the hour of 1:33 p.m. A quorum was present and Affidavits of Posting of the agenda were provided as required by the Nevada Open Meeting Law. The Affidavits will be incorporated into the official record.

PRESENT: Daniel Sanders, Chair

Elspeth Cordua Troy Hildreth William Kremer Lauren Rosenblatt

ABSENT: Ryan L. Dennett, Vice-Chair

LEGAL COUNSEL: Catherine Jorgenson, Deputy District Attorney

DAQ STAFF: Shibi Paul, Compliance and Enforcement Manager

Anna Sutowska, Air Quality Supervisor Sherrie Rogge, Administrative Secretary

#### 2. PUBLIC COMMENT

Chair Sanders asked if there were any persons present in the audience wishing to be heard. There being no one, Chair Sanders closed the public comments.

#### 3. OATHS OF OFFICE

A. Elspeth Cordua (Lay Member)

Term of Office: 9/21/2021 through 9/20/2024

FINAL ACTION: Air Quality Supervisor Sutowska administered the oath of office to Elspeth

Cordua.

4. APPROVAL OF MINUTES OF THE APRIL 6, 2022 MEETING (For possible action)

Chair Sanders called for comments, changes, or corrections to the April 6, 2022 minutes. Being none, he called for a motion.

FINAL ACTION: It was moved by Board Member Kremer, seconded by Board Member Hildreth that the subject minutes be approved.

Motion carried by the following vote:

Voting Aye: Troy Hildreth, William Kremer, Danny Sanders

Voting Nay: None

Abstaining: Elspeth Cordua, Lauren Rosenblatt

Absent: Ryan Dennett

Air Quality Compliance and Enforcement Manager Shibi Paul brought the Board's attention to the report that was distributed highlighting the department's programmatic updates and sectional performance summaries for the period of January through August of this year. Mr. Paul asked the Board to review the report and if they had any questions to raise them when they are discussing emerging issues later in the meeting.

#### 5. APPEAL OF HEARING OFFICER DECISION (For possible action)

A. ACAK IRREVOCABLE TRUST (DCOP #55113) – NOV #9683 – On July 21, 2022, the Hearing Officer found ACAK Irrevocable Trust in violation of Sections 94.14(a)(4), 94.14(d), and 94.13(a) and (b) of the AQRs for failure to fully implement Best Available Control Measures (BACM) resulting in a fugitive dust plume to cross a property line; for allowing soil to be tracked out greater than 50 feet in length onto a paved roadway; and for failing to employ BACM and comply with soil stabilization standards 24 hours a day, seven days a week, as identified by Senior Air Quality Specialist Andrew Kirk and Air Quality Specialist Damon Lindsay while performing complaint investigations on March 7 and 8, 2022 at the Lone Mtn. and Allen construction project, located at the northwest corner of Lone Mountain Road and Allen Lane, in Clark County Nevada. The Hearing Officer assessed a penalty amount of \$6,750.00. ACAK Irrevocable Trust did not appear for the hearing and appealed the Air Pollution Control Hearing Officer's Order.

(For possible action.)

Chair Sanders asked whether there was anyone present in the audience for the appeals of the hearing officer decisions for NOV #9683 issued to ACAK Irrevocable Trust, and NOV #9701, #9704 and #9709 issued to LVBD65, LLC. No representatives were present.

Deputy District Attorney Jorgenson suggested that the Board take a recess to allow the appellants additional time to arrive.

Chair Sanders stated this would be a good time for the Board to review the report that was provided and then called for a 10-minute recess at 1:38 p.m.

RECESS: 1:38 p.m. RECONVENE: 1:50 p.m.

Chair Sanders called the meeting back to order and asked whether there was anyone present in the audience for the appeals of the hearing officer decisions for NOV #9683 issued to ACAK Irrevocable Trust, and NOV #9701, #9704 and #9709 issued to LVBD65, LLC. Seeing no one present, Chair Sanders stated that the Board would move forward with a decision on Agenda Item 5A.

Deputy District Attorney Jorgenson asked the Board to make a ruling that the appellant failed to appear, admit the NOV into the record, deny the appeal, find that the violations occurred, and assess the recommended penalty amount of \$6,750.

FINAL ACTION: It was moved by Board Member Sanders, seconded by Board Member Rosenblatt to find that the appellant failed to appear, admit NOV #9683 into the record at Air Quality's request, deny the appeal, find that the violations did occur, and assess the recommended penalty amount of \$6,750.00 for NOV #9683.

Motion carried by the following vote:

Voting Aye: Elspeth Cordua, Troy Hildreth, William Kremer, Lauren Rosenblatt, Danny

Sanders

Voting Nay: None Abstaining: None

Absent: Ryan Dennett

Deputy District Attorney Jorgenson requested that the Board take the following three appeals together (NOV #9701, #9704 and #9709) since it is the same permittee and the same project.

B. LVBD65, LLC (DCOP #54715) – NOV #9701 – On July 21, 2022, the Hearing Officer found LVBD65, LLC in violation of Sections 94.14(a)(4), 94.14(a)(3), and 94.13(a) and (b) of the AQRs for failure to fully implement Best Available Control Measures (BACM) resulting in a fugitive dust plume to cross a property line; for failing to fully implement BACM resulting in a fugitive dust plume to extend more than 100 feet; and for failing to employ BACM and comply with soil stabilization standards 24 hours a day, seven days a week, as identified by Air Quality Specialist Allan Gutierrez, Senior Air Quality Specialist Katrinka Byers, and Air Quality Supervisor David Dean while performing a complaint investigation during a Dust Advisory period on April 11, 2022, a complaint investigation during a Construction Notice period on April 12, 2022, follow-up inspections on April 13, 14 and 15, 2022, a follow-up inspection during a Dust Advisory on April 16, 2022, and a follow-up inspection during a Construction Notice period

on April 18, 2022 at the LVBD65 LLC-1 construction project, located at the southwest corner of Las Vegas Boulevard and Warm Springs Road, in Clark County, Nevada. The Hearing Officer assessed a penalty amount of \$17,000.00. LVBD65, LLC did not appear for the hearing and appealed the Air Pollution Control Hearing Officer's Order. (For possible action.)

C. LVBD65, LLC (DCOP #54715) – NOV #9704 – On July 21, 2022, the Hearing Officer found LVBD65, LLC in violation of Sections 94.14(a)(4), 94.13(a) and (b), and 94.14(d) of the AQRs for failure to fully implement Best Available Control Measures (BACM) resulting in a fugitive dust plume to cross a property line; for failing to employ BACM and comply with soil stabilization standards 24 hours a day, seven days a week; and for allowing trackout more than 50 feet on a paved surface, as identified by Air Quality Specialist Allan Gutierrez and Senior Air Quality Specialist Katrinka Byers while performing a complaint investigation during a Construction Notice period on April 21, 2022, a follow-up inspection during a Construction Notice period on April 21, 2022, a follow-up inspection during a Construction Notice period on April 22, 2022, and follow-up inspections on April 20, 25 and 26, 2022, at the LVBD65 LLC-1 construction project, located at the southwest corner of Las Vegas Boulevard and Warm Springs Road, in Clark County, Nevada. The Hearing Officer assessed a penalty amount of \$13,750.00. LVBD65, LLC did not appear for the hearing and appealed the Air Pollution Control Hearing Officer's Order.

(For possible action.)

D. LVBD65, LLC (DCOP #54715) – NOV #9709 – On July 21, 2022, the Hearing Officer found LVBD65, LLC in violation of Sections 94.14(d) and 94.13(a) and (b) of the AQRs for allowing trackout more than 50 feet on a paved surface; and for failing to employ Best Available Control Measures (BACM) and comply with soil stabilization standards 24 hours a day, seven days a week, as identified by Air Quality Specialists Allan Gutierrez and Mike Englehart, and Senior Air Quality Specialist Katrinka Byers while performing follow-up inspections on April 27, 29, 30, 2022 and May 2 and 4, 2022, and an inspection during a Construction Notice period on April 28, 2022, at the LVBD65 LLC-1 construction project, located at the southwest corner of Las Vegas Boulevard and Warm Springs Road, in Clark County, Nevada. The Hearing Officer assessed a penalty amount of \$10,750.00. LVBD65, LLC did not appear for the hearing and appealed the Air Pollution Control Hearing Officer's Order. (For possible action.)

Deputy District Attorney Jorgenson requested that the Board make a ruling that the appellant failed to appear; approve three administrative changes to include 1) replacing Exhibit T in NOV #9701 with a new copy because the original had the inspector notes cut off, 2) reflecting April 20, 2022 instead of April 21, 2022 for Exhibit J in the Table of Contents for NOV #9704; and 3) reflecting the correct inspector who took photograph 11 in Exhibit C of NOV #9709 as Michael Englehart instead of Katrinka Byers; submit the NOVs on the record, deny the appeals, find that the violations occurred, and assess the recommended penalty amounts of \$17,000 for NOV #9701, \$13,750 for NOV #9704 and \$10,750 for NOV #9709.

Chair Sanders agreed, but first asked whether any of the Board members had questions.

DISCUSSION: Board member Rosenblatt asked whether the penalty reflected the number of violations that occurred and whether it was higher because they had so many violations issued.

Ms. Jorgenson called on Anna Sutowska to provide testimony on how the penalties were calculated for these cases.

Anna Sutowska, Air Quality Supervisor, was sworn in by Chair Sanders.

Ms. Sutowska responded to questions by Ms. Jorgenson and by the board regarding the penalty calculation table and how aggravating factors work for prior NOVs. For these three cases the prior NOVs were not considered because they had not yet been adjudicated.

Board member Kremer asked Administrative Secretary Sherrie Rogge to play video #3 from April 21, 2022 in NOV #9704. Mr. Kremer commented on how egregious the violation was.

Ms. Rosenblatt then asked about a day in one of the NOVs when an inspector had been onsite and had directed someone to attend a training class. She inquired as to the kind of training Air Quality offers when violations are observed and the cost for such training.

Ms. Jorgenson called on Allan Gutierrez for questioning.

Allan Gutierrez, Air Quality Specialist II, was sworn in by Chair Sanders.

Mr. Gutierrez responded to questions by Ms. Jorgenson and by the board in regards to who is required to attend dust class, what happens if an individual doesn't attend class once directed to do so by the inspector, the cost of attending class and what happens when an inspector goes out to a site and observes additional violations.

Chair Sanders reminded the Board that they do have the ability to increase penalties.

Ms. Jorgenson requested the Board assess the recommended penalties as the cases were not presented in full.

FINAL ACTION: It was moved by Board Member Sanders, seconded by Board Member Cordua to find that the appellant failed to appear; approve the administrative change to replace Exhibit T in NOV #9701 with a new copy because the original had the inspector notes cut off; at Air Quality's request, admit the NOV into the record; deny the appeal; find that the violations did occur; and assess the recommended penalty amount of \$17,000.00 for NOV #9701.

Motion carried by the following vote:

Voting Aye: Elspeth Cordua, Troy Hildreth, William Kremer, Lauren Rosenblatt, Danny

Sanders

Voting Nay: None Abstaining: None

Absent: Ryan Dennett

FINAL ACTION: It was moved by Board Member Sanders, seconded by Board Member Cordua to find that the appellant failed to appear; approve the administrative change to reflect April 20, 2022 instead of April 21, 2022 on Exhibit J in the Table of Contents for NOV #9704; at Air Quality's request, admit the NOV into the record; deny the appeal; find that the violations did occur; and assess the recommended penalty amount of \$13,750.00 for NOV #9704.

Motion carried by the following vote:

Voting Aye: Elspeth Cordua, Troy Hildreth, William Kremer, Lauren Rosenblatt, Danny

Sanders

Voting Nay: None Abstaining: None

Absent: Ryan Dennett

FINAL ACTION: It was moved by Board Member Sanders, seconded by Board Member Cordua to find that the appellant failed to appear; approve the administrative change to reflect the correct inspector who took photograph 11 in Exhibit C of NOV #9709 was Michael Englehart and not Katrinka Byers; at Air Quality's request, admit the NOV into the record; deny the appeal; find that the violations did occur; and assess the recommended penalty amount of \$10,750.00 for NOV #9709.

Motion carried by the following vote:

Voting Aye: Elspeth Cordua, Troy Hildreth, William Kremer, Lauren Rosenblatt, Danny

Sanders

Voting Nay: None Abstaining: None

Absent: Ryan Dennett

### 6. IDENTIFY EMERGING ISSUES TO BE DISCUSSED BY THE BOARD AT FUTURE MEETINGS

Chair Sanders asked if anyone had questions for staff about the report highlighting the department's programmatic updates and sectional performance summaries covering January through August of this year that had been distributed at the beginning of the meeting.

Board Member Rosenblatt asked whether she could have some more details on two items in the Office of Sustainability section.

Compliance and Enforcement Manager Shibi Paul responded that the Office of Sustainability is a separate division of the Department of Environment and Sustainability and that he would not be able to answer those questions since he is in the Division of Air Quality, and unfortunately, a representative of the Office of Sustainability was not in attendance at the meeting.

Ms. Rosenblatt then asked about the ozone nonattainment designation and whether the area of nonattainment was by the airport.

Board Member Kremer stated that cars through tourism coming into Las Vegas definitely have an impact.

Mr. Paul explained that the transportation of vehicles coming into Clark County has contributed to ozone exceedances and hence the moderate nonattainment designation, and that several monitors throughout the Las Vegas Valley exceeded the ozone limits over the past three years. Now that the EPA designated Clark County in moderate nonattainment for ozone, Air Quality must respond by submitting a state implementation plan (SIP) to the EPA that will include, among other things, a plan to demonstrate a potential 15% reductions/Reasonable Further Progress (RFP) of ozone precursor emissions through the implementation of various emissions reduction programs. One of the items that Air Quality will be looking into is reformulated gasoline which is something that California has already implemented. Reformulated gas has less VOC emissions potential. Consumer products and Graphic Arts industry are some additional areas that Air Quality will be evaluating for potential emission reductions.

Ms. Rosenblatt asked how moderate nonattainment would affect Clark County financially.

Mr. Paul stated that Nevada could, under certain circumstances, potentially lose federal highway funding in the future if the SIP is disapproved. However, Air Quality is already working on the revised SIP to demonstrate to the EPA that we are actively seeking solutions.

Board Member Hildreth asked which monitor exceeded PM<sub>10</sub> for the 2019-2021 time period.

Mr. Paul did not have the answer to that but would get it for Mr. Hildreth from the Monitoring Section of Air Quality.

There were no emerging issues identified by the Board.

#### 7. PUBLIC COMMENT

Chair Sanders stated that he did not open and close the agenda items for the appeals. He asked Ms. Jorgenson whether he needed to re-open them for public comment.

Mr. Jorgenson stated that the public comment period does not apply to appeals of Hearing Officer Decisions as per Air Quality Regulation Section 7.

Chair Sanders asked if there were any persons present in the audience wishing to be heard. There being no one, Chair Sanders closed the public comments.

#### 8. ADJOURNMENT

Being no further business, Chair Sanders adjourned the meeting at 2:25 p.m.

Approved:			
Daniel Sanders, Chai	r	_	
Date			

# AHUSA SERIES 2 LLC (DCOP #53484) Appeal of NOV #9784

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#### SETTLEMENT AGREEMENT

The Clark County Department of Environment and Sustainability, Division of Air Quality (Air Quality), on one hand, and AHUSA Series 2 LLC, on the other hand (AHUSA, and collectively with Air Quality, referred to as the Parties and individually as Party), hereby represent and agree that the settlement of Notice of Violation #9784 (NOV), is in the best interest of the Parties, the public health and the environment, and make and enter into this settlement agreement (Agreement), as follows:

- 1. Air Quality issued Dust Control Operating Permit (DCOP) #53484 to AHUSA on February 8, 2022, for the 3.94-acre construction project named Inspirada at The Village. A Dust Mitigation Plan was submitted with the DCOP application and was incorporated into DCOP #53484, whereby AHUSA agreed to comply with the control requirements for the Best Management Practices (BMPs).
- Air Quality issued the NOV to AHUSA on December 19, 2022 for alleged violations of the Clark County Air Quality Regulations (AQRs). The penalty recommended by Air Quality in the NOV was Five Thousand Five Hundred and no/100 Dollars (\$5,500.00).
  - 3. The violation alleged in the NOV includes:
  - a. Failing to employ Best Available Control Measures and comply with soil stabilization standards 24 hours a day, seven days a week, in violation of AQR Sections 94.13(a) and (b).
- 4. AHUSA responded to the NOV by contesting both the facts and amount of the penalty. At the January 19, 2023 Air Pollution Control (APC) Hearing Officer Meeting, AHUSA was found in violation of AQR Sections 94.13(a) and (b), and ordered to pay a penalty of Four Thousand Seven Hundred Fifty and no/100 Dollars (\$4,750.00). AHUSA timely appealed the decision to the APC Hearing Board.
- 5. Air Quality and AHUSA acknowledge and agree that this Agreement is made and entered as a compromise and settlement to avoid the costs and risks associated with litigation between the Parties arising from the NOV and is made without any admission or acknowledgment of liability, damages, or error by either Party. AHUSA agrees to pay Four Thousand and no/100 Dollars (\$4,000.00) within 30 days of the date of service of the APC Hearing Board Order (**Order**) approving this Agreement. AHUSA does not need to appear at the hearing seeking approval of this Agreement.
- 6. The Parties acknowledge and agree that this Agreement constitutes and operates as a full and final resolution of the NOV and, except for a hearing before the APC Hearing Board for approval of this Agreement and issuance of the Order, is a waiver by the Parties of any further proceedings and judicial review of any administrative order or decision in such case. However, in the event that Air Quality issues any subsequent NOVs to AHUSA that include similar alleged violations as found in NOV #9784 during the two year period following the Order approving this Agreement, Air Quality may consider NOV #9784 as a past violation to calculate the appropriate recommended penalty.

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- Each Party shall bear its own fees, attorneys' fees, expenses and costs incurred in connection with all proceedings and matters related to the NOV, this Agreement, and approval of this Agreement before the APC Hearing Board.
- 8. This Agreement contains the entire agreement between the Parties relating to the subject matter herein. This Agreement supersedes any prior written or oral agreements or representations concerning the subject matter of this Agreement. This Agreement can be modified only by written agreement of the Parties.
- 9. This Agreement shall inure to the benefit of and be binding upon the Parties and their respective heirs, successors or assigns. This Agreement is not intended to create, and shall not create, any right or obligations in any person who is not a Party to the Agreement.
- 10. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one in the same agreement. Once each Party to the Agreement has executed a copy of the Agreement, the Agreement shall be considered finally executed notwithstanding that all Parties have not executed the same copy thereof.
- 11. The laws of the State of Nevada shall govern this Agreement. Venue and jurisdiction of any civil action to enforce rights under this Agreement and the Order shall lie in the Eighth Judicial District Court of the State of Nevada in and for Clark County.
- 12. Each of the Parties, on behalf of themselves as well as anyone who can claim through either Party or on either Party's behalf, waives, releases, acquits, and discharges the other Party and each of the other Party's officers, representatives, employees, affiliated individuals, affiliates entities, contractors, subcontractors, independent contractors, and agents in their individual and representative capacities from any and all manner of causes of action, suits, claims, damages, liens, expenses or costs, in law or equity, whether known or unknown, accrued or unaccrued, that a Party may have against the other Party arising from or by reason of the allegations or facts of, and defenses to, the NOV. With respect to the mutual releases set forth above, the Parties, and each of them, voluntarily and expressly waive and relinquish each and every right or benefit which they may have under any law limiting the scope of a release with respect to unknown and unsuspected claims.

By: Marci D Henson (Jun 15, 2023 11:39 PDT)  Marci Henson, Control Officer and Director	Jun 15, 2023
CLARK COUNTY DEPARTMENT OF ENVIRONMENT AND SUSTAINABILITY, DIVISION OF AIR QUALITY	
Matthew Smith, its Managing Member	Date
By: Aspect Homes LLC, its Manager	1, 14 23
AHUSA Series 2 LIC	





February 1, 2023

Via Electronic Mail and
Federal Express Overnight
Ms. Sherrie D. Rogge
Clark County Division of Air Quality – Enforcement Section
4701 W. Russell Rd. 2<sup>nd</sup> Floor
Las Vegas, Nevada 89118
sherrie.rogge@clarkcountynv.gov

Re: In the Matter of Notice of Violation #9784 Issued to AHUSA SERIES 2 LLC

Dear Ms. Rogge:

This office represents AHUSA SERIES 2 LLC. We are in receipt of the Order, dated January 25, 2023 ("Order"). Enclosed please find:

Appeal of Order setting forth in writing the reasons for the appeal.

2. A filing fee of \$140.00 via check payable to Clark County Department of Environment and Sustainability.

Please do not to hesitate to contact me with questions. Thank you.

Very truly yours,

RICE REUTHER SULLIVAN & CARROLL, LLP

1st Anthony J. DiRaimondo

Anthony J. DiRaimondo, Esq.

Enclosures

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	Attorneys for AHUSA SERIES 2 LLC
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# BEFORE THE AIR POLLUTION CONTROL HEARING BOARD CLARK COUNTY, NEVADA

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#9784
Issued to
AHUSA SERIES 2 LLC, Respondent

In the Matter of the Notice of Violation

AHUSA SERIES 2 LLC'S APPEAL OF ORDER, DATED JANUARY 5, 2023

AHUSA SERIES 2 LLC ("AHUSA"), by and through its undersigned counsel, hereby files this Appeal of the Clark County Department of Environment and Sustainability, Division of Air Quality's ("Division") Order, dated January 25, 2023 ("Order").

#### I. INTRODUCTION AND RELEVANT BACKGROUND

On December 19, 2022, the Division issued a Notice of Violation #9784 ("NOV #9784") to AHUSA with a recommended civil penalty of \$5,500.00. On January 19, 2023, a hearing was held before the Division's Air Quality Board. Representing AHUSA at the hearing was Mickey Stratton, the Permittee's "Responsible Person", and Dwayne Rowe, AHUSA's site superintendent. At that hearing, the Division determined that AHUSA shall pay a reduced penalty of \$4,750.00. However, the Division's determination fails on the most basic legal grounds because it did not apply the prescribed standards and methodologies set forth in its own regulations. Further, the Division's

decision is also wholly unsupported by the evidence in the record and/or arbitrary and capricious, which renders it clearly erroneous and requiring reversal on this separate basis.

Specialist ("AQS"), which occurred on: (i) October 18, 2022, (ii) October 26, 2022, (iii) October 27, 2022, (iv) November 2, 2022, and (v) November 3, 2022 (a copy of each inspection report, among other things, was attached to NOV#9784). On each inspection date, except for November 3, 2022, the AQS notified AHUSA of its finding of Non-Compliance and after each notification, AHUSA proceeded to remedy the alleged violation. True and correct copies of the Ecotec Invoices for October and November 2022 (with service dates) sent to AHUSA's affiliate, Aspect Homes, are attached here as Exhibit "1." On November 3, 2022, the AQS notified AHUSA that the project was in compliance with the Air Quality Regulations ("AQR"). Indeed, this is confirmed by the Construction Site Inspection Report No. 110827 attached as Exhibit "N" to the NOV #9784: "Inspector Notes: I conducted a Follow-Up Inspection and observed rain on-site creating damp soils. I spoke with Dwayne Rowe, Superintendent, and informed him the site is back in compliance with Air Quality Regulations."

#### II. <u>ARGUMENT</u>

NRS 233B.135 requires reversal of agency action where it has been done "[i]n violation of ... statutory provisions," "upon unlawful procedure," or otherwise "affected by other error of law." NRS 233B.135(3)(a), (c), (d).

Further, agency action may be set aside where it is determined to be arbitrary and capricious. NRS 233B.135(3)(f). The Supreme Court of Nevada has stated that "[w]here an agency's decision is challenged as arbitrary and capricious, this court will uphold the decision if it is supported by evidence that a reasonable mind might accept as adequate." Desert Palace, Inc. v. Nevada Gaming Comm'n, 130 Nev. 1170 (2014) (citing United Exposition Serv. Co. v. State Indus. Ins. Sys., 109 Nev. 421, 423–24, 851 P.2d 423, 424–25 (1993)). Conversely, an agency action is arbitrary or capricious if the decision is "baseless' or 'despotic' and 'a sudden turn of mind without apparent

<sup>&</sup>lt;sup>1</sup> The Ecotec Invoices were presented at the time of the January 19<sup>th</sup> Hearing, but are attached here for purposes of convenience of reference.

motive; a freak, whim, mere fancy." City of Reno v. Estate of Wells, 110 Nev. 1218, 1222, 885 P.2d 545, 548 (1994) (internal citations and quotations omitted).

Similarly, while courts may not substitute their own judgment for that of the agency concerning the weight of evidence or questions of fact, it is well settled that agency action is deemed subject to reversal where found to be "[c]learly erroneous in view of the reliable, probative and substantial evidence on the whole record." NRS 233B.135(e).

For the reasons discussed below, the Division's Order is replete with reversible error on both legal and factual grounds.

# A. The Division Failed to Employ Appropriate Methodology—Soil Crust Determination (Ball Drop Test) Pursuant to AQR § 94.15.5

The inspection report for each inspection date and related Notice of Noncompliance was issued to AHUSA for the violation was for Soil Stabilization and related Air Quality Requirements (AQR § 94.12) and not Emissions Requirements (AQR § 94.14). (See, e.g., NOV #9784, Exhibit D.) This is significant because AQR § 94.15 prescribes the appropriate Test Methods; specifically, § 94.15.5—Soil Crust Determination (Ball Drop Test) sets forth the acceptable methodology for determining soil stability. Indeed, none of the five (5) inspection reports include any indication whatsoever that such a methodology was employed in regard to the AQS's decision concerning soil stabilization at the subject site. This absence is beyond concerning; it is fatal and requires reversal.

Further, AQR § 94.15.5(d) provides:

At any given site, the existence of a sufficient crust covering one portion of the site may not represent the existence or protectiveness of a crust on another portion of the site. Repeat the soil crust test as often as necessary on each portion of the overall site using the random selection method set forth in AQR Section 90.4.1.1(b) for an accurate assessment.

This prescribed standard also was not adhered to. The record for this matter is simply devoid of any indication whatsoever that such a random selection method was appropriately employed.<sup>2</sup> This, too, constitutes reversible error.

<sup>&</sup>lt;sup>2</sup> By way of further background, at no time during any site visit by the AQS did AHUSA's representatives witness the AQS employ any ball drop test, nor did the AQS ever mention a ball drop test until the November 3<sup>rd</sup> inspection. The AQS did threaten to conduct a drop ball test, but

# B. The Division's Decision is Arbitrary and Capricious and/or Not Supported by Substantial Evidence

Contrary to the Division's ultimate conclusion, the ten (10) photographs attached to NOV #9784 show workers were on site using a water hose on dry areas throughout the work day and moisture and equipment tracks consistent with moist soil (as opposed to the fine silty soil on the site). This is plainly visible in each photo:

- Photo # 1 showing moist, discolored soil appears to the right side of the photo;
- Photo #2 showing water hose to the left of the photo (there is no other reason to have a
  hose on site during framing other than to wet soil);
- Photo #3 the area in the roadway is visibly moistened, evidenced by clumping and discoloration;
- Photo #4 the soil appears loose, but not dry;
- Photo #5 please take notice of the color of the soil at the top of the photo, as well as the
  pile of sand in the upper right corner. The reason the middle of the area appears dry is
  because the stucco crews were tracking that sand through that area to the area where they
  were working;
- Photo #6 the soil is loose, but not dry;
- Photo #7 The area in the left portion of the photo is what dry loose soil looks like (this is because stucco workers had been walking in the area). By contrast, there is darker, moist soil under the scaffolding;
- Photo #8 please take notice of the Bobcat tracks through the middle and the moist area in the lower left area of the photo;
- Photos #9 & #10 This, again, is soil that is loose, but not dry. As indicated on the November 2, 2022 Notice of Violation, the wind speed that day was 20 to 24 mph with gust

the rainy conditions that day prohibited it. The AQS did not proceed with the drop ball test on November 3<sup>rd</sup>, left the site, and never returned. Again, it was after this visit that AHUSA was told the site was back in compliance with the AQR, which is confirmed by the Inspector Notes to Construction Site Inspection Report No. 110827.

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of up to 30 mph. If this soil was loose, one would see plumes of dust in the air and AHUSA would have also received an Emissions violation (which did not occur).

The above demonstrates that there was not sufficient evidence of dry, loose, or powdery soils at the subject construction site. To the contrary, the photographic evidence shows AHUSA's good faith efforts to routinely and repeatedly keep soil levels at appropriate levels of moisture. Further, it must be noted that the subject photographs were taken at isolated points in time, which simply cannot capture the routine and repeated efforts of AHUSA to achieve compliance with the applicable regulations. It is undisputed that the Division did not conduct monitoring of the subject construction site on a 24/7 basis (nor does the County have such resources to do so). The random photograms at isolated points in time simply fail to account for the reality of the situation.

Lastly, as discussed at the January 19th Hearing, it bears repeating that as of the time of each inspection date, all street, sidewalks and curb and gutter had been installed on the site. All perimeter block walls had been installed. Foundations for all houses had been poured and scaffolding was in place on seven (7) of the remaining homes under construction, enabling crews to prep and apply stucco to the exterior of the homes. The remaining five homes were being framed.

Lot sizes for the twelve (12) homes under construction at this point in time ranged from 4,290 square feet to 7,000 square feet (the largest lot being Lot 28, which AHUSA also used as a staging area for lumber storage and sand used for stucco because it has a large accessible side yard). There is only five (5) feet of space on the sides of each foundation (except Lot 28) and the only exposed dirt on the site is located in the narrow area of each lot surrounding the foundation and not covered by lumber or sand. Given the limited space on the site, the use of a water truck was prohibitive as a dust mitigation tool. Accordingly, AHUSA had its crew use water hoses to dampen the soil in order to comply with dust mitigation requirements throughout the day.

Despite the ineffectiveness of a water truck given the subject construction site's percentage of completion, AHUSA still proceeded to have its environmental contractor visit the site with a water truck to satisfy the AQS, even though using water hoses was more effective for dampening

17.

the site and controlling dust. (See Ecotec Invoices for October and November 2022, Ex. 1.)<sup>3</sup> AHUSA believed it was going above and beyond to show the AQS that AHUSA was acting in good faith to achieve compliance. This is supported by the fact that, on November 3, 2022, the AQS notified AHUSA that the project was in compliance with the Air Quality Regulations. See also Construction Site Inspection Report No. 110827 attached as Exhibit "N" to the NOV #9784: "Inspector Notes: I conducted a Follow-Up Inspection and observed rain on-site creating damp soils. I spoke with Dwayne Rowe, Superintendent, and informed him the site is back in compliance with Air Quality Regulations."

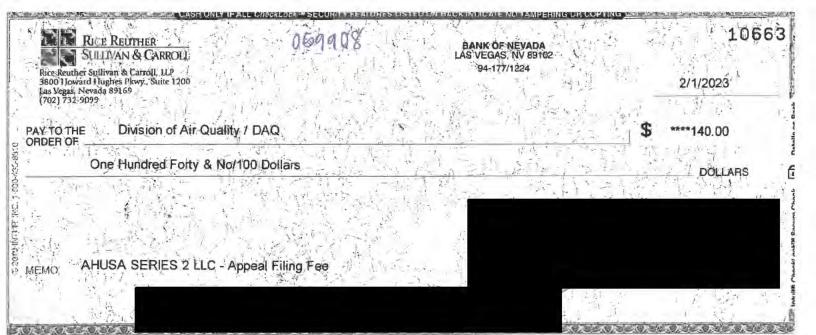
#### III. CONCLUSION

Based on the foregoing, it is respectfully requested that the Order be reversed and vacated. DATED this 1st day of February, 2023.

By: /s/ Anthony J. DiRaimondo

David A. Carroll, Esq. (NSB #7643)
Anthony J. DiRaimondo, Esq. (NSB #10875)
Robert E. Opdyke, Esq. (NSB #12841)
RICE REUTHER SULLIVAN & CARROLL, LLP
3800 Howard Hughes Parkway, Suite 1200
Las Vegas, Nevada 89169
Attorneys for AHUSA SERIES 2 LLC

<sup>&</sup>lt;sup>3</sup> Additionally, when the site was being graded and before paving, AHUSA had multiple water trucks on site every day. During that time, no AQS or anyone else from the Division ever visited the site to monitor dust control efforts. Site clearing and grading began on July 2021 and, as AHUSA was nearing completion of the entire community, on or about October 17, 2022, the AQS visited the site for the first time and made contact with an AHUSA representative.



RICE REUTHER SULLIVAN & CARROLL, LLP

10663

2/1/2023

Division of Air Quality / DAQ

Invoice Date Invoice No.

Description

Matter ID

Amount

2/1/2023

AHUSA SERIES 2 LLC - Appeal Filing Fee

\$140.00

RICE REUTHER SULLIVAN & CARROLL, LLP

10663

2/1/2023

Division of Air Quality / DAQ

Invoice Date

Invoice No.

Description

Matter ID

Amount

2/1/2023

AHUSA SERIES 2 LLC - Appeal Filing Fee

\$140.00



February 2, 2023

Via Electronic Mail and
Federal Express Overnight
Ms. Sherrie D. Rogge
Clark County Division of Air Quality – Enforcement Section
4701 W. Russell Rd., 2<sup>nd</sup> Floor
Las Vegas, Nevada 89118
<a href="mailto:sherrie.rogge@clarkcountynv.gov">sherrie.rogge@clarkcountynv.gov</a>

Re: In the Matter of Notice of Violation #9784 Issued to AHUSA SERIES 2 LLC

Dear Ms. Rogge:

This office represents AHUSA SERIES 2 LLC. I apologize for the piecemeal correspondence. In addition to the package I sent yesterday (which included a corrected check per your instructions), please find enclosed form REQUEST FOR HEARING BEFORE THE CLARK COUNTY AIR POLLUTION CONTROL HEARING BOARD - Appeal of Hearing Officer's Order.

Please do not to hesitate to contact me with questions. Thank you.

Very truly yours,

RICE REUTHER SULLIVAN & CARROLL, LLP

1st Anthony J. DiRaimondo

Anthony J. DiRaimondo, Esq.

Enclosure



# REQUEST FOR HEARING BEFORE THE CLARK COUNTY AIR POLLUTION CONTROL HEARING BOARD

## Appeal of Hearing Officer's Order

Date of A	Appeal: February 1, 2023	<u>.</u>
_	(Must be within 10 days	of receipt of Hearing Officer Order)
Notice of	f Violation # 9784 Hearin	ng Date: January 19, 2023
Hearing (	Officer: Lona Webb	
Name, a	ddress, telephone number of Ap	ppellant:
Name:	AHUSA SERIES 2 LLC	
Address:	(Please print) c/o Anthony J. DiRaimondo, 3800 H	loward Hughes Pkwy # 1200, Las Vegas 89169
Telephor	702-697-6109	Fax: 702-732-7110
	adiraimondo@rrsc-law.c	om
Other pe	erson or persons authorized to r	receive service of notice:
Name:	N/A	
Address:	(Please print) :	
Telephor		Fax:
Email:		
	husiness or activity and location	n of activity involved in the request:
	lopment/Construction	Tor dotterty involved in the request.
Deven		
Reason	for appeal: Facts alleged	Penalty assessed Both
Provide a	a detailed explanation of the reaso	— · · —
		convenicence of reference.

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An application filing fee of \$140.00 must accompand non-refundable. Please make check payable to D mail to 4701 W. Russell Road, Suite 200, Las Vega	ivision of Air Quality or DAQ a
	4,
e appellant or a representative of the appellant must be pranswer any questions by the Air Pollution Control Hearing y supporting documentation with this form for distembers.	g Board Members. Please inclu
ffirm that all statements made on this application are knowledge.	true and complete to the bes
nature: Mty O1 DWW	
nted Name: Anthony J. DiRaimondo	
e: Attorney for AHUSA SERIES 2 LLC	
R OFFICE USE ONLY	
R OFFICE USE ONLY  Dilication Received on	

Revised 4/17/2020

1	David A. Carroll, Esq. (NSB #7643)
- 1	dearroll@rrse-law.com
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4	RICE REUTHER SULLIVAN & CARROLL, LLP
• 1	3800 Howard Hughes Parkway, Suite 1200
5	Las Vegas, Nevada 89169
ا ـ	Telephone: (702) 732-9099
6	Facsimile: (702) 732-7110
7	Attorneys for AHUSA SERIES 2 LLC
_ ′	
8	
	BEFORE THE AIR POLLUTION CONTROL HEARING BOARD
9	
.	CLARK COUNTY, NEVADA
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\* \* \*

In the Matter of the Notice of Violation #9784

Issued to AHUSA SERIES 2 LLC, Respondent

AHUSA SERIES 2 LLC'S APPEAL OF ORDER, DATED JANUARY 5, 2023

AHUSA SERIES 2 LLC ("AHUSA"), by and through its undersigned counsel, hereby files this Appeal of the Clark County Department of Environment and Sustainability, Division of Air Quality's ("Division") Order, dated January 25, 2023 ("Order").

#### I. INTRODUCTION AND RELEVANT BACKGROUND

On December 19, 2022, the Division issued a Notice of Violation #9784 ("NOV #9784") to AHUSA with a recommended civil penalty of \$5,500.00. On January 19, 2023, a hearing was held before the Division's Air Quality Board. Representing AHUSA at the hearing was Mickey Stratton, the Permittee's "Responsible Person", and Dwayne Rowe, AHUSA's site superintendent. At that hearing, the Division determined that AHUSA shall pay a reduced penalty of \$4,750.00. However, the Division's determination fails on the most basic legal grounds because it did not apply the prescribed standards and methodologies set forth in its own regulations. Further, the Division's

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decision is also wholly unsupported by the evidence in the record and/or arbitrary and capricious, which renders it clearly erroneous and requiring reversal on this separate basis.

Specifically, NOV #9784 encompasses five (5) site inspections by the Division's Air Quality Specialist ("AQS"), which occurred on: (i) October 18, 2022, (ii) October 26, 2022, (iii) October 27, 2022, (iv) November 2, 2022, and (v) November 3, 2022 (a copy of each inspection report, among other things, was attached to NOV#9784). On each inspection date, except for November 3, 2022, the AQS notified AHUSA of its finding of Non-Compliance and after each notification, AHUSA proceeded to remedy the alleged violation. True and correct copies of the Ecotec Invoices for October and November 2022 (with service dates) sent to AHUSA's affiliate, Aspect Homes, are attached here as Exhibit "1." On November 3, 2022, the AQS notified AHUSA that the project was in compliance with the Air Quality Regulations ("AQR"). Indeed, this is confirmed by the Construction Site Inspection Report No. 110827 attached as Exhibit "N" to the NOV #9784: "Inspector Notes: I conducted a Follow-Up Inspection and observed rain on-site creating damp soils. I spoke with Dwayne Rowe, Superintendent, and informed him the site is back in compliance with Air Quality Regulations."

#### II. **ARGUMENT**

NRS 233B.135 requires reversal of agency action where it has been done "[i]n violation of ... statutory provisions," "upon unlawful procedure," or otherwise "affected by other error of law." NRS 233B.135(3)(a), (c), (d).

Further, agency action may be set aside where it is determined to be arbitrary and capricious. NRS 233B.135(3)(f). The Supreme Court of Nevada has stated that "[w]here an agency's decision is challenged as arbitrary and capricious, this court will uphold the decision if it is supported by evidence that a reasonable mind might accept as adequate." Desert Palace, Inc. v. Nevada Gaming Comm'n, 130 Nev. 1170 (2014) (citing United Exposition Serv. Co. v. State Indus. Ins. Sys., 109 Nev. 421, 423–24, 851 P.2d 423, 424–25 (1993)). Conversely, an agency action is arbitrary or capricious if the decision is "baseless' or 'despotic' and 'a sudden turn of mind without apparent

<sup>&</sup>lt;sup>1</sup> The Ecotec Invoices were presented at the time of the January 19<sup>th</sup> Hearing, but are attached here for purposes of convenience of reference.

motive; a freak, whim, mere fancy." City of Reno v. Estate of Wells, 110 Nev. 1218, 1222, 885 P.2d 545, 548 (1994) (internal citations and quotations omitted).

Similarly, while courts may not substitute their own judgment for that of the agency concerning the weight of evidence or questions of fact, it is well settled that agency action is deemed subject to reversal where found to be "[c]learly erroneous in view of the reliable, probative and substantial evidence on the whole record." NRS 233B.135(e).

For the reasons discussed below, the Division's Order is replete with reversible error on both legal and factual grounds.

# A. The Division Failed to Employ Appropriate Methodology—Soil Crust Determination (Ball Drop Test) Pursuant to AQR § 94.15.5

The inspection report for each inspection date and related Notice of Noncompliance was issued to AHUSA for the violation was for Soil Stabilization and related Air Quality Requirements (AQR § 94.12) and not Emissions Requirements (AQR § 94.14). (See, e.g., NOV #9784, Exhibit D.) This is significant because AQR § 94.15 prescribes the appropriate Test Methods; specifically, § 94.15.5—Soil Crust Determination (Ball Drop Test) sets forth the acceptable methodology for determining soil stability. Indeed, none of the five (5) inspection reports include any indication whatsoever that such a methodology was employed in regard to the AQS's decision concerning soil stabilization at the subject site. This absence is beyond concerning; it is fatal and requires reversal.

Further, AQR § 94.15.5(d) provides:

At any given site, the existence of a sufficient crust covering one portion of the site may not represent the existence or protectiveness of a crust on another portion of the site. Repeat the soil crust test as often as necessary on each portion of the overall site using the random selection method set forth in AQR Section 90.4.1.1(b) for an accurate assessment.

This prescribed standard also was not adhered to. The record for this matter is simply devoid of any indication whatsoever that such a random selection method was appropriately employed.<sup>2</sup> This, too, constitutes reversible error.

<sup>&</sup>lt;sup>2</sup> By way of further background, at no time during any site visit by the AQS did AHUSA's representatives witness the AQS employ any ball drop test, nor did the AQS ever mention a ball drop test until the November 3<sup>rd</sup> inspection. The AQS did threaten to conduct a drop ball test, but

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#### В. The Division's Decision is Arbitrary and Capricious and/or Not Supported by Substantial Evidence

Contrary to the Division's ultimate conclusion, the ten (10) photographs attached to NOV #9784 show workers were on site using a water hose on dry areas throughout the work day and moisture and equipment tracks consistent with moist soil (as opposed to the fine silty soil on the site). This is plainly visible in each photo:

- Photo #1 showing moist, discolored soil appears to the right side of the photo;
- Photo #2 showing water hose to the left of the photo (there is no other reason to have a hose on site during framing other than to wet soil);
- Photo #3 the area in the roadway is visibly moistened, evidenced by clumping and discoloration;
- **Photo #4** the soil appears loose, but not dry;
- Photo #5 please take notice of the color of the soil at the top of the photo, as well as the pile of sand in the upper right corner. The reason the middle of the area appears dry is because the stucco crews were tracking that sand through that area to the area where they were working;
- **Photo #6** the soil is loose, but not dry;
- Photo #7 The area in the left portion of the photo is what dry loose soil looks like (this is because stucco workers had been walking in the area). By contrast, there is darker, moist soil under the scaffolding;
- Photo #8 please take notice of the Bobcat tracks through the middle and the moist area in the lower left area of the photo;
- Photos #9 & #10 This, again, is soil that is loose, but not dry. As indicated on the November 2, 2022 Notice of Violation, the wind speed that day was 20 to 24 mph with gust

the rainy conditions that day prohibited it. The AQS did not proceed with the drop ball test on November 3<sup>rd</sup>, left the site, and never returned. Again, it was after this visit that AHUSA was told the site was back in compliance with the AQR, which is confirmed by the Inspector Notes to Construction Site Inspection Report No. 110827.

of up to 30 mph. If this soil was loose, one would see plumes of dust in the air and AHUSA would have also received an Emissions violation (which did not occur).

The above demonstrates that there was not sufficient evidence of dry, loose, or powdery soils at the subject construction site. To the contrary, the photographic evidence shows AHUSA's good faith efforts to routinely and repeatedly keep soil levels at appropriate levels of moisture. Further, it must be noted that the subject photographs were taken at isolated points in time, which simply cannot capture the routine and repeated efforts of AHUSA to achieve compliance with the applicable regulations. It is undisputed that the Division did not conduct monitoring of the subject construction site on a 24/7 basis (nor does the County have such resources to do so). The random photograms at isolated points in time simply fail to account for the reality of the situation.

Lastly, as discussed at the January 19<sup>th</sup> Hearing, it bears repeating that as of the time of each inspection date, all street, sidewalks and curb and gutter had been installed on the site. All perimeter block walls had been installed. Foundations for all houses had been poured and scaffolding was in place on seven (7) of the remaining homes under construction, enabling crews to prep and apply stucco to the exterior of the homes. The remaining five homes were being framed.

Lot sizes for the twelve (12) homes under construction at this point in time ranged from 4,290 square feet to 7,000 square feet (the largest lot being Lot 28, which AHUSA also used as a staging area for lumber storage and sand used for stucco because it has a large accessible side yard). There is only five (5) feet of space on the sides of each foundation (except Lot 28) and the only exposed dirt on the site is located in the narrow area of each lot surrounding the foundation and not covered by lumber or sand. Given the limited space on the site, the use of a water truck was prohibitive as a dust mitigation tool. Accordingly, AHUSA had its crew use water hoses to dampen the soil in order to comply with dust mitigation requirements throughout the day.

Despite the ineffectiveness of a water truck given the subject construction site's percentage of completion, AHUSA still proceeded to have its environmental contractor visit the site with a water truck to satisfy the AQS, even though using water hoses was more effective for dampening

the site and controlling dust. (See Ecotec Invoices for October and November 2022, Ex. 1.)<sup>3</sup> AHUSA believed it was going above and beyond to show the AQS that AHUSA was acting in good faith to achieve compliance. This is supported by the fact that, on November 3, 2022, the AQS notified AHUSA that the project was in compliance with the Air Quality Regulations. See also Construction Site Inspection Report No. 110827 attached as Exhibit "N" to the NOV #9784: "Inspector Notes: I conducted a Follow-Up Inspection and observed rain on-site creating damp soils. I spoke with Dwayne Rowe, Superintendent, and informed him the site is back in compliance with Air Quality Regulations."

#### III. CONCLUSION

Based on the foregoing, it is respectfully requested that the Order be reversed and vacated.

DATED this 1st day of February, 2023.

By: /s/ Anthony J. DiRaimondo

David A. Carroll, Esq. (NSB #7643)
Anthony J. DiRaimondo, Esq. (NSB #10875)
Robert E. Opdyke, Esq. (NSB #12841)
RICE REUTHER SULLIVAN & CARROLL, LLP
3800 Howard Hughes Parkway, Suite 1200
Las Vegas, Nevada 89169
Attorneys for AHUSA SERIES 2 LLC

<sup>&</sup>lt;sup>3</sup> Additionally, when the site was being graded and before paving, AHUSA had multiple water trucks on site every day. During that time, no AQS or anyone else from the Division ever visited the site to monitor dust control efforts. Site clearing and grading began on July 2021 and, as AHUSA was nearing completion of the entire community, on or about October 17, 2022, the AQS visited the site for the first time and made contact with an AHUSA representative.



Clark County Nevada Department of Environment and Sustainability 4701 W Russell Road, Suite 200, Las Vegas, NV 89118 Phone (702) 455-5942 Fax (702) 383-9994 AirQuality@clarkcountynv.gov

### **RECEIPT**

AHUSA SERIES 2 LLC 10300 WEST CHARLESTON BLVD., SUITE 13-459 LAS VEGAS, NV 89135

Invoice #	Invoice Date Invoice By		Invoice Type	Due Date
059908	2/2/2023	GREEN	DUST CONTROL ENFORCEMENT	2/2/2023

Quantity	Description	Fee Code	Fee	Total
1.00	HEARING BOARD REQUEST	AGHB01	\$140.00	\$140.00
	02/02/2023 CHECK (10663)	PAYMENT		(\$140.00)

Notes:	NOV #9784, DCOP #53484, APPEAL 1/19/2023 H/O DECISION.	Subtotal:	\$140.00
		Paid:	(\$140.00)
		Adjustments:	\$0.00
		Balance Due:	\$0.00

# BEFORE THE AIR POLLUTION CONTROL HEARING OFFICER CLARK COUNTY, NEVADA

In the Matter of the Notice of Violation #9784	)	ORDER
Issued to	)	
AHUSA SERIES 2 LLC, Respondent.	)	
<u>-</u>	)	
	. )	

The above-entitled matter was heard on January 19, 2023, before Hearing Officer Lona Webb on the Contested Docket. Representatives of both the Clark County Department of Environment and Sustainability, Division of Air Quality (Air Quality) and AHUSA SERIES 2 LLC (AHUSA) appeared, testified, and submitted evidence for consideration by the Hearing Officer. Having considered the evidence presented at the hearing, the Hearing Officer hereby finds and orders as follows:

- 1. Notice of Violation (NOV) #9784 was issued by Air Quality to Respondent AHUSA on December 19, 2022, for alleged violation(s) of Dust Control Operating Permit #53484 (Permit) and the Clark County Air Quality Regulations (AQRs) at the Inspiration at The Village construction site located at Manor Green Lane and Corwood Green Lane, in Clark County, Nevada. The violation(s) alleged in the NOV include:
  - (a) Violation of AQR Sections 94.13(a) and (b) for failing to employ Best Available Control Measures and comply with soil stabilization standards 24 hours a day, seven days a week.
  - 2. The penalty recommended by Air Quality in NOV #9784 was \$5,500.00.
- 3. The Hearing Officer finds that the violation(s) alleged in NOV #9784 occurred in that AHUSA violated AQR Sections 94.13(a) and (b) on October 18, 26, and 27, 2022, and November 2, 2022.

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- 4. **IT IS HEREBY ORDERED** that AHUSA pay a reduced penalty of Four Thousand Seven Hundred Fifty and no/100 Dollars (\$4,750.00) within 30 days of the date of this ORDER.
- 5. AHUSA has the right to appeal this ORDER to the Clark County Air Pollution Control Hearing Board. Any appeal of this ORDER shall be: (1) in writing specifying the reasons for the appeal, (2) accompanied by a filing fee of One Hundred Forty and no/100 Dollars (\$140.00), and (3) received by Air Quality within ten (10) days of AHUSA's receipt of this ORDER.

DATED this 25th day of January, 2023.

Lona Webb

Lona Webb (Jan 25, 2023 08:33 PST)

Lona Webb Hearing Officer From: Mickey Stratton
To: AQ Enforcement

**Subject:** Notice Of Violation NOV # 9784

 Date:
 Thursday, December 29, 2022 7:20:11 AM

 Attachments:
 Notice Of Violation Response Form Dust.pdf

I have attached the Notice of Violation Response Form and Written Explanation for NOV # 9784. Let me know if you need anything else.

Mickey Stratton Aspect Homes (512) 786-1766 mickey@aspecthomesusa.com



4701 W. Russell Road 2<sup>nd</sup> Floor Las Vegas, NV 89118-2231 Phone: (702) 455-5942 • Fax: (702) 383-9994 Marci Henson, Director

## **Notice of Violation Response Form**

Issued to: AHC	ISA SERIES 2 LLC
NOV #: 9784	Return form by: 1/5/2023
Item	s below are to be completed by the Respondent
Phone Number:  Email Address:  Mailing Address:  We do not on the We accept repenalty will be well as a continuous representativiolation)  Please attacyou are continuous representativiolation)	Manager  122) 186-1966  Chay a spect kowes usa. cm  13-459 (As UELAS NV 89135  Please check applicable boxes below  contest the Notice of Violation (Attendance is not required) sponsibility for this violation. Instructions for payment of the recommended e provided after the Hearing Officer meeting.  Intesting the Notice of Violation and request to appear before a Officer (Attendance by the Responsible Official or a tive of the company is strongly recommended to contest the company is strongly recommended to contest the company in the Nov. This information will be provided to the Hearing to the Hearing.  We will be contesting the:    Facts
	May - At H

Completed forms can be submitted to Pam Thompson via mail at Clark County Department of Environment and Sustainability, Division of Air Quality, 4701 West Russell Road, Suite 200, Las Vegas, NV 89118-2231, fax at (702) 383-9994, or via email at agenforcement@clarkcountynv.gov.

Signature of Authorized Person



December 27, 2022

Division of Air Quality 4701 West Russell Road Suite 200 Las Vegas, NV 89118 NOV # 9784

To Whom It May Concern.

AHUSA Series 2 LLC received a Notice of Violation NOV# 9784. In response to this Notice of Violation we are Contesting and will appear before the Hearing Officer. On October 18, 2022 Air Quality Specialist was on site, she was given the contact information for Dwayne Rowe On site Project Manager. On 10/18/22 we did a BMP maintenance which consists of cleaning gutter area, on 10/21/22 we had a street sweeper and Water Truck on site for additional compliance with the October 18 non compliance issue. Due to site conditions the subcontractors on site were spraying down any areas disturbed with water hoses. On October 26, 2022 Air Quality Specialist again visited the site and made note of noncompliance, on October 27, 2022 a Water Truck was on site to make sure of compliance. On 10/28/2022 we again had a street sweep of all paved areas to mitigate any dust from the streets. The 0.4 acre area had been watered on site by hose as the area was not large and all trades were notified to stay out of this area. On November 3, 2022 we had a water truck on site to mitigate any dust. Please see attached Invoices showing the dates for street sweeps, water truck, and BMP maintenance. With the use of hoses by our onsite subcontractors and the additional use of street sweeps and water truck we feel we were compliant with the Sustainability for this site.

Sincerely,

John Michael Stratton Managing Member Aspect Homes AHUSA Series 2 LLC

#### **ECOTEC Environmental**

8461 W. Farm Rd Ste 120 PMB 215 Las Vegas, NV 89131 US 702-232-5068 xmasteri@aol.com



## INVOICE

#### BILL TO

ASPECT HOMES 10300 W. CHARLESTON SUITE 13 P.O. BOX 59 LV. NV. 89135 INVOICE # 22-2851

DATE 10/31/2022

DUE DATE 10/31/2022

TERMS Due on receipt

#### JOB NAME

INSPIRATION AT THE VILLAGE

DATE	DESCRIPTION	QTY	RATE	AMOUNT
10/03/2022	SITE INSPECTION	1	70.00	
10/13/2022	SITE INSPECTION	4		70.00
10/14/2022	STREET SWEEPER	2	70.00	70.00
10/18/2022	SITE INSPECTION	-	90.00	180.00
10/19/2022	BMP MAINTENANCE	4	70.00	70.00
10/21/2022	STREET SWEEPER	1	275.00	275.00
10/21/2022	WATER TRUCK	2	90.00	180.00
10/21/2022	BMP MAINTENANCE	2	70.00	140.00
10/24/2022	SITE INSPECTION	1	275.00	275.00
10/27/2022		1	70.00	70.00
	WATER TRUCK	2	70.00	140.00
10/28/2022	STREET SWEEPER	2	90.00	180.00
10/31/2022	SITE INSPECTION	1	70.00	70.00

A late charge of 3% per month may be added to past due invoices. Any quality of work issues must be reported by the next business day due to the nature of our services & construction activity. Service concerns expressed after the next business day will not be acceptable non-payment reasoning. Notice is hereby given that all projects are subject to mechanic liens for non-payment.

**BALANCE DUE** 

\$1,720.00

#### **ECOTEC Environmental**

8461 W. Farm Rd Ste 120 PMB 215 Las Vegas, NV 89131 US 702-232-5068 xmasteri@aol.com



## INVOICE

#### BILL TO

ASPECT HOMES 10300 W. CHARLESTON SUITE 13 P.O. BOX 59 LV, NV. 89135 INVOICE # 22-3110

DATE 11/30/2022

DUE DATE 11/30/2022

TERMS Due on receipt

#### JOB NAME

INSPIRATION AT THE VILLAGE

DATE	BESCRIPTION	GTY	RATE	AMOUNT
11/03/2022	WATER TRUCK	2	70.00	140.00
11/04/2022	STREET SWEEPER	2	90.00	180.00
11/09/2022	SITE INSPECTION	1	70.00	70.00
11/15/2022	SITE INSPECTION	1	70.00	70.00
11/18/2022	STREET SWEEPER	2	90.00	180.00
11/22/2022	SITE INSPECTION	T.	70.00	70.00
11/29/2022	SITE INSPECTION	4	70.00	70.00

A late charge of 3% per month may be added to past due invoices.

Any quality of work issues must be reported by the next business day due to the nature of our services & construction activity. Service concerns expressed after the next business day will not be acceptable non-payment reasoning. Notice is hereby given that all projects are subject to mechanic liens for non-payment.

**BALANCE DUE** 

\$780.00

From: <u>Mickey Stratton</u>
To: <u>AQ Enforcement</u>

Subject:Notice of Violation Response Form NOV# 9784Date:Wednesday, December 21, 2022 12:52:10 PMAttachments:Notice Of Violation Response Form Dust.pdf

Attached is the completed Notice of Violation Response Form NOV # 9784

Mickey Stratton Aspect Homes (512) 786-1766 mickey@aspecthomesusa.com

Received via email on 12/21/2022 from Mickey Stratton S. Rogge, Administrative Secretary



4701 W. Russell Road 2<sup>nd</sup> Floor Las Vegas, NV 89118-2231 Phone: (702) 455-5942 • Fax: (702) 383-9994 Marci Henson, Director

## Notice of Violation Response Form

AHUSA SERIES 211 C

and the second of the	7704	Z LLO	4/5/0000
NOV #: _	9784 Re	eturn form by:	1/5/2023
	Items below are to be co	ompleted by the	Respondent
Responsible Official:	Mickey STA	ATTON	
Title:	Mickey STR.		
	1 5121 786-176		
Email Address:	michaye aspect A	tomes usa.c	iom
Mailing Address:	10300 W. CHARLES		9 LAS VEGAS NV 89135
We accepted by the H	cept responsibility for this vince will be provided after the bounded action to be contesting the Notice earing Officer (Attendance) and the compared to the c	olation. Instruction Hearing Officer mo ie of Violation Ince by the Res	and request to appear before
you ar	e attach a written explanate e contesting the NOV. The prior to the Hearing.  We will be co  Facts  Penalty  Both	is information water intesting the:	upporting documentation, of why vill be provided to the Hearing
		7	Signature of Authorized Person Date: 12/21/2022

Completed forms can be submitted to Pam Thompson via mail at Clark County Department of Environment and Sustainability, Division of Air Quality, 4701 West Russell Road, Suite 200, Las Vegas, NV 89118-2231, fax at (702) 383-9994, or via email at aqenforcement@clarkcountynv.gov.

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4701 W. Russell Road 2<sup>nd</sup> Floor Las Vegas, NV 89118-2231 Phone: (702) 455-5942 • Fax: (702) 383-9994 Marci Henson, Director

December 19, 2022

FEDERAL EXPRESS TRK #7708 2812 1034
Mickey Stratton, Onsite Representative and Responsible Official
E-mail: mickey@aspecthomesusa.com
Ahusa Series 2 LLC
10300 West Charleston Boulevard, Suite 13-459
Las Vegas, Nevada 89135

FEDERAL EXPRESS TRK #7708 2815 6050 John M. Stratton, Manager Aspect Homes USA LLC, Manager Ahusa Series 2 LLC 2774 Windcrest Falls Las Vegas, Nevada 89135

FEDERAL EXPRESS TRK #7708 2819 4040 Matthew W. Smith, Manager Aspect Homes USA LLC, Manager Ahusa Series 2 LLC 1029 Venetian Hills Lane Las Vegas, Nevada 89144

#### **NOTICE OF VIOLATION #9784**

Clark County Department of Environment and Sustainability, Division of Air Quality (**Air Quality**) provides this notice to Ahusa Series 2 LLC (**Ahusa**), for the violation of the Clark County Air Quality Regulations (**AQRs**) as alleged below and recommends a civil penalty of Five Thousand Five Hundred and no/100 Dollars (\$5,500.00) be assessed as shown in the penalty calculation table attached hereto as **Exhibit A** and incorporated herein.

#### I. FACTS

A. On February 8, 2022, Air Quality issued renewed Dust Control Operating Permit (**DCOP**) #53484 to Ahusa, for the 3.94-acre construction project named Inspiration at The Village. A Dust Mitigation Plan was submitted with the initial DCOP application and was incorporated into DCOP #53484 whereby Ahusa agreed to comply with the control requirements for the

- selected Best Management Practices (BMPs). The Dust Mitigation Plan remained in effect with this renewal.
- B. Air Quality Specialist Satyra George (**George**) discovered the alleged violations while performing routine and follow-up inspections on October 18, 26, and 27, 2022, and a follow-up inspection during a Construction Notice period on November 2, 2022, at the Inspiration at The Village construction project, located at Manor Green Lane and Corwood Green Lane, in Clark County, Nevada.
- C. On October 18, 2022, at approximately 2:00 p.m., George arrived at the Inspiration at The Village construction site to conduct a routine inspection. The inspection report is attached hereto as **Exhibit B** and incorporated herein. During the inspection, George observed approximately 0.3 acres of dry, loose, and powdery soils, as shown in Photographs 1 through 3 attached hereto as **Exhibit C** and incorporated herein. While onsite, George left a voicemail message for Mickey Stratton (**Stratton**), Responsible Official and Designated Onsite Representative for Ahusa, concerning the observations of noncompliance and the issuance of a Notice of Noncompliance (**NON**). The NON was emailed to Stratton Dwayne Rowe (**Rowe**), Superintendent with Ahusa, on October 18, 2022 and is attached hereto as **Exhibit D** and incorporated herein. George also observed the noncompliance issues occurred within 1,000 feet of a residential area as shown in Map 1 attached hereto as **Exhibit E** and incorporated herein. George concluded the inspection at approximately 2:25 pm.
- D. On October 26, 2022, at approximately 9:40 a.m., George arrived at the Inspiration at The Village construction site to conduct a follow-up inspection. The inspection report is attached hereto as **Exhibit F** and incorporated herein. During the inspection, George observed approximately 0.3 acres of dry, loose, and powdery soils (**Exh. C, Photographs 4 and 5**). While onsite, George sent an e-mail to Stratton regarding the observations of continued noncompliance. The email correspondence is attached hereto as **Exhibit G** and incorporated herein. George also observed the noncompliance issues occurred within 1,000 feet of a residential area as shown in Map 2 attached hereto as **Exhibit H** and incorporated herein. George concluded the inspection at approximately 10:00 a.m.
- E. On October 27, 2022, at approximately 9:30 a.m., George arrived at the Inspiration at The Village construction site to conduct a follow-up inspection. The inspection report is attached hereto as **Exhibit I** and incorporated herein. During the inspection, George observed approximately 0.3 acres of dry, loose, and powdery soils (**Exh. C**, **Photographs 6 through 8**). While onsite, George spoke in person with Rowe, and e-mailed Stratton and Rowe regarding the observations of continued non-compliance (**Exh. G**). George also observed the noncompliance issues occurred within 1,000 feet of a residential area as shown in Map 3 attached hereto as **Exhibit J** and incorporated herein. George concluded the inspection at approximately 9:50 a.m.
- F. On October 31, 2022, at approximately 9:05 a.m., Air Quality issued a Construction Notice to all Dust Control Operating Permit Holders, Contractors, and Stationary Sources informing them the National Weather Service and weather models used by Air Quality forecast sustained winds of 20 mph, with gusts of 30 mph, beginning Tuesday mid-morning,

NOV #9784

2

November 1, 2022, and lasting through Wednesday, November 2, 2022. Air Quality directs all permittees to inspect their site(s) and employ Best Available Control Measures to stabilize all disturbed soils. The Construction Notice is attached hereto as **Exhibit K** and incorporated herein.

- G. On November 2, 2022, at approximately 2:55 p.m., George arrived at the Inspiration at The Village construction site to conduct a follow-up inspection during a Construction Notice period. The inspection report is attached hereto as **Exhibit L** and incorporated herein. During the inspection, George observed approximately 0.4 acres of dry, loose, and powdery soils (**Exh. C, Photographs 9 and 10**). While onsite, George spoke by phone with Stratton regarding the observations of continued non-compliance. George also observed the noncompliance issues occurred within 1,000 feet of a residential area as shown in Map 4 attached hereto as **Exhibit M** and incorporated herein. George concluded the inspection at approximately 3:10 p.m.
- H. On November 3, 2022, at approximately 8:40 a.m., George arrived at the Inspiration at The Village construction site to conduct a follow-up inspection. The inspection report is attached hereto as **Exhibit N** and incorporated herein. During the inspection, George observed the site was in compliance with AQRs. George concluded the inspection at approximately 8:55 a.m.

#### II. VIOLATIONS

#### **Violation 1:**

By failing to employ Best Available Control Measures and comply with soil stabilization standards 24 hours a day, seven days a week, Ahusa violated AQR Sections 94.13(a) and (b).

AQR Sections 94.13(a) and (b) state:

- "(a) Any Person who engages in a Construction Activity or Temporary Commercial Activity, with or without a Permit, shall employ BACM and comply with soil stabilization standards (Section 94.12) and Emissions standards (Section 94.14).
- (b) Control Measures that are listed in the approved Permit, and other measures as needed for the purpose of maintaining Dust control, shall be implemented 24 hours a day, seven days a week, until the Permit is closed in accordance with Section 94.5(n)(2)."

#### III. RECOMMENDED CIVIL PENALTY

Pursuant to AQR Section 9.1, any person who violates any provision of the AQRs, including any permit condition; is guilty of a civil offense and shall pay a civil penalty not to exceed \$10,000 per violation. Each day of violation constitutes a separate offense.

Air Quality considered the following in calculating the recommended penalty:

NOV #9784 3

- Violations occurred within 1,000 feet of the outer boundary of a residential area as described in Paragraphs I.C, I.D, I.E and I.G above (Exh. E, H, J, and M); and
- Consecutive days of violation as described above in Paragraphs I.D and I.E for October 26 and 27, 2022; and
- Violation on November 2, 2022 occurred during a Construction Notice period (Exh. K).

Air Quality recommends a civil penalty in the amount of \$5,500.00 (Exh. A).

#### IV. HEARING

Air Quality has scheduled a hearing for **Thursday**, **January 19**, **2023**, **at 9:00 a.m.** before the Air Pollution Control Hearing Officer to adjudicate the alleged violation(s) and, if appropriate, to levy the recommended penalty. Please complete the enclosed "**Notice of Violation Response Form**" and return it to Air Quality by January 5, 2023. At the hearing, the Hearing Officer will hear evidence on the alleged violation(s) and render a decision. The hearing will be held at the Clark County Building Services Presentation Room, located at 4701 West Russell Road, Las Vegas, Nevada.

If you intend to present any documentary evidence at the hearing, please provide copies of your evidence to Air Quality with the completed Notice of Violation Response Form. If you fail to provide copies of your evidence prior to the hearing, please be advised that Air Quality may request a continuance to have time to review any evidence you bring to the hearing, which will result in the hearing being postponed and rescheduled to a later date.

If the Hearing Officer finds you in violation and levies a penalty, Air Quality staff will mail the Hearing Officer's order to you along with instructions on remittance of the penalty.



Shibi Paul

Compliance and Enforcement Manager

#### Exhibits:

- A. Penalty Calculation Table, NOV #9784
- B. Air Quality Construction Site Inspection Form #110408, dated October 18, 2022
- C. Digital Photographs 1 through 10
- D. Air Quality Notice of Noncompliance for October 18, 2022
- E. Map 1: Showing location of unstable soil conditions within 1,000 feet of a residential area on October 18, 2022
- F. Air Quality Construction Site Inspection Form #110616, dated October 26, 2022
- G. Email Correspondence, dated October 18 through 27, 2022 (ROC)

- H. Map 2: Showing location of unstable soil conditions within 1,000 feet of a residential area on October 26, 2022
- I. Air Quality Construction Site Inspection Form #110668, dated October 27, 2022
- J. Map 3: Showing location of unstable soil conditions within 1,000 feet of a residential area on October 27, 2022
- K. Construction Notice for November 1, 2022, through November 2, 2022
- L. Air Quality Construction Site Inspection Form #110820, dated November 2, 2022
- M. Map 4: Showing location of unstable soil conditions within 1,000 feet of a residential area on November 2, 2022
- N. Air Quality Construction Site Inspection Form #110827, dated November 3, 2022

amk

NOV #9784 5

#### **Exhibit A**

# NOV # 9784 Penalty Calculation Table Ahusa Series 2 LLC



4701 W. Russell Road 2<sup>nd</sup> Floor Las Vegas, NV 89118-2231 Phone: (702) 455-5942 • Fax: (702) 383-9994 Marci Henson, Director

Viol.	Date(s)	Violation Description	AQR Section	Exhibit / Evidence	Base Penalty	Days	Aggravating Description	Agg. Factor	Agg. Amount	Penalty	
1	10/18/2022	Failed to employ Best Available Control Measures and comply with soil stabilization standards at their site 24/7.	94.13(a) and (b)	Exh. C, Photos 1 thru 3 Exh. E, Map 1	\$ 1,000 1	_	Occurred within 1,000 feet of a residential are (+25% per day)		25%	\$ 250.00	\$ 1,250.00
	10/26/2022			Exh. C, Photos 4 and 5 Exh. H, Map 2			Occurred within 1,000 feet of a residential area (+25% per day)	25%	\$ 250.00	\$ 1,250.00	
	10/27/2022			Exh. C, Photos 6 thru 8 Exh. J, Map 3			1) Occurred within 1,000 feet of a residential area (+25% per day); and 2) Second consecutive day of violation <sup>2</sup> (+25% per day)	50%	\$ 500.00	\$ 1,500.00	
	11/2/2022			Exh. C, Photos 9 and 10 Exh. K, Construction Notice Exh. M, Map 4			1) Occurred during a Construction Notice (+25%); and 2) Occurred within 1,000 feet of a residential area (+25% per day)	50%	\$ 500.00	\$ 1,500.00	

Total Penalty: \$ 5,500.00

Regulatory maximum: \$10,000 per day, per violation [AQR Section 9.1 & NRS 445B.640]

<sup>&</sup>lt;sup>1</sup> Unstable soil on site ≤ 1 acre

 $<sup>^{\</sup>rm 2}$  Consecutive Day aggravation begins with the 2nd day of noncompliance

#### Exhibit B



Division of Air Quality 4701 W. Russell Rd. Suite 200 2nd Floor Las Vegas, NV 89118

Main Number: (702)455-5942 Fax Number: (702)383-9994

#### CONSTRUCTION SITE INSPECTION REPORT Inspection No. 110408

Officer:	Date:	Start Time:	End Time:	Type:	Complaint No.:	Permit No.:		
Satyra George	Oct 18, 2022	2:00 PM	2:25 PM	Routine		53484		
Permittee:		Project Na	ame:	Р	Project Location:			
Ahusa Series 2,	LLC	Inspiration	n At The Village	N	Manor Green Ln/Corwood Green Ln.			
Weather:	Rain:	Temperature:	Wind Speed:	Wind Gust:	Wind Direction:	Site Status:		
Clear	No	87 degrees	00-04 mph	10 mph	Variable	Active		

Title: PCF Submitted: Workers Present: Spoke With: Comm. Method: No Yes Mickey Stratton Responsible Official Phone Message

> Spoke With: Title: Comm. Method:

Is the project in compliance with all air quality requirements? No

Issued NON With Possible Action Taken: Violation in 1000 feet of: Residential NOV

**Emission Compliance:** Yes

Fugitive Dust Source: Plume Length:

Opacity: Opacity Test Method:

**BMP Compliance:** No

**Project Soils:** Unstable Size of Instability: 0.3 acres

Trackout Device: No - Not Practical Has Trackout: No Mitigation Equipment: Inadequate Soil Crust Determination: Fail

**Admin Compliance:** Yes

Less than or equal Project Size: Acreage Permitted: 3.94 acres Observed Acreage: 3.94 acres

to permitted

Staging/Parking On-Site DCOP Sign: Yes DCOP Onsite: Not Verified Area:

SS Permit(s): SS Permit No. No Equipment **Equipment Onsite:** 

**Inspector Notes:** Approved By: Andrew Kirk

I conducted a routine inspection and observed approximately .3 acres of unstable site soils. I contacted Mickey Stratton, Responsible Official, and left a voice message informing him I am issuing a Notice of Non-Compliance (NON) with the potential for a Notice of Violation for unstable site soils, and directing him to stabilize all site soils immediately and maintain in a moist or crusted condition 24/7. I requested Mr. Stratton contact me back if he had any questions regarding the NON.

### **Exhibit CI**

## Digital Photographs

Dust Control Permit #: 53484 Permittee: Ahusa Series 2, LLC

Project Name: Inspiration at the Village Photos taken by: Satyra George



Photograph # 1 Alleged Violation # 1 View looking south at dry, loose, powdery site soils observed on the northwestern end of the Ahusa Series 2, LLC Insperation at the Village project.



Photograph # 2 Alleged Violation # 1 View looking south at dry, loose, powdery site soils observed within a staging area on the southwestern end of the project.



Photograph # 3 Alleged Violation # 1 View looking west at dry, loose, powdery site soils observed in the central portion of the project.



Photograph # 4 Alleged Violation # 1 View looking south at dry, loose, powdery site soils observed on the northwestern end of the project during a follow-up inspection.



Photograph # 5 Alleged Violation # 1 View looking north at dry, loose, powdery site soils observed within a staging area on the southwestern end of the project during a follow-up inspection.



Photograph # 6 Alleged Violation # 1 View looking south at dry, loose, powdery site soils observed on the northwestern end of the project during a follow-up inspection.

NOV #9784



Photograph # 7 Alleged Violation # 1 View looking west at dry, loose, powdery site soils observed on the northwest side of the project during a follow-up inspection.



Photograph # 8 Alleged Violation # 1 View looking southwest at dry, loose, powdery site soils observed within a staging area on the southwestern end of the project during a follow-up inspection.



Photograph # 9 Alleged Violation # 1 View looking west at dry, loose, powdery site soils observed on the northwestern end of the project during a Construction Notice and follow-up inspection.



Photograph # 10 Alleged Violation # 1 View looking north at dry, loose, powdery site soils observed within a staging area located on the southwestern end of the project during a Construction Notice and follow-up inspection.

### **Exhibit DI**



Division of Air Quality 4701 W. Russell Rd. Suite 200 2<sup>nd</sup> Floor

Las Vegas, NV 89118

Main Number: (702)455-5942 Fax Number: (702)383-9994

#### **NOTICE OF NONCOMPLIANCE**

		_			
Issued To: Ahusa Series 2, LLC	Project Name: Inspiration At The Village				
Location: Manor Green Ln/Corwood G	reen Ln.				
Dust Control Permit No: 53484	Date: Oct 18, 2022	Time: 2:25 PM			
	an inspection of your site has found it in no ontrol Permit and/or Clark County Air Qualit				
	and maintain adequate measures to prevent fug bilized condition per AQR Section 94.12. This a				
which includes the imposition of civ	-				
	ce may result in additional enforcement acti tive below regarding questions related to th				
Person Notified:					
Mickey Stratton	Responsible Official	Ahusa Series 1 LLC			
(Printed Name)	(Title)	(Company)			
mickey@aspecthomesusa.com					
(Email Address)					
Person Notified:					
Mickey Stratton	Designated Onsite Representative	Ahusa Series 1 LLC			
(Printed Name)	(Title)	(Company)			
mickey@aspecthomesusa.com					
(Email Address)					

(Printed Name) (Phone Number)

DAQ Representative: Satyra George

702-901-3674

From: Satyra George

To: Dwyane@aspecthomes.vegas

Subject: FW: DCOP#53484 Notice of Noncompliance Date: Tuesday, October 18, 2022 4:58:00 PM

Attachments: image001.png

image002.png

53484 20221018 NON-Rvd.pdf

Good afternoon Dwyane Rowe,

Please see the e-mail below and stabilize all site soils immediately.

Sincerely,

### Satyra George | Air Quality Specialist I **Clark County Department of Environment & Sustainability Division of Air Quality**

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

#### My working hours are Tuesday - Friday, 7:00 AM - 5:30 PM

#### For Dust Control Applications and Forms, click on this link: Dust Control Permitting

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.





For Dust Control Applications and Forms, click on this link: Dust Control Permitting Portal, Forms & Requirements.

From: Satyra George

Sent: Tuesday, October 18, 2022 4:54 PM

**To:** mickey@aspecthomesusa.com

Subject: DCOP#53484 Notice of Noncompliance

Good afternoon Mickey Stratton,

Please review the attached Notice of Noncompliance (NON) with Air Quality Regulations (AQRs) for

the Inspiration at The Village project, DCOP# 53484, and stabilize all site soils immediately.

Please let me know you received this email and understand what is required to comply with the applicable Air Quality Regulations. This NON may result in a Notice of Violation (NOV) that may result in civil penalties. We appreciate your continued cooperation in maintaining compliance on this project. We will continue to conduct inspections to verify compliance on this project. If you have any questions regarding this message, feel free to contact me.

Sincerely,

# Satyra George | Air Quality Specialist I Clark County Department of Environment & Sustainability Division of Air Quality

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

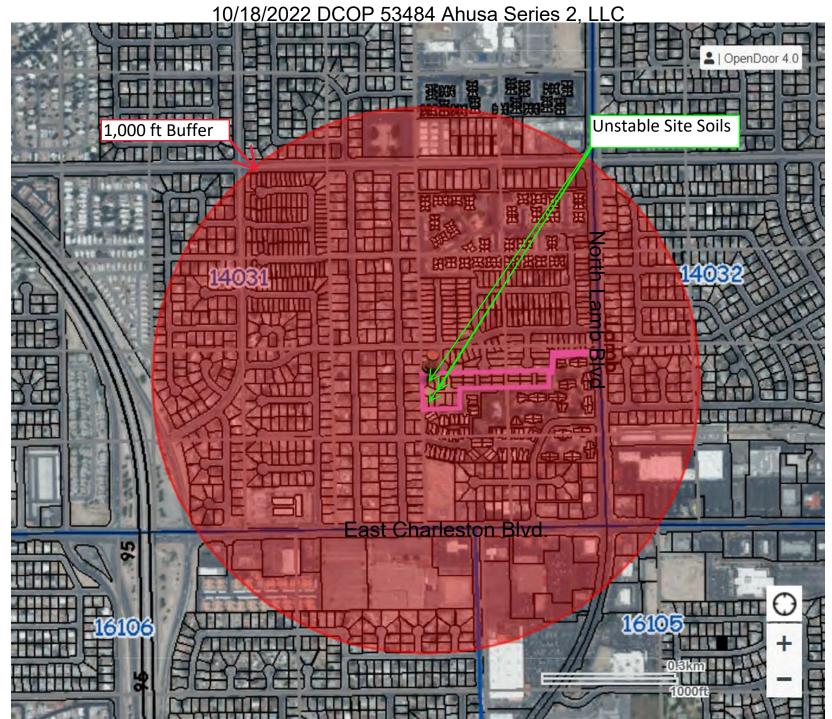
#### My <u>working</u> hours are Tuesday – Friday, 7:00 AM – 5:30 PM

For Dust Control Applications and Forms, click on this link: <u>Dust Control Permitting</u>

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.



For Dust Control Applications and Forms, click on this link: <a href="Dust Control Permitting Portal">Dust Control Permitting Portal</a>, Forms & Requirements.



Map 1 - Showing approximate location of dry, loose, and powdery site soils within 1,000 feet of a residential area.

#### **EXHIBIT F**



Division of Air Quality 4701 W. Russell Rd. Suite 200 2<sup>nd</sup> Floor

Las Vegas, NV 89118 Main Number: (702)455-5942

Fax Number: (702)383-9994

# CONSTRUCTION SITE INSPECTION REPORT Inspection No. 110616

Officer: Date: Start Time: End Time: Type: Complaint No.: Permit No.:

Satyra George Oct 26, 2022 9:40 AM 10:00 AM Follow-up **53484** 

Permittee: Project Name: Project Location:

Ahusa Series 2, LLC Inspiration At The Village Manor Green Ln/Corwood Green Ln.

Weather: Rain: Temperature: Wind Speed: Wind Gust: Wind Direction: Site Status:

Clear No 62 degrees 00-04 mph 5 mph NE Active

PCF Submitted: Workers Present: Spoke With: Title: Comm. Method:

No Yes Mickey Stratton Responsible Official Email

Spoke With: Title: Comm. Method:

Is the project in compliance with all air quality requirements?

Action Taken: Possible NOV Violation in 1000 feet of: Residential

Emission Compliance: Yes

Fugitive Dust Source: Plume Length:

Opacity: Opacity Test Method:

BMP Compliance:

Project Soils: Unstable Size of Instability: 0.3 acres

Trackout Device: No - Not Practical Has Trackout: No

Mitigation Equipment: Adequate Soil Crust Determination: Not Necessary/Not Performed

Admin Compliance: Yes

Acreage Permitted: 3.94 acres Observed Acreage: 3.94 acres Project Size: Less than or equal

Staging/Parking

Observed Acreage. 5.94 acres

Froject Size. to permitted

Area: On-Site DCOP Sign: Yes DCOP Onsite: Not Verified

SS Permit(s): No Equipment SS Permit No. Equipment Onsite:

Inspector Notes: Approved By: Andrew Kirk

I conducted a Follow-Up Inspection and observed approximately .3 acres of dry, loose, powdery, unstable site soils. I contacted Mickey Stratton, Responsible Official, by e-mail and informed him that this is a second day of non-compliance with the potential to go to a Notice of Violation for unstable site soils, and directed him to stabilize all site soils immediately. I also requested Mr. Stratton update the contact information for the permit as attempts to reach him via phone have not been successful.

From: Satyra George

To: "dwayne@aspecthomes.vegas"; "Mickey Stratton" Subject: RE: DCOP#53484 Notice of Noncompliance Date: Thursday, October 27, 2022 9:52:00 AM

Attachments: image001.png

image002.png

Good morning Mickey Stratton and Dwayne Rowe,

I conducted a follow-up inspection today and still see approximately .3 acres of unstable site soils around the vertical construction and staging areas. This is the third day of non-compliance with the potential to go to a Notice of Violation. Please stabilize all site soils immediately. Have a good day.

Sincerely,

#### Satyra George | Air Quality Specialist I **Clark County Department of Environment & Sustainability Division of Air Quality**

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

#### My working hours are Tuesday - Friday, 7:00 AM - 5:30 PM

For Dust Control Applications and Forms, click on this link: Dust Control Permitting

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.





For Dust Control Applications and Forms, click on this link: <u>Dust Control Permitting Portal, Forms</u> & Requirements.

From: Satyra George

Sent: Wednesday, October 26, 2022 3:15 PM

To: dwayne@aspecthomes.vegas

Subject: FW: DCOP#53484 Notice of Noncompliance

#### Sincerely,

# Satyra George | Air Quality Specialist I Clark County Department of Environment & Sustainability Division of Air Quality

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

#### My working hours are Tuesday – Friday, 7:00 AM – 5:30 PM

For Dust Control Applications and Forms, click on this link: <u>Dust Control Permitting</u>

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.





For Dust Control Applications and Forms, click on this link: <a href="Dust Control Permitting Portal">Dust Control Permitting Portal</a>, Forms & Requirements.

**From:** Mickey Stratton [mailto:mickey@aspecthomesusa.com]

Sent: Wednesday, October 26, 2022 10:13 AM

**To:** Satyra George < <u>Satyra.George@ClarkCountyNV.gov</u>> **Subject:** RE: DCOP#53484 Notice of Noncompliance

I am out of country until Monday with limited service please contact Dwayne Rowe <a href="mailto:dwayne@aspecthomes.vegas">dwayne@aspecthomes.vegas</a> cell (725) 254-9173.

Mickey Stratton Aspect Homes (512) 786-1766 mickey@aspecthomesusa.com ----- Original message -----

From: Satyra George < Satyra.George@ClarkCountyNV.gov >

Date: 10/26/22 11:59 AM (GMT-05:00)

To: Mickey Stratton < <u>mickey@aspecthomesusa.com</u>> Subject: RE: DCOP#53484 Notice of Noncompliance

Good morning Mickey Stratton,

I have conducted a follow-up inspection today and still see approximately .3 acres of unstable site soils around the vertical construction and staging areas. This is the second day of non-compliance. I tried calling your number and was not able to reach you or leave a message. Please stabilize all site soils immediately, and submit a Change in Contact form if there is an on-site representative or different number you would like us to use to reach out to you. Have a good day.

Sincerely,

# Satyra George | Air Quality Specialist I Clark County Department of Environment & Sustainability Division of Air Quality

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

#### My <u>working</u> hours are Tuesday – Friday, 7:00 AM – 5:30 PM

For Dust Control Applications and Forms, click on this link: Dust Control Permitting

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.





**For Dust Control Applications and Forms, click on this link:** <u>Dust Control Permitting Portal, Forms & Requirements.</u>

**From:** Mickey Stratton [mailto:mickey@aspecthomesusa.com]

Sent: Tuesday, October 18, 2022 7:57 PM

**To:** Satyra George < <u>Satyra.George@ClarkCountyNV.gov</u>> **Subject:** RE: DCOP#53484 Notice of Noncompliance

I will have site supervisor take care of this.

Mickey Stratton Aspect Homes (512) 786-1766 mickey@aspecthomesusa.com

----- Original message -----

From: Satyra George < Satyra.George@ClarkCountyNV.gov>

Date: 10/18/22 6:54 PM (GMT-05:00)

To: Mickey Stratton < mickey@aspecthomesusa.com > Subject: DCOP#53484 Notice of Noncompliance

Good afternoon Mickey Stratton,

Please review the attached Notice of Noncompliance (NON) with Air Quality Regulations (AQRs) for the Inspiration at The Village project, DCOP# 53484, and stabilize all site soils immediately.

Please let me know you received this email and understand what is required to comply with the applicable Air Quality Regulations. This NON may result in a Notice of Violation (NOV) that may result in civil penalties. We appreciate your continued cooperation in maintaining compliance on this project. We will continue to conduct inspections to verify compliance on this project. If you have any questions regarding this message, feel free to contact me.

Sincerely,

# Satyra George | Air Quality Specialist I Clark County Department of Environment & Sustainability Division of Air Quality

4701 W Russell Road | Suite 200 Las Vegas, NV 89118 702-455-1609 (office) 702-901-3674 (mobile)

#### My working hours are Tuesday - Friday, 7:00 AM - 5:30 PM

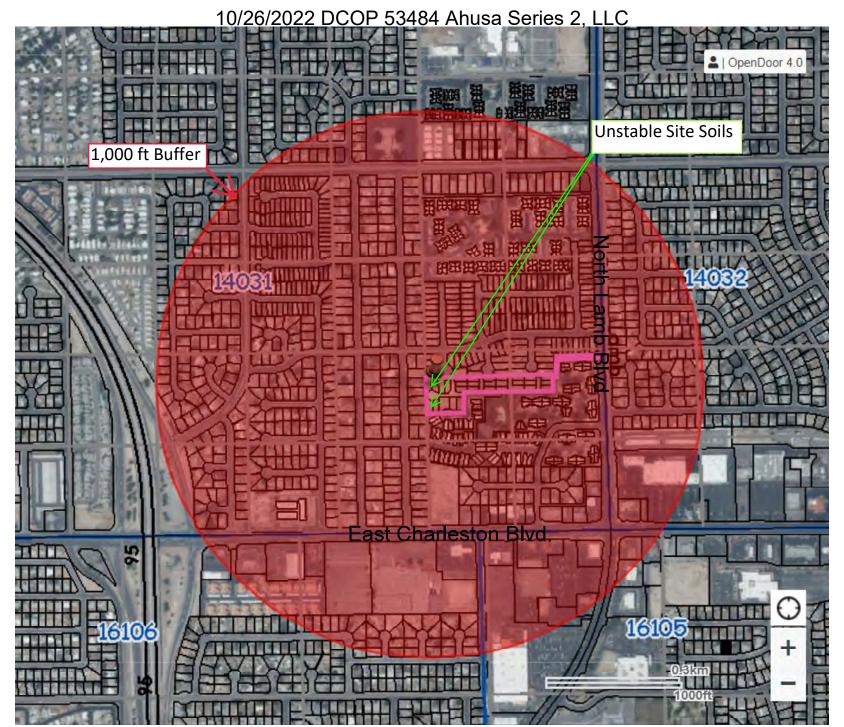
For Dust Control Applications and Forms, click on this link: Dust Control Permitting

You must use **INTERNET EXPLORER** as your search engine. If you have your default browser set to anything else, such as Chrome, the forms will not work.





For Dust Control Applications and Forms, click on this link: <a href="Dust Control Permitting Portal">Dust Control Permitting Portal</a>, Forms & Requirements.



Map 2 - Showing approximate location of dry, loose, and powdery site soils within 1,000 feet of a residential area.

#### EXHIBIT I



Date:

Start Time:

Officer:

Division of Air Quality 4701 W. Russell Rd. Suite 200 2<sup>nd</sup> Floor Las Vegas, NV 89118

Complaint No.:

Main Number: (702)455-5942 Fax Number: (702)383-9994

Permit No.:

## CONSTRUCTION SITE INSPECTION REPORT Inspection No. 110668

Type:

End Time:

Satyra George 9:30 AM 53484 Oct 27, 2022 9:52 AM Follow-up Permittee: Project Location: **Project Name:** Ahusa Series 2, LLC Inspiration At The Village Manor Green Ln/Corwood Green Ln. Wind Direction: Weather: Wind Gust: Site Status: Rain: Temperature: Wind Speed: Clear No 15 mph NE Active 58 degrees 10-14 mph PCF Submitted: Workers Present: Spoke With: Title: Comm. Method: No Yes Dwayne Rowe Superintendent In Person Spoke With: Title: Comm. Method: Mickey Stratton Responsible Official Email Is the project in compliance with all air quality requirements? No Action Taken: Possible NOV Violation in 1000 feet of: Residential **Emission Compliance:** Yes Fugitive Dust Source: Plume Length: Opacity: Opacity Test Method:

BMP Compliance: No

Project Soils: Unstable Size of Instability: 0.3 acres

Trackout Device: No - Not Practical Has Trackout: No

Mitigation Equipment: Inadequate Soil Crust Determination: Fail

Admin Compliance: Yes

Acreage Permitted: 3.94 acres Observed Acreage: 3.94 acres Project Size: Less than or equal

Staging/Parking

On Oite

DOOR Circles

Observed Acreage. 3.94 acres

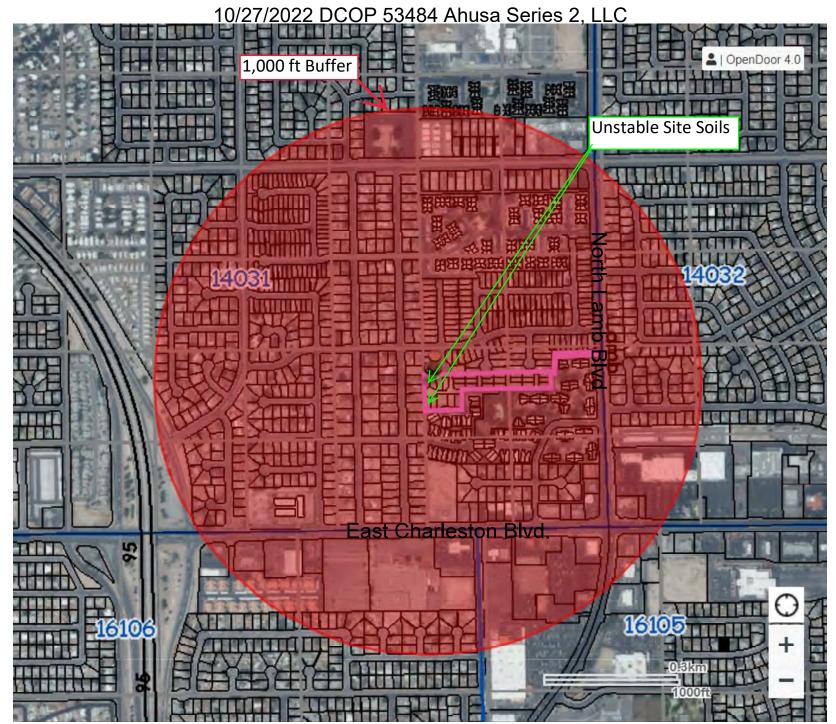
Froject Size. to permitted

Area: DCOP Sign: Yes DCOP Onsite: Not Verified

SS Permit(s): No Equipment SS Permit No. Equipment Onsite:

Inspector Notes: Approved By: Andrew Kirk

I conducted a Follow-Up Inspection and observed the site to have .3 acres of dry, loose, powdery, unstable site soils. I spoke with Dwayne Rowe, Superintendent, and e-mailed Mickey Stratton, the Responsible Official, to tell them that the site is on a third day of Non-Compliance with the potential to go to a Notice of Violation for unstable site soils and directed them to stabilize all site soils immediately and maintain in a moist or crusted condition 24/7. Mr. Rowe said that he understood and would comply with all Air Quality Regulations.



Map 3 - Showing approximate location of dry, loose, and powdery site soils within 1,000 feet of a residential area.

## AQDCP EXHIBIT K

**From:** aqdcp@clarkcountynv.gov

Sent: Monday, October 31, 2022 9:06 AM

To: AQDCP

**Subject:** Clark County Air Quality is Issuing a Construction Notice



#### **Clark County Department of Environment and Sustainability**

#### **Division of Air Quality**

#### **CONSTRUCTION NOTICE**

#### for Tuesday, November 1 and Wednesday, November 2

#### **Attention Dust Control Permit Holders, Contractors, and Stationary Sources**

National Weather Service and the weather models used by the Division of Air Quality (DAQ) show the potential for high winds beginning Tuesday mid-morning and lasting through Wednesday. The forecast is for **sustained winds of 20 mph**, with gusts **of 30 mph**.

DAQ directs all permittees to inspect their site(s) and employ Best Available Control Measures to stabilize all disturbed soils. Permittees with multiple sites should contact each site superintendent or dust monitor to ensure compliance with the Clark County Air Quality Regulations.

**BLASTING:** This forecast is for wind gusts of 30 mph or more. Project operators should not load blasting materials or perform any blasting operations. You are required to monitor National Weather Service reports for wind speeds; if wind gusts above 25 mph are forecast, discontinue charging additional blast holes. Limit the blast to holes charged at the time the wind report is made.

DAQ will continue to monitor these forecasts for any further wind developments. If the weather forecast is upgraded and conditions warrant, you will be notified of a Dust Advisory.

It is important this Construction Notice be sent to all supervisors, foremen, and subcontractors working on your construction projects and at PM<sub>10</sub> stationary sources.

Please direct questions about this Construction Notice to a DAQ compliance supervisor at (702) 455-5942.

Issued: 10/31/2022

You are receiving this notice because you have asked to be on our mailing list or your e-mail address is listed as the point of contact on an active Dust Control Permit.

UNSUBSCRIBE: If you do not want to continue receiving these notices and wish to be removed from the DAQ e-mail notification system, reply to this message or email <a href="MAQDCP@ClarkCountyNV.gov">AQDCP@ClarkCountyNV.gov</a> with the subject line <a href="Remove From Email List">Remove From Email List</a>. Include your name and company. If possible, send your request from the email address to be removed. If your email address is the only contact on a Dust Control Permit, you will receive a request for a replacement contact. If you do not provide one, all email information will be removed from your permit record and further contact will be by telephone and/or U.S. mail only.

From: <u>Microsoft Outlook</u>

To: <u>mickey@aspecthomesusa.com</u>

**Subject:** Relayed: Clark County Air Quality is Issuing a Construction Notice

**Date:** Monday, October 31, 2022 9:26:41 AM

Attachments: Clark County Air Quality is Issuing a Construction Notice.msg

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server: mickey@aspecthomesusa.com <mailto:mickey@aspecthomesusa.com>
Subject: Clark County Air Quality is Issuing a Construction Notice

#### **EXHIBIT L**



SS Permit(s):

Division of Air Quality 4701 W. Russell Rd. Suite 200 2<sup>nd</sup> Floor

Las Vegas, NV 89118

Main Number: (702)455-5942 Fax Number: (702)383-9994

# CONSTRUCTION SITE INSPECTION REPORT Inspection No. 110820

Officer:	Date:	Start Time:	End IIII	ie: Type:	C	ompiaint ivo.:	Permit No.:	
Satyra George	Nov 2, 2022	2:55 PM	3:10 PM	Constru Notice	uction		53484	
Permittee:		Project	Name:		Project I	Location:		
Ahusa Series 2, L	LC	Inspirati	on At The Vil	lage	Manor G	Green Ln/Corv	vood Green Ln.	
Weather:	Rain:	Temperature:	Wind Sp	eed: Wind G	Gust: W	/ind Direction:	Site Status:	
Cloudy	No	66 degrees	20-24 m	ph 30 mph	n S	W	Active	
PCF Submitted:	Worke	ers Present:	Spoke With:	Titl	e:	Cor	nm. Method:	
No	Yes		Mickey Stra	tton Re	sponsible Offic	cial Pho	one	
			Spoke With:	Titl	e:	Cor	nm. Method:	
Is the project in	compliance wi	th all air quality rec	quirements?					No
Action Taken:		Possible NOV		Violation in 1000	feet of:	Residentia	ıl	
Emission Compl	iance:							Yes
Fugitive Dust Sou	irce:			Plume Length:				
Opacity:				Opacity Test Method:				
BMP Compliance	e:							No
Project Soils:		Unstable		Size of Instability	<i>'</i> :	0.4 acres		
Trackout Device:		No - Not Practical		Has Trackout:		No		
Mitigation Equipment:		Inadequate		Soil Crust Deterr	mination:	Fail		
Admin Complian	ice:							Yes
Acreage Permitte	d: 3.94 acres	Observe	ed Acreage:	3.94 acres	Project S	Size:	Less than or equal to permitted	ual
Staging/Parking Area	On-Site	DCOP S	Sign:	Yes	DCOP (	Onsite:	Not Verified	

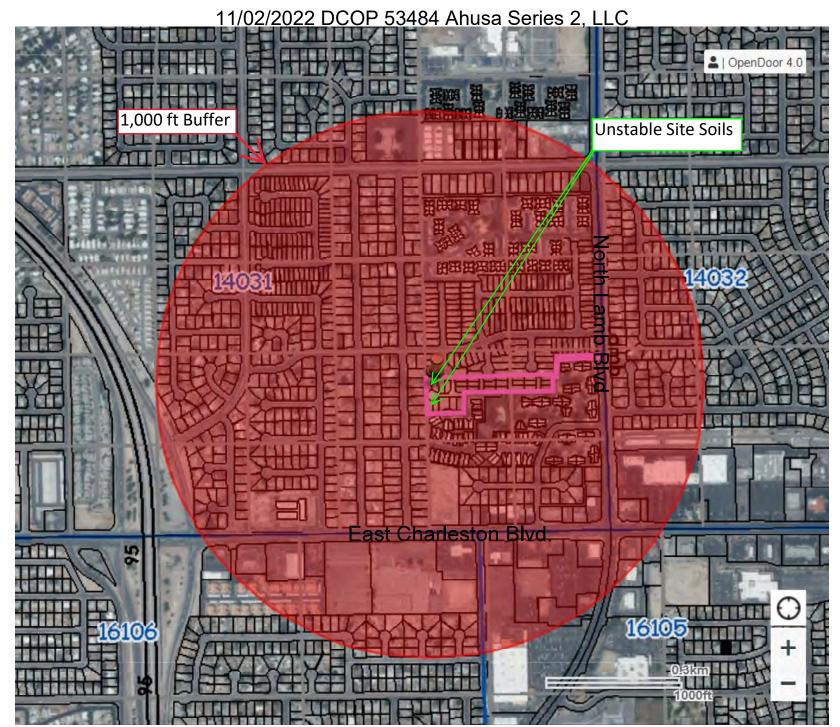
Inspector Notes: Approved By: Andrew Kirk

SS Permit No.

No Equipment

I conducted a Construction Notice/Follow-Up Inspection and observed approximately .4 acres of dry, loose, powdery, unstable site soils. I contacted the Responsible Official, Mickey Stratton, and told him this is another day of Non-Compliance with the potential to go to a Notice of Violation for unstable site soils, and asked him to stabilize all site soils immediately and confirm that he received the Construction Notice (CN). Mr. Stratton said he received the CN, understood, and would comply with all Air Quality Regulations.

**Equipment Onsite:** 



Map 4 - Showing approximate location of dry, loose, and powdery site soils within 1,000 feet of a residential area.

#### EXHIBIT N



Division of Air Quality 4701 W. Russell Rd. Suite 200 2nd Floor

Las Vegas, NV 89118

Main Number: (702)455-5942 Fax Number: (702)383-9994

#### CONSTRUCTION SITE INSPECTION REPORT Inspection No. 110827

Officer: Date: Start Time: End Time: Complaint No.: Permit No.: Type: Satyra George 8:40 AM 8:55 AM 53484 Nov 3, 2022 Follow-up Permittee: Project Name: Project Location: Ahusa Series 2, LLC Inspiration At The Village Manor Green Ln/Corwood Green Ln. Weather: Wind Speed: Wind Gust: Wind Direction: Site Status: Rain: Temperature: Yes 10-14 mph 20 mph W Active Cloudy 49 degrees PCF Submitted: Workers Present: Spoke With: Title: Comm. Method: No Yes Spoke With: Title: Comm. Method: Is the project in compliance with all air quality requirements? Yes Action Taken: No Action Taken Violation in 1000 feet of: Not Applicable **Emission Compliance:** Yes Fugitive Dust Source: Plume Length: Opacity: **Opacity Test Method: BMP Compliance:** Yes Project Soils: Stable Size of Instability: Trackout Device: No - Not Practical Has Trackout: No Soil Crust Determination: Mitigation Equipment: Inadequate Not Necessary/Not Performed **Admin Compliance:** Yes Less than or equal Acreage Permitted: 3.94 acres Observed Acreage: 3.94 acres Project Size: to permitted Staging/Parking On-Site DCOP Sign: Yes DCOP Onsite: Not Verified Area: SS Permit No. SS Permit(s): No Equipment **Equipment Onsite: Inspector Notes:** Approved By: Andrew Kirk

I conducted a Follow-Up Inspection and observed rain on-site creating damp soils. I spoke with Dwayne Rowe, Superintendent, and informed him the site is back in compliance with Air Quality Regulations.

#### **CERTIFICATE OF MAILING**

I hereby certify that on December 19, 2022 , I emailed and/or mailed the following documents via Federal Express, return receipt requested, and via electronic mail to the following individual(s) at the addresses shown:

- 1. NOV #9784, dated December 19, 2022
- 2. Notice of Violation Response Form
- 3. Clark County Air Quality Regulations, Section 7 Air Pollution Control Hearing Board and Hearing Officer (Amended 1/21/20)

to the individual(s) listed below by placing true and correct copies thereof enclosed in a sealed envelope, postage prepaid, for collection and mailing following our ordinary business practices for mailing. The envelope was addressed as follows:

#### FEDERAL EXPRESS TRK #7708 2812 1034

Mickey Stratton, Onsite Representative and Responsible Official

E-mail: mickey@aspecthomesusa.com

Ahusa Series 2 LLC

10300 West Charleston Boulevard, Suite 13-459

Las Vegas, Nevada 89135

Phone: 512-786-1766

FEDERAL EXPRESS TRK #7708 2815 6050

John M. Stratton, Manager Aspect Homes USA LLC, Manager Ahusa Series 2 LLC **2774 Windcrest Falls** Las Vegas, Nevada 89135

Phone: 512-786-1766

FEDERAL EXPRESS TRK #7708 2819 4040

Matthew W. Smith, Manager Aspect Homes USA LLC, Manager Ahusa Series 2 LLC 1029 Venetian Hills Lane

Las Vegas, Nevada 89144 Phone: 512-786-1766

Dated: 12/19/2022

Shevie Kogge

Sherrie D. Rogge, Administrative Secretary Department of Environment & Sustainability, Division of Air Quality-Enforcement Section 062

Receptionist/Front Desk



Dear Customer,

The following is the proof-of-delivery for tracking number: 770828121034

**Delivery Information:** 

Status: Delivered

Signed for by: P.CARO

Service type: FedEx Standard Overnight

Special Handling: Deliver Weekday

LAS VEGAS, NV,

Delivered To:

**Delivery Location:** 

**Delivery date:** Dec 20, 2022 09:44

Shipping Information:

**Tracking number:** 770828121034 **Ship Date:** Dec 19, 2022

**Weight:** 0.5 LB/0.23 KG

Recipient: Shipper:

LAS VEGAS, NV, US, LAS VEGAS, NV, US,

Reference NOV #9784

Signature image is available. In order to view image and detailed information, the shipper or payor account number of the shipment must be provided.



Dear Customer,

The following is the proof-of-delivery for tracking number: 770828156050

Delivery Information:					
Status:	Delivered	Delivered To:	Residence		
Signed for by:	Signature not required	Delivery Location:			
Service type:	FedEx Standard Overnight				
Special Handling:	Deliver Weekday; Residential Delivery		LAS VEGAS, NV,		
		Delivery date:	Dec 20, 2022 12:23		
Shipping Information:					
Tracking number:	770828156050	Ship Date:	Dec 19, 2022		
		Weight:	0.5 LB/0.23 KG		
Recipient:		Shipper:			
LAS VEGAS, NV, US,		LAS VEGAS, NV, US,	LAS VEGAS, NV, US,		

Proof-of-delivery details appear below; however, no signature is available for this FedEx Express shipment because a signature was not required.



Dear Customer,

The following is the proof-of-delivery for tracking number: 770828194040

**Delivery Information:** 

Delivered Status:

Signed for by: Signature not required

Service type: FedEx Standard Overnight

Deliver Weekday; Residential Delivery Special Handling:

LAS VEGAS, NV,

Residence

Delivery date: Dec 20, 2022 15:57

Delivered To:

**Delivery Location:** 

Shipping Information:

Tracking number: Ship Date: 770828194040 Dec 19, 2022

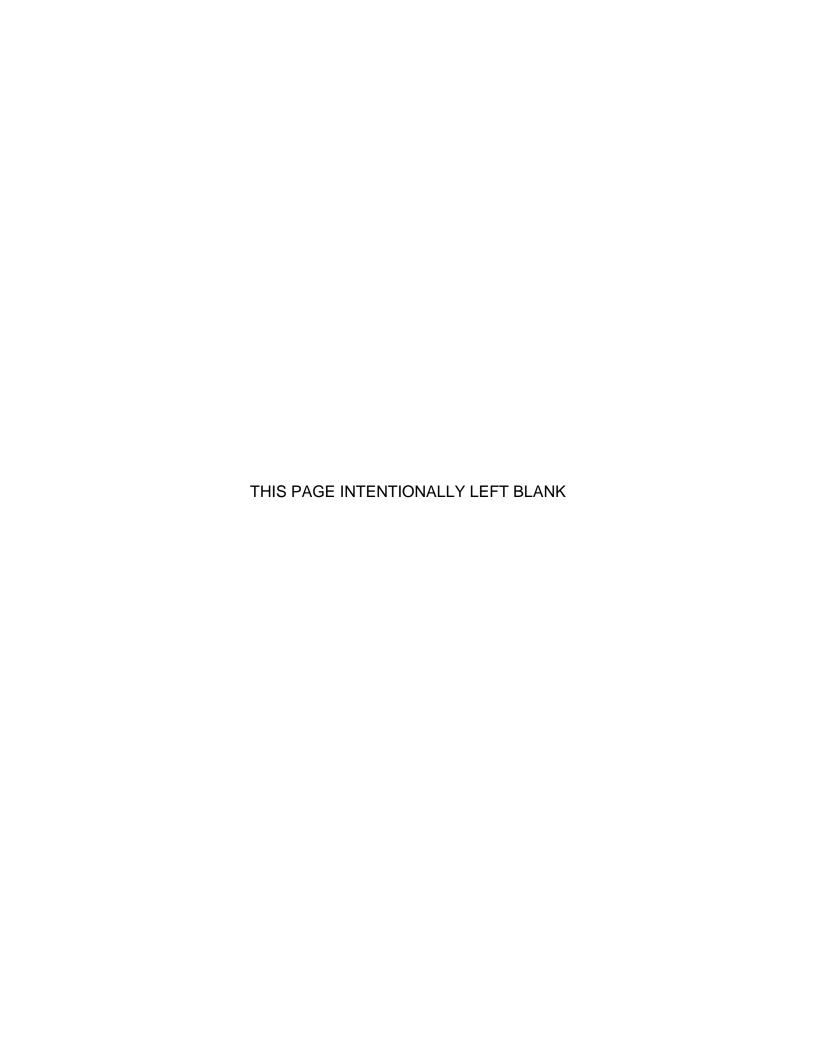
> Weight: 0.5 LB/0.23 KG

Recipient: Shipper:

LAS VEGAS, NV, US, LAS VEGAS, NV, US,

Reference NOV #9784

> Proof-of-delivery details appear below; however, no signature is available for this FedEx Express shipment because a signature was not required.





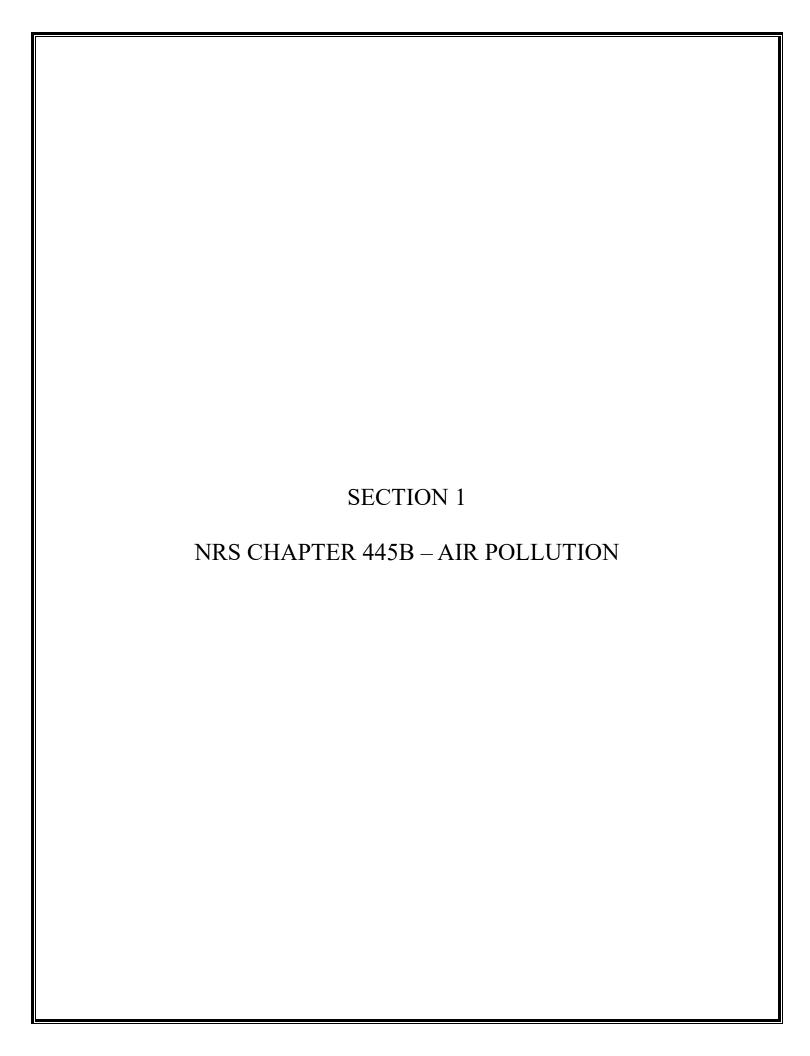
4701 W. Russell Road 2<sup>nd</sup> Floor Las Vegas, NV 89118-2231 Phone: (702) 455-5942 • Fax: (702) 383-9994 Marci Henson, Director

# CLARK COUNTY AIR POLLUTION CONTROL HEARING BOARD NEW MEMBER ORIENTATION HANDBOOK 2023

# APC HEARING BOARD NEW MEMBER ORIENTATION HANDBOOK

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Nevada Open Meeting Law 12 <sup>th</sup> Edition – Updated 3/26/2019	Section 4
Nevada Commission on Ethics	Section 5
Nevada Commission on Ethics Quick Reference Guide	Section 5
NRS: Chapter 281A – Ethics in Government	Section 5
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Department of Environment and Sustainability Organization Chart	Section 7



#### **SECTION 1**

[Rev. 5/1/2022 9:47:27 PM-2021]

#### **CHAPTER 445B - AIR POLLUTION**

#### **GENERAL PROVISIONS**

NRS 445B.100 NRS 445B.105 NRS 445B.110 NRS 445B.115 NRS 445B.125 NRS 445B.125 NRS 445B.130 NRS 445B.135 NRS 445B.137 NRS 445B.140 NRS 445B.140 NRS 445B.145 NRS 445B.150 NRS 445B.155	Declaration of public policy. Definitions.  "Air contaminant" defined.  "Air pollution" defined.  "Commission" defined.  "Department" defined.  "Director" defined.  "Federal Act" defined.  "Greenhouse gas" defined.  "Hazardous air pollutant" defined.  "Operating permit" defined.  "Person" defined.  "Source" and "indirect source" defined.
	STATE ENVIRONMENTAL COMMISSION
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NRS 445B.205	Department designated as State Air Pollution Control Agency.
NRS 445B.210 NRS 445B.215	Powers of Commission. Notice of public hearing on regulations of Commission.
NRS 445B.220	Additional powers of Commission.
NRS 445B.225 NRS 445B.230	Power of Commission to require testing of sources.  Powers and duties of Department.
NRS 445B.235	Additional powers of Department; deposit of money collected from sale of emission credits or
	allocations; Department to develop regulations concerning public participation in
NRS 445B.240	determination of amount of emission credits or allocations available for sale.  Power of representatives of Department to enter and inspect premises.
NRS 445B.245	Power of Department to perform or require test of emissions from stacks.
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	LOCAL HEARING BOARD
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NRS 445B.280	Attendance of witnesses at hearing; contempt; compensation.
	PROVISIONS FOR ENFORCEMENT
NRS 445B.300	Operating permit for source of air contaminant; notice and approval of proposed construction; administrative fees; failure of Commission or Department to act.
NRS 445B.305	Commission to adopt regulations prescribing additional fee imposed on operators of mines with potential to emit mercury; amount of additional fee; operators of mines with potential to
	emit mercury to pay additional fee.
NRS 445B.310 NRS 445B.320	Limitations on enforcement of federal and state regulations concerning indirect sources.
NRS 445B.330	Approval of plans and specifications required before construction or alteration of structure.  Notice of regulatory action: Requirement; method; contents of notice.
NRS 445B.340	Appeals to Commission: Notice of appeal.
NRS 445B.350 NRS 445B.360	Appeals to Commission: Hearings. ^Appeals to Commission; regulations.

#### **GREENHOUSE GAS EMISSIONS**

NRS 445B.380 Report of Department concerning greenhouse gas emissions; consultation with certain entities concerning report; submission of information to Department; regulations.

#### **VARIANCES**

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NRS 445B.400 NRS 445B.410 NRS 445B.420 NRS 445B.430	Conditions and criteria for granting variance; power to revoke. Renewal; protest and hearing on application for renewal. Limitations on duration; annual review. Granting and renewal discretionary.
	VIOLATIONS
NRS 445B.450	Notice and order by Director; hearing; alternative procedures.
NRS 445B.460 NRS 445B.470	Injunctive relief.  Prohibited acts; penalty; establishment of violation; request for prosecution.
	PROGRAM FOR CONTROL OF AIR POLLUTION
NRS 445B.500	Establishment, content and administration of program; designation of air pollution control agency of county for purposes of Federal Act; powers and duties of local air pollution control board; notice of public hearings; delegation of authority to determine violations and levy administrative penalties; cooperative or interlocal agreements by cities and smaller counties; regulation of certain electric plants prohibited.
NRS 445B.503	Local air pollution control board in county whose population is 700,000 or more: Cooperation with regional planning coalition and regional transportation commission; prerequisites to adoption or amendment of plan, policy or program.
NRS 445B.505	Requirements for enacting ordinance or adopting regulation establishing fuel standards for mobile sources of air contaminants: Determination of cost effectiveness and feasibility; public meeting.
NRS 445B.508 NRS 445B.510	Reduction or mitigation of increases in emissions; air pollution credits.  Commission may require program for designated area.
NRS 445B.520	Commission may establish or supersede county program.
NRS 445B.530 NRS 445B.540	Commission may assume jurisdiction over specific classes of air contaminants.  Restoration of superseded local program; continuation of existing local program.
	MISCELLANEOUS PROVISIONS
NRS 445B.560	Plan or procedure for emergency.
NRS 445B.570 NRS 445B.580	Confidentiality and use of information obtained by Department; penalty.  Officer of Department may inspect or search premises; search warrant.
NRS 445B.590	Account for the Management of Air Quality: Creation and administration; use; interest; payment
NRS 445B.595	of claims.  Governmental sources of air contaminants to comply with state and local provisions regarding air pollution; permit to set fire for training purposes; planning and zoning agencies to
	consider effects on quality of air.
NRS 445B.600 NRS 445B.610	Private rights and remedies not affected.  Provisions for transition in administration.
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NRS 445B.640	Levy and disposition of administrative fines; additional remedies available; criminal penalty for failure to pay administrative fine.
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NRS 445B.724 NRS 445B.725	"Body shop" defined. "Commission" defined.
NRS 445B.727	"Consignee" defined.
NRS 445B.728 NRS 445B.729	"Consignment auction" defined. "Distributor" defined.
NRS 445B.730	"Evidence of compliance" defined. "Fleet station" defined.
NRS 445B.735	FICTI STATION UCHNICU.

NRS 445B.736	"Garage" defined.
NRS 445B.737	"Heavy-duty motor vehicle" defined.
NRS 445B.738	"Licensee" defined.
NRS 445B.739 NRS 445B.740	"Lienholder" defined. "Light-duty motor vehicle" defined.
NRS 445B.743	"Manufacturer" defined.
NRS 445B.745	"Motor vehicle" defined.
NRS 445B.747 NRS 445B.750	"Motor vehicle fuel" defined. "Passenger car" defined.
NRS 445B.755	"Pollution control device" defined.
NRS 445B.7555	"Rebuilder" defined.
NRS 445B.756 NRS 445B.7565	"Registered owner" defined. "Salvage pool" defined.
NRS 445B.757	"Special fuel" defined.
NRS 445B.758	"Used motor vehicle" defined. "Vehicle dealer" defined.
NRS 445B.7585 NRS 445B.759	Inapplicability to military tactical vehicles, replica vehicles and registered retired military vehicles.
NRS 445B.760	Authority of Commission to prescribe standards for emissions from mobile internal combustion
	engines; trimobiles; standards pertaining to motor vehicles to be approved by Department of Motor Vehicles.
NRS 445B.765	Information concerning program for control of emissions from motor vehicles: Collection,
71200	interpretation and correlation; public inspection.
NRS 445B.767	Authority of Commission, in larger counties, to adopt regulations to establish voluntary program of electronic monitoring of emission information; imposition and collection of annual fee.
NRS 445B.770	Regulations of Commission: Control of emissions from motor vehicles; program for inspection and
	testing of motor vehicles.
NRS 445B.775	Regulations of Commission: Requirements for licensing of stations by Department of Motor Vehicles.
NRS 445B.776	Application for license must include social security number.  Effective until the date of the repeal
	of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for
	withholding, suspending and restricting the professional, occupational and recreational licenses for child support arrearages and for noncompliance with certain processes
	relating to paternity or child support proceedings.]
NRS 445B.777	Payment of child support: Statement by applicant for license; grounds for denial of license; duty of
	Department of Motor Vehicles. [Effective until the date of the repeal of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for withholding, suspending and
	restricting the professional, occupational and recreational licenses for child support
	arrearages and for noncompliance with certain processes relating to paternity or child support proceedings.
NRS 445B.7773	Prohibition on denial of application based on immigration or citizenship status; alternative
	personally identifying number required by applicant with no social security number;
NRS 445B.7776	confidentiality of social security or alternative personally identifying number.  Petition to determine if criminal history will disqualify person from obtaining license; fee; posting
THIS HISBITTIO	of requirements for license and list of disqualifying crimes on Internet; report.
NRS 445B.778	Suspension of license for failure to pay child support or comply with certain subpoenas or
	warrants; reinstatement of license. [Effective until the date of the repeal of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for withholding,
	suspending and restricting the professional, occupational and recreational licenses for
	child support arrearages and for noncompliance with certain processes relating to paternity or child support proceedings.]
NRS 445B.780	Program for regulation of emissions from heavy-duty motor vehicles; equipment used to measure
NIDO 44ED EOE	emissions; waiver from requirements of program.
NRS 445B.785	Regulations of Department of Motor Vehicles: Licensing of stations; performance of inspection and issuance of evidence of compliance; diagnostic equipment; fee, bond or insurance;
	informational pamphlet; distribution.
NRS 445B.790	Regulations concerning inspection of stations; grounds for denial, suspension or revocation of
NRS 445B.795	license of inspector or station. Compulsory program for control of emissions: Limitations.
NRS 445B.798	Authority of Department of Motor Vehicles, in larger counties, to conduct test of emissions from
NRS 445B.800	motor vehicle being operated on highway.  Evidence of compliance: Requirements for registration, sale or long-term lease of used vehicles in
11KS 445D.000	certain counties.
NRS 445B.805	Evidence of compliance: Exemptions from requirements; requirements for notice and availability
NRS 445B.807	for inspection.  Consignment auction: Qualifying event; certification of auctioneer; regulations.
NRS 445B.810	State Department of Conservation and Natural Resources to provide assistance.
NRS 445B.815	Evidence of compliance: Duty of employees and agents of Department of Motor Vehicles;
NRS 445B.820	submission by owner or lessee of fleet. Installation and inspection of pollution control device.
NRS 445B.825	Exemption of certain classes of motor vehicles and hybrid electric vehicles; waiver from provisions
	of <u>NRS 445B.770</u> to <u>445B.815</u> , inclusive.

NRS 445B.830	Fees to be paid to Department of Motor Vehicles; Pollution Control Account; expenditure of money in Account; quarterly distributions to local governments; annual reports by local governments; grants; creation and duties of advisory committee; submission and approval of proposed grants.
NRS 445B.832	Surcharge for electronic transmission of information: Authority to impose; inclusion as separate
11K5 445B.052	
	entry on form certifying emission control compliance; definition.
NRS 445B.834	Additional fee for form certifying emission control compliance; notification to Department
	concerning purposes of fee; uses of fee.
NIDG 44ED OOF	
NRS 445B.835	Administrative fine; hearing; additional remedies to compel compliance.
NRS 445B.840	Unlawful acts.
NRS 445B.845	Criminal penalty; enforcement of provisions by peace officer; mitigation of offense.

#### **GENERAL PROVISIONS**

#### NRS 445B.100 Declaration of public policy.

- 1. It is the public policy of the State of Nevada and the purpose of NRS 445B.100 to 445B.640, inclusive, to achieve and maintain levels of air quality which will protect human health and safety, prevent injury to plant and animal life, prevent damage to property, and preserve visibility and scenic, esthetic and historic values of the State
  - 2. It is the intent of NRS 445B.100 to 445B.640, inclusive, to:
- (a) Require the use of reasonably available methods to prevent, reduce or control air pollution throughout the State of Nevada;
  - (b) Maintain cooperative programs between the State and its local governments; and
- (c) Facilitate cooperation across jurisdictional lines in dealing with problems of air pollution not confined within a single jurisdiction.
- 3. The quality of air is declared to be affected with the public interest, and NRS 445B.100 to 445B.640, inclusive, are enacted in the exercise of the police power of this State to protect the health, peace, safety and general welfare of its people.
  - 4. It is also the public policy of this State:
- (a) To provide for the integration of all programs for the prevention of accidents and motor vehicle crashes in this State involving chemicals, including, without limitation, accidents and motor vehicle crashes involving hazardous air pollutants, highly hazardous chemicals, highly hazardous substances and extremely hazardous substances; and
- (b) Periodically to retire a portion of the emission credits or allocations specified in <u>NRS 445B.235</u> that may otherwise be available for banking or for sale pursuant to that section.

(Added to NRS by 1971, 1191; A 1993, 2851; 2007, 1023, 3311; 2015, 1678)

NRS 445B.105 Definitions. As used in NRS 445B.100 to  $\frac{445B.640}{445B.155}$ , inclusive, unless the context otherwise requires, the words and terms defined in NRS  $\frac{445B.100}{445B.155}$  to  $\frac{445B.155}{445B.155}$ , inclusive, have the meanings ascribed to them in those sections.

(Added to NRS by 1971, 1192; A 1973, 1811; 1993, 2852; 2007, 1907, 3312)

NRS 445B.110 "Air contaminant" defined. "Air contaminant" means any substance discharged into the atmosphere except water vapor and water droplets.

(Added to NRS by <u>1971, 1192)</u>

NRS 445B.115 "Air pollution" defined. "Air pollution" means the presence in the outdoor atmosphere of one or more air contaminants or any combination thereof in such quantity and duration as may tend to:

- 1. Injure human health or welfare, animal or plant life or property.
- 2. Limit visibility or interfere with scenic, esthetic and historic values of the State.
- 3. Interfere with the enjoyment of life or property.

(Added to NRS by <u>1971</u>, <u>1192</u>)

NRS 445B.120 "Commission" defined. "Commission" means the State Environmental Commission. (Added to NRS by 1971, 1192; A 1973, 1811)

NRS 445B.125 "Department" defined. "Department" means the State Department of Conservation and Natural Resources.

(Added to NRS by 1973, 1808; A 1973, 1406; 1977, 1142)

NRS 445B.130 "Director" defined. "Director" means the Director of the Department or the Director's designee or person designated by or pursuant to a county or city ordinance or regional agreement or regulation to enforce local air pollution control ordinances and regulations.

(Added to NRS by <u>1973</u>, <u>1808</u>)

NRS 445B.135 "Federal Act" defined. "Federal Act" means the Clean Air Act (42 U.S.C. §§ 7401 et seq.), which includes the Clean Air Act of 1963 (P.L. 88-206) and amendments made by the Motor Vehicle Air Pollution Control Act (P.L. 89-272, October 20, 1965), the Clean Air Act Amendments of 1966 (P.L. 89-675, October 15, 1966), the Air Quality Act of 1967 (P.L. 90-148, November 21, 1967), the Clean Air Amendments of 1970 (December 31, 1970) and any amendments thereto made after July 1, 1971.

(Added to NRS by 1971, 1192; A 1993, 2852)

NRS 445B.137 "Greenhouse gas" defined. "Greenhouse gas" means any of the following gases, either alone or in combination:

- 1. Carbon dioxide (CO<sub>2</sub>);
- 2. Hydrofluorocarbons;
- 3. Methane (CH<sub>4</sub>);
- 4. Nitrous oxide (N<sub>2</sub>O);
- 5. Perfluorocarbons; and
- 6. Sulphur hexafluoride (SF<sub>6</sub>).

(Added to NRS by 2007, 1906)

NRS 445B.140 "Hazardous air pollutant" defined. "Hazardous air pollutant" means a substance designated as sub by the Commission pursuant to NRS 445B.210.

(Added to NRS by <u>1993, 2849</u>)

NRS 445B.145 "Operating permit" defined. "Operating permit" means a permit signed and issued by the Director approving, with conditions, the construction and operation of a source of any air contaminant. (Added to NRS by 1993, 2849)

NRS 445B.150 "Person" defined. "Person" includes the State of Nevada, political subdivisions, administrative agencies and public or quasi-public corporations.

(Added to NRS by 1971, 1192; A 1985, 517)

#### NRS 445B.155 "Source" and "indirect source" defined.

1. "Source" means any property, real or personal, which directly emits or may emit any air contaminant.

- 2. "Indirect source" means any property or facility that has or solicits secondary or adjunctive activity which emits or may emit any air contaminant for which there is an ambient air quality standard, notwithstanding that such property or facility may not itself possess the capability of emitting such air contaminants. Indirect sources include, but are not limited to:
  - (a) Highways and roads;
  - (b) Parking facilities;
  - (c) Retail, commercial and industrial facilities;
  - (d) Recreation, amusement, sports and entertainment facilities;
  - (e) Airports;
  - (f) Office and government buildings;
  - (g) Apartment and condominium buildings;
  - (h) Educational facilities; and
- (i) Other such property or facilities which will result in increased air contaminant emissions from motor vehicles or other stationary sources.

(Added to NRS by 1971, 1192; A 1973, 1811; 1975, 1781; 1977, 1558)

#### STATE ENVIRONMENTAL COMMISSION

NRS 445B.200 Creation and composition; Chair; quorum; compensation of members and employees; disqualification; technical support.

- 1. The State Environmental Commission is hereby created within the Department. The Commission consists of:
  - (a) The Director of the Department of Wildlife;
  - (b) The State Forester Firewarden;
  - (c) The State Engineer;
  - (d) The Director of the State Department of Agriculture;
  - (e) The Administrator of the Division of Minerals of the Commission on Mineral Resources;
  - (f) A member of the State Board of Health to be designated by that Board; and
  - (g) Five members appointed by the Governor:

- (1) One of whom is a general engineering contractor or a general building contractor licensed pursuant to chapter 624 of NRS;
  - (2) One of whom possesses expertise in performing mining reclamation; and
  - (3) One of whom possesses experience and expertise in advocating issues relating to conservation.
  - The Governor shall appoint the Chair of the Commission from among the members of the Commission.
- 3. A majority of the members constitutes a quorum, and a majority of those present must concur in any decision.
- 4. Each member who is appointed by the Governor is entitled to receive a salary of not more than \$80, as fixed by the Commission, for each day's attendance at a meeting of the Commission.
- 5. While engaged in the business of the Commission, each member and employee of the Commission is entitled to receive the per diem allowance and travel expenses provided for state officers and employees generally.
- 6. Any person who receives or has received during the previous 2 years a significant portion of his or her income, as defined by any applicable state or federal law, directly or indirectly from one or more holders of or applicants for a permit required by NRS 445A.300 to 445A.730, inclusive, is disqualified from serving as a member of the Commission. The provisions of this subsection do not apply to any person who receives, or has received during the previous 2 years, a significant portion of his or her income from any department or agency of State Government which is a holder of or an applicant for a permit required by NRS 445A.300 to 445A.730, inclusive.
- 7. The Department shall provide technical advice, support and assistance to the Commission. All state officers, departments, commissions and agencies, including the Department of Transportation, the Department of Health and Human Services, the Nevada System of Higher Education, the State Public Works Board, the Department of Motor Vehicles, the Department of Public Safety, the Public Utilities Commission of Nevada. the Nevada Transportation Authority and the State Department of Agriculture may also provide technical advice, support and assistance to the Commission.

(Added to NRS by 1971, 1192; A 1973, 908, 1406, 1720; 1975, 1404; 1977, 1142, 1220, 1484, 1561; 1979, 910, 1800; 1981, 1983; 1983, 2089; 1985, 424, 1991; 1989, 1288, 1715; 1989, 1288, 1715; 1993, 404, 1623; 1995, 579; 1997, 1998; 1999, 3623; 2001, 2616; 2003, 1564; 2007, 379)

#### NRS 445B.205 Department designated as State Air Pollution Control Agency. The Department is:

- 1. Designated as the Air Pollution Control Agency of the State for the purposes of the Federal Act insofar as it pertains to state programs.
- 2. Authorized to take all action necessary or appropriate to secure to this state the benefits of the Federal

(Added to NRS by <u>1971</u>, <u>1193</u>; A <u>1973</u>, <u>1813</u>)

- NRS 445B.210 Powers of Commission. The Commission may:
  Subject to the provisions of NRS 445B.215, adopt regulations consistent with the general intent and purposes of NRS 445B.100 to 445B.640, inclusive, to prevent, abate and control air pollution.
  - 2. Establish standards for air quality.
  - 3. Require access to records relating to emissions which cause or contribute to air pollution.
  - Cooperate with other governmental agencies, including other states and the Federal Government.
- Establish such requirements for the control of emissions as may be necessary to prevent, abate or control air pollution.
  - 6. By regulation:
- (a) Designate as a hazardous air pollutant any substance which, on or after October 1, 1993, is on the federal list of hazardous air pollutants pursuant to 42 U.S.C. § 7412(b); and
- (b) Delete from designation as a hazardous air pollutant any substance which, after October 1, 1993, is deleted from the federal list of hazardous air pollutants pursuant to 42 U.S.C. § 7412(b),
- ➡ based upon the Commission's determination of the extent to which such a substance presents a risk to the public health.
- 7. Hold hearings to carry out the provisions of NRS 445B.100 to 445B.640, inclusive, except as otherwise provided in those sections.
- 8. Establish fuel standards for both stationary and mobile sources of air contaminants. Fuel standards for mobile sources of air contaminants must be established to achieve air quality standards that protect the health of the residents of the State of Nevada.
- 9. Require elimination of devices or practices which cannot be reasonably allowed without generation of undue amounts of air contaminants.

(Added to NRS by 1971, 1193; A 1973, 1813, 1993, 2852, 1997, 3230, 2007, 1907)

NRS 445B.215 Notice of public hearing on regulations of Commission. Notice of the public hearing on a regulation which is to be considered by the Commission must be given by at least three publications of a notice in newspapers throughout the State, once a week for 3 weeks, commencing at least 30 days before the hearing.

(Added to NRS by 1971, 1194; A 1973, 1814; 1977, 69; 1981, 82)

NRS 445B.220 Additional powers of Commission. In carrying out the purposes of NRS 445B.100 to 445B 640, inclusive, the Commission, in addition to any other action which may be necessary or appropriate to carry out those purposes, may:

1. Cooperate with appropriate federal officers and agencies of the Federal Government, other states, interstate agencies, local governmental agencies and other interested parties in all matters relating to air pollution

control in preventing or controlling the pollution of the air in any area.

2. Recommend measures for control of air pollution originating in this State.

(Added to NRS by 1971, 1194; A 1973, 1814; 2007, 1908)

NRS 445B.225 Power of Commission to require testing of sources. The Commission may require the monitoring or source tests of existing or new stationary sources which can emit an air contaminant. (Added to NRS by 1973, 1810)

NRS 445B.230 Powers and duties of Department. The Department shall:

1. Make such determinations and issue such orders as may be necessary to implement the purposes of NRS 445B.100 to 445B.640, inclusive.

2. Apply for and receive grants or other funds or gifts from public or private agencies.

3. Cooperate and contract with other governmental agencies, including other states and the Federal Government.

4. Conduct investigations, research and technical studies consistent with the general purposes of NRS 445B.100 to 445B.640, inclusive.

5. Prohibit as specifically provided in NRS 445B.300 and 445B.320 and as generally provided in NRS 445B.100 to 445B.640, inclusive, the installation, alteration or establishment of any equipment, device or other article capable of causing air pollution.

6. Require the submission of such preliminary plans and specifications and other information as it deems necessary to process permits.

7. Enter into and inspect at any reasonable time any premises containing an air contaminant source or a source under construction for purposes of ascertaining compliance with NRS 445B.100 to 445B.640, inclusive.

Specify the manner in which incinerators may be constructed and operated.

Institute proceedings to prevent continued violation of any order issued by the Director and to enforce the provisions of NRS 445B.100 to 445B.640, inclusive.

10. Require access to records relating to emissions which cause or contribute to air pollution.

11. Take such action in accordance with the rules, regulations and orders promulgated by the Commission as may be necessary to prevent, abate and control air pollution.

(Added to NRS by 1973, 1808; A 2007, 1908)

NRS 445B.235 Additional powers of Department; deposit of money collected from sale of emission credits or allocations: Department to develop regulations concerning public participation in determination of amount of emission credits or allocations available for sale.

1. In carrying out the purposes of NRS 445B.100 to 445B.640, inclusive, the Department may:

(a) Collect money from the sale of emission credits or allocations.

(b) Cooperate with appropriate federal officers and agencies of the Federal Government, other states, interstate agencies, local governmental agencies and other interested parties in all matters relating to air pollution control in preventing or controlling the pollution of the air in any area.

(c) On behalf of this State, apply for and receive money made available to the State for programs from any private source or from any agency of the Federal Government under the Federal Act. All money received from any federal agency or private source as provided in this section must be paid into the State Treasury and must be expended, under the direction of the Department, solely for the purpose for which the grant has been made.

(d) Certify to the appropriate federal authority that facilities are in conformity with the state program and requirements for control of air pollution, or will be in conformity with the state program and requirements for control of air pollution if such facility is constructed and operated in accordance with the application for certification.

(e) Develop measures for control of air pollution originating in the State.

2. All money collected by the Department pursuant to paragraph (a) of subsection 1 must be deposited in the State General Fund for credit to the Account for the Management of Air Quality.

3. The Department shall:

(a) Develop proposed regulations establishing requirements for public participation in the determination by the Department of the amount of emission credits or allocations that are available for sale pursuant to paragraph (a) of subsection 1; and

(b) Recommend that the Commission adopt the proposed regulations pursuant to NRS 445B.210. (Added to NRS by 1973, 1809, A 2007, 1024)

#### NRS 445B.240 Power of representatives of Department to enter and inspect premises.

- 1. Any duly authorized officer, employee or representative of the Department may enter and inspect any property, premises or place on or at which an air contaminant source is located or is being constructed, installed or established at any reasonable time for the purpose of ascertaining the state of compliance with NRS 445B.100 to 445B.640, inclusive, and rules and regulations in force pursuant thereto.
  - 2. No person shall:
- (a) Refuse entry or access to any authorized representative of the Department who requests entry for purposes of inspection, as provided in this section, and who presents appropriate credentials.
  - (b) Obstruct, hamper or interfere with any such inspection.
- 3. If requested, the owner or operator of the premises shall receive a report setting forth all facts found which relate to compliance status.

(Added to NRS by <u>1971</u>, <u>1194</u>; A <u>1973</u>, <u>1815</u>)

NRS 445B.245 Power of Department to perform or require test of emissions from stacks. The Department may perform a stack source emission test or require the source owner or operator to have such test made prior to approval or prior to the continuance of an operating permit or similar class of permits.

(Added to NRS by 1973, 1810; A 1975, 1405)

#### LOCAL HEARING BOARD

#### NRS 445B.275 Creation; members; terms.

- 1. The governing body of any district, county or city authorized to operate an air pollution control program pursuant to NRS 445B.100 to 445B.640, inclusive, may appoint an air pollution control hearing board.
- 2. The air pollution control hearing board appointed by a county, city or health district must consist of seven members who are not employees of the State or any political subdivision of the State. One member of the hearing board must be an attorney admitted to practice law in Nevada, one member must be a professional engineer licensed in Nevada and one member must be licensed in Nevada as a general engineering contractor or a general building contractor as defined by NRS 624.215. Three must be appointed for a term of 1 year, three must be appointed for a term of 2 years and one must be appointed for a term of 3 years. Each succeeding term must be for a period of 3 years.

(Added to NRS by 1971, 1195; A 1973, 1815; 1975, 1782; 1997, 1068)

#### NRS 445B.280 Attendance of witnesses at hearing; contempt; compensation.

- 1. The district court in and for the county in which any hearing is being conducted may compel the attendance of witnesses, the giving of testimony and the production of books and papers as required by any subpoena issued by the chair of the hearing.
- 2. In case of the refusal of any witness to attend or testify or produce any papers required by such subpoena the chair may report to the district court in and for the county in which the hearing is held, by petition setting forth:
- (a) That due notice has been given of the time and place of attendance of the witness or the production of the books and papers:
- (b) That the witness has been subpoenaed in the manner prescribed in NRS 445B.100 to 445B.640, inclusive; and
- (c) That the witness has failed and refused to attend or produce the papers required by subpoena in the hearing named in the subpoena, or has refused to answer questions propounded to the witness in the course of such hearing,
- ightharpoonup and asking an order of the court compelling the witness to attend and testify or produce the books or papers in the hearing.
- 3. The court, upon petition of the chair, shall enter an order directing the witness to appear before the court at a time and place to be fixed by the court in such order, the time to be not more than 10 days from the date of the order, and then and there show cause why the witness has not attended or testified or produced the books or papers in the hearing. A certified copy of the order shall be served upon the witness. If it appears to the court that the subpoena was regularly issued by the chair, the court shall thereupon enter an order that the witness appear in the hearing at the time and place fixed in the order and testify or produce the required books or papers, and upon a failure to obey the order the witness shall be dealt with as for contempt of court.
  - 4. Witnesses may be compensated in the amounts provided in NRS 50.225. (Added to NRS by 1971, 1195; A 1973, 1816)

#### PROVISIONS FOR ENFORCEMENT

NRS 445B.300 Operating permit for source of air contaminant; notice and approval of proposed construction; administrative fees; failure of Commission or Department to act.

1. The Commission shall by regulation:

(a) Require the person operating or responsible for the existence of each source of air contaminant, generally or within a specified class or classes, to apply for and obtain an operating permit for the source.

(b) Require that written notice be given to the Director before the construction, installation, alteration or establishment of any source of air contaminant or of any specified class or classes of such sources, or the alteration of any device intended primarily to prevent or reduce air pollution. If within the time prescribed by regulation the Director determines that:

(1) The proposed construction, installation, alteration or establishment will not be in accordance with the provisions of the plans, specifications and other design material required to be submitted under NRS 445B.100 to

445B.640, inclusive, or applicable regulations; or

(2) The design material or the construction itself is of such a nature that it patently cannot bring such source into compliance with NRS 445B.100 to 445B.640, inclusive, or applicable regulations,

the Director shall issue an order prohibiting the construction, installation, alteration or establishment of the source or sources of air contaminant.

2. The Commission shall by regulation provide for:

(a) The issuance, renewal, modification, revocation and suspension of operating permits, and charge appropriate fees for their issuance in an amount sufficient to pay the expenses of administering NRS 445B.100 to 445B.640, inclusive, and any regulations adopted pursuant to those sections.

(b) The issuance of authorizations for the issuance of building permits pursuant to paragraph (a) of

subsection 2 of NRS 445B.320.

- 3. Any failure of the Commission or the Department to issue a regulation or order to prohibit any act does not relieve the person so operating from any legal responsibility for the construction, operation or existence of the source of air contaminant.
- 4. All administrative fees collected by the Commission pursuant to subsection 2 must be accounted for separately and deposited in the State General Fund for credit to the Account for the Management of Air Quality. This subsection does not apply to any fees collected by political subdivisions or their agencies.

(Added to NRS by 1971, 1196; A 1973, 1816; 1993, 2853)

NRS 445B.305 Commission to adopt regulations prescribing additional fee imposed on operators of mines with potential to emit mercury; amount of additional fee; operators of mines with potential to emit mercury to pay additional fee.

1. In addition to the fees for an operating permit, the Commission shall adopt regulations prescribing the appropriate fee to be imposed on the operator of a mine with the potential to emit mercury, and the schedule for payment of the fee. The Commission shall ensure that the fees imposed pursuant to this subsection are in an amount sufficient to pay the cost of employing two full-time employees of the Department whose employment responsibilities include ensuring compliance with a program to control mercury emissions adopted pursuant to NRS 445B.100 to 445B.640, inclusive, and any regulations adopted pursuant thereto. The Department shall advise the Commission in prescribing an appropriate fee pursuant to this subsection.

2. Each operator of a mine with the potential to emit mercury shall pay the fee prescribed by the Commission in accordance with the schedule prescribed by the Commission.

3. As used in this section, "mine with the potential to emit mercury" means a mine that, as determined by the Director, has the potential to emit mercury.

(Added to NRS by 2007, 3311)

### NRS 445B.310 Limitations on enforcement of federal and state regulations concerning indirect sources.

1. If any federal regulations relating to indirect sources become effective after January 17, 1977, the authority of a state agency to review new indirect sources may be exercised only:

(a) In the enforcement of those federal regulations; and

(b) To the extent enforcement by the state agency is required by the Federal Act.

- 2. The local air pollution control agency may enforce within its jurisdiction against existing indirect sources any federal or state regulations relating to indirect sources or any regulations it adopts relating to indirect sources, to the extent that:
  - (a) Local enforcement is not inconsistent with the requirements of any federal law or regulation; and

(b) Enforcement is necessary to comply with the federal standards for ambient air quality.

(Added to NRS by 1975, 1781; A 1977, 1559; 1981, 1539; 1985, 290; 1991, 1380)

## NRS 445B.320 Approval of plans and specifications required before construction or alteration of structure.

1. The Commission shall require, with respect to all sources of air contaminant, including indirect sources, that plans, specifications and such other information as the Commission may direct be submitted to the Director not later than a specified interval before the construction or alteration of a building or other structure if such construction or alteration includes the establishment or alteration of a source or indirect source of air contaminant.

- 2. The local government authority, if any, responsible for issuing any required building permit shall not issue such building permit:
  - (a) Until the Department has given its authorization therefor, pursuant to regulation of the Commission.

(b) If a stop order prohibiting such construction or alteration has been issued.

(Added to NRS by 1971, 1197; A 1973, 1817; 1977, 1559; 1993, 2854)

NRS 445B.330 Notice of regulatory action: Requirement; method; contents of notice. When the Department takes any regulatory action, under the provisions of NRS 445B.100 to 445B.640, inclusive, or under any rule, regulation, order or standard based thereon, it shall give reasonable notice to all parties by certified mail, which notice shall state the legal authority, jurisdiction and reasons for the action taken.

(Added to NRS by <u>1973</u>, <u>1809</u>)

NRS 445B.340 Appeals to Commission: Notice of appeal. A party aggrieved may file notice of appeal with the Commission within 10 days after the date of notice of action of the Department, except as otherwise provided by law.

(Added to NRS by 1973, 1809)

#### NRS 445B.350 Appeals to Commission: Hearings.

- 1. Within 20 days after receipt of the notice of appeal provided for in NRS 445B.340, the Commission shall hold a hearing.
- 2. Notice of the hearing shall be given to all affected parties no less than 5 days prior to the date set for the hearing.

3. The Commission may sit en banc or in panels of three or more to conduct hearings.

4. The attendance of witnesses and the production of documents may be subpoenaed by the Commission at the request of any party. Witnesses shall receive the fees and mileage allowed witnesses in civil cases. Costs of subpoenas shall be taxed against the requesting party.

5. All testimony shall be given under oath, and recorded verbatim by human or electronic means.

- 6. For the purpose of judicial review under <u>NRS 445B.560</u>, the parties may agree upon a statement of facts in lieu of a transcript of testimony.
  - 7. Costs of transcribing proceedings of the Commission shall be taxed against the requesting party. (Added to NRS by 1973, 1809)

#### NRS 445B.360 Appeals to Commission: Appealable matters; action by Commission; regulations.

1. Any person aggrieved by:

(a) The issuance, denial, renewal, modification, suspension or revocation of an operating permit; or

(b) The issuance, modification or rescission of any other order,

by the Director may appeal to the Commission.

- 2. The Commission shall affirm, modify or reverse any action taken by the Director which is the subject of the appeal.
- 3. The Commission shall provide by regulation for the time and manner in which appeals are to be taken to the Commission.

(Added to NRS by 1971, 1197; A 1973, 1818; 1977, 69; 1993, 2854)

#### **GREENHOUSE GAS EMISSIONS**

NRS 445B.380 Report of Department concerning greenhouse gas emissions; consultation with certain entities concerning report; submission of information to Department; regulations.

1. The Department shall, not later than December 31, 2019, and each year thereafter, issue a report that includes a statewide inventory of greenhouse gas emissions in this State and a projection of annual greenhouse gas emissions in this State for the 20 years immediately following the date of the report.

2. The report must include, without limitation:

- (a) For each year of the inventory and projection required by subsection 1:
- (1) The sources and amounts of greenhouse gas emissions in this State from each of the following sectors:
  - (I) Electricity production; and

(II) Transportation.

- (2) The sources and amounts of reductions in greenhouse gas emissions in this State from each of the sectors set forth in subparagraph (1).
  - (b) For the first and every fourth year thereafter of the inventory and projection required by subsection 1:
- (1) The sources and amounts of greenhouse gas emissions in this State from each of the following sectors:
  - (l) Industry;
  - (II) Commercial and residential;
  - (III) Agriculture; and

(IV) Land use and forestry.

(2) The sources and amounts of reductions in greenhouse gas emissions in this State from each of the

sectors set forth in subparagraph (1).

(c) A statement of policies, including, without limitation, regulations, identified by the entity or entities designated by the Governor pursuant to subsection 4 that could achieve reductions in projected greenhouse gas emissions by the sectors set forth in subparagraph (1) of paragraph (a) and subparagraph (1) of paragraph (b), if applicable, and:

(1) For each report due on or before December 31, 2024, a quantification of the reductions in greenhouse gas emissions in this State that would be required to achieve a statewide reduction of net greenhouse gas emissions of 28 percent by the year 2025, as compared to the level of greenhouse gas emissions in this State in

2005.

- (2) For each report due on or before December 31, 2029, a quantification of the reductions in greenhouse gas emissions in this State that would be required to achieve a statewide reduction in net greenhouse gas emissions of 45 percent by the year 2030, as compared to the level of greenhouse gas emissions in this State in 2005.
- (d) A qualitative assessment of whether the policies identified in the statement of policies required by paragraph (c) support long-term reductions of greenhouse gas emissions to zero or near-zero by the year 2050.

(e) The Department's analysis of the information set forth in paragraphs (a) to (d), inclusive.

(f) Documentation for the information set forth in paragraphs (a) to (e), inclusive.

3. In preparing the report required by this section, the Department shall consult with the Public Utilities Commission of Nevada, the Office of Energy, the Department of Transportation, the Department of Motor Vehicles and the entity or entities designated by the Governor pursuant to subsection 4.

4. The Governor shall designate an entity or entities to consult with the Department and identify for the

Department the policies required pursuant to paragraph (c) of subsection 2.

5. The Public Utilities Commission of Nevada, the Office of Energy, the Department of Transportation, the Department of Motor Vehicles and every entity designated by the Governor pursuant to subsection 4 shall submit to the Department any information that is determined by the State Environmental Commission to be necessary for the Department to prepare the report required pursuant to subsection 1. Such information may include, without limitation, information relating to emissions resulting from substitutes for ozone-depleting substances and information related to the types, sales, uses and disposal of products and equipment that use substitutes for ozone-depleting substances.

depleting substances.
6. The State Environmental Commission may adopt any regulations necessary to carry out the provisions of

this section.

(Added to NRS by 2007, 1907; A 2019, 1971; 2021, 583)

#### **VARIANCES**

NRS 445B.400 Conditions and criteria for granting variance; power to revoke.

- 1. The owner or operator of a source of air contaminant or a person who desires to establish such a source may apply to the Commission for a variance from its applicable regulations. The Commission may grant a variance only if, after public hearing on due notice, it finds from a preponderance of the evidence that:
  - (a) The emissions occurring or proposed do not endanger or tend to endanger human health or safety; and
- (b) Compliance with the regulations would produce serious hardship without equal or greater benefits to the public.

2. A variance shall not be granted unless the Commission has considered the relative interests of first, the public; second, other owners of property likely to be affected by the emissions; and last, the applicant.

3. The Commission may in granting a variance impose appropriate conditions upon an applicant, and may revoke the variance for failure to comply.

(Added to NRS by <u>1971</u>, <u>1197</u>)

#### NRS 445B.410 Renewal; protest and hearing on application for renewal.

1. A variance may be renewed only under circumstances and upon conditions which would justify its original granting.

2. Application for any renewal must be made at least 60 days prior to expiration of the variance to be

renewed, and the Commission shall give public notice of the application.

3. If a protest is filed with the Commission against the renewal, the Commission shall hold a public hearing and shall not renew the variance unless it makes specific, written findings of fact which justify the renewal.

(Added to NRS by 1971, 1198)

#### NRS 445B.420 Limitations on duration; annual review.

1. The following limitations of duration apply to all variances:

(a) If the variance is granted because no practical means is known or available for prevention, abatement or control of the air pollution involved, the variance shall continue only until such means become known and available.

(b) If the variance is granted because compliance with applicable regulations will require measures which, because of extent or cost, must be spread over a period of time, the variance shall be granted only for the requisite period as determined by the Commission, and shall specify the time when the successive steps are to be taken.

(c) If the variance is granted for any other reason, it shall be granted for 1 year or less.

2. A variance whose duration is limited by paragraph (a) or (b) of subsection 1 shall be reviewed at least once each year to determine whether practical measures have become available or required steps have been taken. (Added to NRS by 1971, 1198)

NRS 445B.430 Granting and renewal discretionary. No applicant is entitled to the granting or renewal of a variance as of right.

(Added to NRS by 1971, 1198; A 1973, 1818; 1977, 70)

#### **VIOLATIONS**

NRS 445B.450 Notice and order by Director; hearing; alternative procedures.

1. Whenever the Director believes that a statute or regulation for the prevention, abatement or control of air pollution has been violated, the Director shall cause written notice to be served upon the person or persons responsible for the alleged violation.

2. The notice shall specify:

(a) The statute or regulation alleged to be violated; and

(b) The facts alleged to constitute the violation.

- 3. The notice may include an order to take corrective action within a reasonable time, which shall be specified. Such an order becomes final unless, within 10 days after service of the notice, a person named in the order requests a hearing before the Commission.
- 4. With or without the issuance of an order pursuant to subsection 3, or if corrective action is not taken within the time specified:
- (a) The Director may notify the person or persons responsible for the alleged violation to appear before the Commission at a specified time and place; or

(b) The Commission may initiate proceedings for recovery of the appropriate penalty.

5. Nothing in this section prevents the Commission or the Director from making efforts to obtain voluntary compliance through warning, conference or other appropriate means.

(Added to NRS by 1971, 1198; A 1973, 1818; 1975, 1405)

#### NRS 445B.460 Injunctive relief.

- 1. If, in the judgment of the Director, any person is engaged in or is about to engage in any act or practice which constitutes or will constitute a violation of any provision of NRS 445B.100 to 445B.640, inclusive, or any rule, regulation, order or operating permit issued pursuant to NRS 445B.100 to 445B.640, inclusive, the Director may request that the Attorney General apply to the district court for an order enjoining the act or practice, or for an order directing compliance with any provision of NRS 445B.100 to 445B.640, inclusive, or any rule, regulation, order or operating permit issued pursuant to NRS 445B.100 to 445B.640, inclusive.
- 2. If, in the judgment of the control officer of a local air pollution control board, any person is engaged in or is about to engage in such an act or practice, the control officer may request that the district attorney of the county in which the act or practice is being engaged in or is about to be engaged in apply to the district court for such an order.
- 3. Upon a showing by the Director or the control officer that a person has engaged in or is about to engage in any such act or practice, a permanent or temporary injunction, restraining order or other appropriate order may be granted by the court.

(Added to NRS by 1973, 1809; A 1993, 2854; 2001, 1295)

#### NRS 445B.470 Prohibited acts; penalty; establishment of violation; request for prosecution.

1. A person shall not knowingly:

- (a) Violate any applicable provision, the terms or conditions of any permit or any provision for the filing of information:
  - (b) Fail to pay any fee;
  - (c) Falsify any material statement, representation or certification in any notice or report; or

(d) Render inaccurate any monitoring device or method,

- required pursuant to the provisions of <u>NRS 445B.100</u> to <u>445B.450</u>, inclusive, or <u>445B.470</u> to <u>445B.640</u>, inclusive, or any regulation adopted pursuant to those provisions.
- 2. Any person who violates any provision of subsection 1 shall be punished by a fine of not more than \$10,000 for each day of the violation.
- 3. The burden of proof and degree of knowledge required to establish a violation of subsection 1 are the same as those required by 42 U.S.C. § 7413(c), as that section existed on October 1, 1993.
- 4. If, in the judgment of the Director of the Department or the Director's designee, any person is engaged in any act or practice which constitutes a criminal offense pursuant to NRS 445B.100 to 445B.640, inclusive, the

Director of the Department or the designee may request that the Attorney General or the district attorney of the county in which the criminal offense is alleged to have occurred institute by indictment or information a criminal prosecution of the person.

5. If, in the judgment of the control officer of a local air pollution control board, any person is engaged in such an act or practice, the control officer may request that the district attorney of the county in which the criminal offense is alleged to have occurred institute by indictment or information a criminal prosecution of the person.

(Added to NRS by 1993, 2850; A 2001, 1296)

#### PROGRAM FOR CONTROL OF AIR POLLUTION

NRS 445B.500 Establishment, content and administration of program; designation of air pollution control agency of county for purposes of Federal Act; powers and duties of local air pollution control board; notice of public hearings; delegation of authority to determine violations and levy administrative penalties; cooperative or interlocal agreements by cities and smaller counties; regulation of certain electric plants prohibited.

1. Except as otherwise provided in this section and in NRS 445B.310 and 704.7318:

(a) The district board of health, county board of health or board of county commissioners in each county whose population is 100,000 or more shall establish a program for the control of air pollution and administer the program within its jurisdiction unless superseded.

(b) The program:

(1) Must include, without limitation, standards for the control of emissions, emergency procedures and variance procedures established by ordinance or local regulation which are equivalent to or stricter than those established by statute or state regulation;

(2) May, in a county whose population is 700,000 or more, include requirements for the creation, receipt and exchange for consideration of credits to reduce and control air contaminants in accordance with NRS

445B.508; and

(3) Must provide for adequate administration, enforcement, financing and staff.

(c) The district board of health, county board of health or board of county commissioners is designated as the air pollution control agency of the county for the purposes of NRS 445B.100 to 445B.640, inclusive, and the Federal Act insofar as it pertains to local programs, and that agency is authorized to take all action necessary to secure for the county the benefits of the Federal Act.

(d) Powers and responsibilities provided for in NRS 445B.210, 445B.240 to 445B.470, inclusive, 445B.560, 445B.570, 445B.580 and 445B.640 are binding upon and inure to the benefit of local air pollution control

authorities within their jurisdiction.

2. The local air pollution control board shall carry out all provisions of NRS 445B.215 with the exception that notices of public hearings must be given in any newspaper, qualified pursuant to the provisions of chapter 238 of NRS, once a week for 3 weeks. The notice must specify with particularity the reasons for the proposed regulations and provide other informative details. NRS 445B.215 does not apply to the adoption of existing

regulations upon transfer of authority as provided in NRS 445B.610.

3. In a county whose population is 700,000 or more, the local air pollution control board may delegate to an independent hearing officer or hearing board its authority to determine violations and levy administrative penalties for violations of the provisions of NRS 445B.100 to 445B.450, inclusive, and 445B.500 to 445B.640, inclusive, or any regulation adopted pursuant to those sections. If such a delegation is made, 17.5 percent of any penalty collected must be deposited in the county treasury in an account to be administered by the local air pollution control board to a maximum of \$17,500 per year. The money in the account may only be used to defray the administrative expenses incurred by the local air pollution control board in enforcing the provisions of NRS 445B.100 to 445B.640, inclusive. The remainder of the penalty must be deposited in the county school district fund of the county where the violation occurred and must be accounted for separately in the fund. A school district may spend the money received pursuant to this section only in accordance with an annual spending plan that is approved by the local air pollution control board and shall submit an annual report to that board detailing the expenditures of the school district under the plan. A local air pollution control board shall approve an annual spending plan if the proposed expenditures set forth in the plan are reasonable and limited to:

(a) Programs of education on topics relating to air quality; and

(b) Projects to improve air quality, including, without limitation, the purchase and installation of equipment to retrofit school buses of the school district to use biodiesel, compressed natural gas or a similar fuel formulated to reduce emissions from the amount of emissions produced by the use of traditional fuels such as gasoline and diesel fuel,

which are consistent with the state implementation plan adopted by this State pursuant to 42 U.S.C. §§ 7410 and 7502

4. Any county whose population is less than 100,000 or any city may meet the requirements of this section for administration and enforcement through cooperative or interlocal agreement with one or more other counties, or through agreement with the State, or may establish its own program for the control of air pollution. If the county establishes such a program, it is subject to the approval of the Commission.

- 5. No district board of health, county board of health or board of county commissioners may adopt any regulation or establish a compliance schedule, variance order or other enforcement action relating to the control of emissions from plants which generate electricity by using steam produced by the burning of fossil fuel.
- 6. As used in this section, "plants which generate electricity by using steam produced by the burning of fossil fuel" means plants that burn fossil fuels in a boiler to produce steam for the production of electricity. The term does not include any plant which uses technology for a simple or combined cycle combustion turbine, regardless of whether the plant includes duct burners.

(Added to NRS by 1971, 1199; A 1973, 1819; 1975, 1126, 1782; 1977, 1559; 1979, 546; 1985, 291; 1991, 2161; 1993, 175; 1997, 1999; 1999, 1976; 2001, 1296, 1515; 2003, 44; 2007, 319; 2011, 1262; 2013, 3087)

- NRS 445B.503 Local air pollution control board in county whose population is 700,000 or more: Cooperation with regional planning coalition and regional transportation commission; prerequisites to adoption or amendment of plan, policy or program.
- 1. In addition to the duties set forth in NRS 445B.500, the local air pollution control board in a county whose population is 700,000 or more shall cooperate with the regional planning coalition and the regional transportation commission in the county in which it is located to:
- (a) Ensure that the plans, policies and programs adopted by each of them are consistent to the greatest extent practicable.
- (b) Establish and carry out a program of integrated, long-range planning that conserves the economic, financial and natural resources of the region and supports a common vision of desired future conditions.
  - 2. Before adopting or amending a plan, policy or program, a local air pollution control board shall:
  - (a) Consult with the regional planning coalition and the regional transportation commission; and
  - (b) Conduct hearings to solicit public comment on the consistency of the plan, policy or program with:
- (1) The plans, policies and programs adopted or proposed to be adopted by the regional planning coalition and the regional transportation commission; and
  - (2) Plans for capital improvements that have been prepared pursuant to NRS 278,0226.
  - 3. As used in this section:
- (a) "Local air pollution control board" means a board that establishes a program for the control of air pollution pursuant to NRS 445B.500.
  - (b) "Regional planning coalition" has the meaning ascribed to it in NRS 278.0172.
- (c) "Regional transportation commission" means a regional transportation commission created and organized in accordance with <a href="https://chapter.277A">chapter.277A</a> of NRS.

(Added to NRS by 1999, 1975; A 2011, 1264)

- NRS 445B.505 Requirements for enacting ordinance or adopting regulation establishing fuel standards for mobile sources of air contaminants: Determination of cost effectiveness and feasibility; public meeting. Before a district board of health, county board of health or board of county commissioners, pursuant to the authority granted to it by NRS 445B.500, enacts an ordinance or adopts a regulation establishing fuel standards for mobile sources of air contaminants, the district board of health, county board of health or board of county commissioners shall:
- 1. Determine the cost effectiveness of the proposed ordinance or regulation by comparing it with other methods of controlling pollution.
- 2. Determine whether the proposed ordinance or regulation is technologically feasible based on evidence presented to the district board of health, county board of health or board of county commissioners relating to the availability, effectiveness, reliability and safety of any proposed technology when it is used for its proposed use.
- 3. Conduct public meetings to consult with public and private entities that would be significantly affected by the proposed ordinance or regulation.

(Added to NRS by 1997, 3229)

#### NRS 445B.508 Reduction or mitigation of increases in emissions; air pollution credits.

- 1. In a county whose population is 700,000 or more, a district board of health or board of county commissioners may, as a part of its program for the control of air pollution established pursuant to NRS 445B.500, require each person or entity that is proposing to locate a new source of air pollution within its jurisdiction or to modify an existing source of air pollution within its jurisdiction in such a way as to increase emissions of air pollutants, to reduce or mitigate any increase in emissions in accordance with regulations adopted by such board.
- 2. If a district board of health or board of county commissioners imposes the requirement described in subsection 1, its program established pursuant to NRS 445B.500 must;
- (a) Provide a method for determining credits which results in credits that are quantifiable, surplus and legally enforceable;
- (b) Set forth the manner in which credits will be banked and traded, and the manner in which such transactions will be tracked and accounted for by the board; and
- (c) By not later than January 1, 2002, prohibit any person or entity from purchasing or selling credits of one type of pollutant if such credits will be used subsequently to produce a different type of pollutant.

- 3. If a county operates a program for the control of air pollution that allows a person operating or responsible for the existence of a source to earn credits for maintaining or reducing the level of air contaminant emitted from the source, the program:
- (a) Must allow the person to earn credits for reducing the level of air contaminant emitted from that source through the use of solar energy; and
- (b) Must not allow the person to earn credits for reducing the level of air contaminant emitted from that source if such a reduction is required as a component of a penalty imposed against the person.

4. A credit earned pursuant to this section does not constitute an interest in property.

5. As used in this section:

(a) "Credit" means an administratively created asset that may:

(1) Entitle a person operating or responsible for the existence of a source to allow the source to emit a certain level of air contaminant above a baseline that is determined by the board;

(2) Be used to comply with the requirements of a permit; and

(3) Be traded or sold to another person.

(b) "Surplus" means that a credit is not earned by compliance with a requirement of the state implementation plan adopted by this State pursuant to 42 U.S.C. § 7410 or any other federal, state or local law, ordinance or regulation.

(Added to NRS by 1999, 1976; A 2001, 1517; 2005, 2470; 2011, 1264)

#### NRS 445B.510 Commission may require program for designated area.

1. If the Commission finds that:

(a) The location, character or extent of particular concentrations of population or sources of air contaminant;

(b) Geographic, topographic or meteorological considerations; or

(c) Any combination of these factors,

- makes impracticable the maintenance of appropriate levels of air quality without an areawide air pollution control program, it shall after a public hearing define the area so affected.
- 2. If an areawide air pollution control program is not established by cooperative or interlocal agreement within a time specified by the Commission, the Commission shall establish such a program, which shall be a charge on the counties, and may supersede any local program within the area.

(Added to NRS by 1971, 1200)

#### NRS 445B.520 Commission may establish or supersede county program.

- 1. If a county required to establish or participate in an air pollution control program fails to do so, or if the Commission believes that a program previously approved is inadequate, it shall hold a public hearing. If it finds that an adequate program has not been adopted or that a program has become inadequate, it shall fix a time within which necessary corrective measures are to be taken.
- 2. If the prescribed measures are not so taken, the Commission shall direct the Department to administer an adequate air pollution control program within the county, which shall be a charge on the county, and may supersede any existing county air pollution control program.

(Added to NRS by 1971, 1200; A 1973, 1820)

#### NRS 445B.530 Commission may assume jurisdiction over specific classes of air contaminants.

- 1. If the Commission finds that the control of a particular class of sources of air contaminant because of its complexity or magnitude is beyond the reasonable capability of one or more local air pollution control authorities, it may assume and retain jurisdiction over that class in the county or counties so affected.
- 2. Sources may be classified for the purpose of this section on the basis of their nature or their size relative to the county in which they are located.

(Added to NRS by 1971, 1200)

## NRS 445B.540 Restoration of superseded local program; continuation of existing local program.

1. A county or area whose local jurisdiction over air pollution control has been superseded may establish or restore a local air pollution control program if such program is approved as adequate by the Commission.

2. A district, county or city which has an air pollution control program in operation on July 1, 1971, may continue its program if within 1 year after July 1, 1971, the program is approved as adequate by the Commission. Such approval shall be deemed granted unless the Commission specifically disapproves the program after a public hearing. Nothing in NRS 445B.100 to 445B.640, inclusive, is to be construed as invalidating any rule, regulation, enforcement action, variance, permit, cease and desist order, compliance schedule, or any other legal action taken by any existing air pollution control authority pursuant to former NRS 445.400 to 445.595, inclusive, on or before July 1, 1971, unless it is specifically repealed, superseded or disapproved, pursuant to NRS 445B.215.

(Added to NRS by 1971, 1200)

#### MISCELLANEOUS PROVISIONS

NRS 445B.560 Plan or procedure for emergency.

- 1. The Commission may provide by rules and regulations for alert, warning, and emergency standards and abatement procedures relative to air pollution episodes or emergencies constituting, or likely to constitute, an imminent and substantial danger to the health of persons.
- 2. Any person responsible for the operation of a source of air contaminants which is designated by the Director shall prepare and submit emergency plans for reducing or eliminating the emissions of air contaminants during such periods of air stagnation or air pollution episodes or emergencies as may be declared by the Director. The emergency plans shall be subject to review and approval by the Director. If, in the opinion of the Director, an emergency plan does not effectively carry out the objective of reducing or eliminating the emissions of air contaminants during periods of air stagnation or air pollution episodes or emergencies, the Director shall disapprove it, state the reason for disapproval, and order the preparation and submission of an amended emergency plan within the time period specified in the order. If an approvable emergency plan is not prepared and submitted within the time period specified in the order, the Director shall issue an emergency plan applicable to that person. Persons subject to the emergency plan shall obey the plan during periods of air stagnation or air pollution episodes or emergencies declared by the Director. The provisions of NRS 445B.360 with respect to appeals do not apply to this subsection.
- 3. Any other provisions of law to the contrary notwithstanding, if the Director finds that a generalized condition of air pollution exists or that emissions from one or more air contaminant sources occur and that the condition or sources create, or are likely to create, an imminent and substantial danger to health requiring immediate action to protect human health and safety, the Director shall order persons causing or contributing to the air pollution or responsible for the operation of the source to reduce or discontinue immediately the emission of air contaminants. Any person subject to the order may appeal directly to the district court or request a hearing before the Commission.
- 4. This section does not limit any power of any other state officer to declare an emergency and to act on the basis of such declaration.

(Added to NRS by 1971, 1201; A 1973, 1820)

#### NRS 445B.570 Confidentiality and use of information obtained by Department; penalty.

- 1. Any information which the Department obtains in the course of the performance of its duties pursuant to the provisions of this chapter is public information unless otherwise designated as confidential information pursuant to the provisions of this section.
- 2. The emission of an air contaminant which has an ambient air quality standard or emission standard or has been designated as a hazardous air pollutant by regulation of the Commission cannot be certified as being confidential.
- 3. Any confidential information received by the Commission, the Director or any local control authority which is certified in writing to the recipient as confidential by the owner or operator disclosing the information and verified and approved in writing as confidential by the recipient must, unless the owner expressly agrees to its publication or availability to the public, be used only:
  - (a) In the administration or formulation of air pollution controls;
- (b) In compiling or publishing analyses or summaries relating to the condition of the outdoor atmosphere which do not identify any owner or operator or reveal any confidential information; or
  - (c) In complying with federal statutes, rules and regulations.
- 4. This section does not prohibit the use of confidential information in a prosecution for the violation of any statute, ordinance or regulation for the control of air pollution.
- 5. A person who discloses or knowingly uses confidential information in violation of this section is guilty of a misdemeanor, and is liable in tort for any damages which may result from such disclosure or use.
  - 6. As used in this section, "confidential information" means information or records which:
  - (a) Relate to dollar amounts of production or sales;
  - (b) Relate to processes or production unique to the owner or operator; or
  - (c) If disclosed, would tend to affect adversely the competitive position of the owner or operator.
  - (Added to NRS by 1971, 1201. A 1973, 1821; 1975, 1405; 1993, 2855)

## NRS 445B.580 Officer of Department may inspect or search premises; search warrant.

- 1. It is a condition of the issuance of any operating permit required by the Commission or pursuant to any local ordinance for the control of air pollution that the holder of the operating permit agrees to permit inspection of the premises to which the permit relates by any authorized officer of the Department at any time during the holder's hours of operation without prior notice. This condition must be stated on each application form and operating permit.
- 2. If a source of air contaminant exists or is constructed or operated without an operating permit, such an officer may inspect it at any reasonable time, and may enter any premises to search for such a source. If entry is refused, or before attempting to enter, such an officer may apply to any magistrate for a search warrant. The magistrate shall issue the warrant if the magistrate believes from the supporting affidavit or affidavits that there is

probable cause to believe that a source of air contaminant exists or is being constructed or operated on the premises to be searched.

(Added to NRS by 1971, 1202; A 1973, 1822; 1993, 2855)

#### NRS 445B.590 Account for the Management of Air Quality: Creation and administration; use; interest; payment of claims.

1. The Account for the Management of Air Quality is hereby created in the State General Fund, to be administered by the Department.

2. Money in the Account for the Management of Air Quality must be expended:

(a) To carry out and enforce the provisions of NRS 445B.100 to 445B.640, inclusive, and of any regulations adopted pursuant to those sections, including, without limitation, the direct and indirect costs of:

(1) Preparing regulations and recommendations for legislation regarding those provisions;

(2) Furnishing guidance for compliance with those provisions;

(3) Reviewing and acting upon applications for operating permits;

(4) Administering and enforcing the terms and conditions of operating permits;

(5) Monitoring emissions and the quality of the ambient air;

(6) Preparing inventories and tracking emissions;

(7) Performing modeling, analyses and demonstrations;

(8) Establishing and administering a program for the provision of assistance, pursuant to 42 U.S.C. § 7661f, to small businesses operating stationary sources; and

9) Preparing a report required pursuant to NRS 445B.380;

(b) In any other manner required as a condition to the receipt of federal money for the purposes of NRS 445B.100 to 445B.640, inclusive; and

(c) For any other purpose authorized by the Legislature.

3. All interest earned on the money in the Account for the Management of Air Quality must be credited to the Account. Claims against the Account for the Management of Air Quality must be paid as other claims against the State are paid.

(Added to NRS by 1993, 2849; A 2003, 345; 2007, 1908; 2010, 26th Special Session, 18; 2021, 584)

#### NRS 445B.595 Governmental sources of air contaminants to comply with state and local provisions regarding air pollution; permit to set fire for training purposes; planning and zoning agencies to consider effects on quality of air.

1. Except as otherwise provided by subsection 2, all governmental sources of air contaminants shall comply with all local and state air pollution laws, regulations and ordinances.

2. A fire department, county fire protection district, fire protection training academy or training center may, after obtaining a permit for a specific site, set a fire at that site for training purposes so long as the site is not within an area in which an air pollution episode or emergency constituting, or likely to constitute, an imminent and substantial danger to the health of persons exists. The permit must be obtained from:

(a) The county air pollution control agency, if one has been designated pursuant to NRS 445B.500; or

(b) The Director, if an agency has not been so designated.

3. All planning commissions, zoning boards of adjustment, and governing bodies of unincorporated towns, incorporated cities and counties shall in the performance of their duties imposed by chapter 278 of NRS or other statutes relating to planning and zoning consider the effects of possible air pollution and shall submit to the Department for evaluation a concise statement of the effects on air quality by complex sources.

(Added to NRS by 1971, 1202; A 1973, 1822; 1975, 1406; 1989, 584)

NRS 445B.600 Private rights and remedies not affected. NRS 445B.100 to 445B.595, inclusive, does not abridge, limit, impair, create, enlarge or otherwise affect substantively or procedurally the right of any person to damages or other relief on account of injury to persons or property and to maintain any action or other appropriate proceeding therefor in the courts of this State or the courts of the United States on a tort claim against the United States or a federal agency as authorized by federal statutes.

(Added to NRS by 1971, 1202; A 1985, 292; 2007, 1909)

#### NRS 445B.610 Provisions for transition in administration.

- 1. All rules, regulations and standards promulgated by the State Commission of Environmental Protection pertaining to air pollution control in force on July 1, 1973, remain in effect until such time as revised by the State Environmental Commission pursuant to NRS 445B.100 to 445B.640, inclusive.
- 2. Any and all action taken by the State Commission of Environmental Protection, including but not limited to existing orders, notices of violation, variances, permits, cease and desist orders and compliance schedules, shall remain in full force and effect and binding upon the State Environmental Commission, the Director, the Department and all persons to whom such action may apply on or after July 1, 1973.

3. In the event that a local air pollution control program described in NRS 445B.500 is transferred in whole or in part from an existing air pollution control agency to another agency, all rules and regulations adopted by the

existing agency may be readopted as amended to reflect the transfer of authorities by the new agency immediately upon such transfer, and the provisions of <u>NRS 445B.215</u> do not apply to such readoption.

4. If a transfer of local authority as described in subsection 3 occurs, all orders, notices of violation, variances, cease and desist orders, compliance schedules and other legal action taken by the existing air pollution control board, control officer or hearing board remain in full force and effect, and must not be invalidated by reason of such transfer.

(Added to NRS by <u>1973, 1810</u>; A <u>2007, 1909</u>)

#### **PENALTIES**

NRS 445B.640 Levy and disposition of administrative fines; additional remedies available; criminal penalty for failure to pay administrative fine.

- 1. Except as otherwise provided in subsection 4 and NRS 445C.010 to 445C.120, inclusive, any person who violates any provision of NRS 445B.100 to 445B.450, inclusive, and 445B.470 to 445B.640, inclusive, or any regulation in force pursuant thereto, other than NRS 445B.570 on confidential information, is guilty of a civil offense and shall pay an administrative fine levied by the Commission of not more than \$10,000 per day per offense. Each day of violation constitutes a separate offense.
- 2. The Commission shall by regulation establish a schedule of administrative fines not exceeding \$2,000 for lesser violations of any provision of NRS 445B.100 to 445B.450, inclusive, and 445B.470 to 445B.640, inclusive, or any regulation in force pursuant thereto.
- 3. Action pursuant to subsection 1 or 2 is not a bar to enforcement of the provisions of NRS 445B.100 to 445B.450, inclusive, and 445B.470 to 445B.640, inclusive, regulations in force pursuant thereto, and orders made pursuant to NRS 445B.100 to 445B.450, inclusive, and 445B.470 to 445B.640, inclusive, by injunction or other appropriate remedy, and the Commission or the Director may institute and maintain in the name of the State of Nevada any such enforcement proceedings.
- 4. Any person who fails to pay a fine levied pursuant to subsection 1 or 2 within 30 days after the fine is imposed is guilty of a misdemeanor. The provisions of this subsection do not apply to persons found by the court to be indigent.
- 5. All administrative fines collected by the Commission pursuant to this section must be deposited in the State Education Fund.

(Added to NRS by 1971, 1202; A 1973, 1822; 1975, 1406; 1977, 70; 1989, 736; 1993, 2856; 1997, 1080; 2007, 1024, 1910; 2021, 1138)

#### CONTROL OF EMISSIONS FROM ENGINES

NRS 445B.700 Definitions. As used in NRS 445B.700 to  $\underline{445B.845}$ , inclusive, unless the context otherwise requires, the words and terms defined in NRS 445B.705 to  $\underline{445B.7585}$ , inclusive, have the meanings ascribed to them in those sections.

(Added to NRS by 1973, 1702; A 1977, 920; 1985, 1991; 1991, 756, 2019; 1993, 2856; 1995, 2353; 1997, 2055; 2001, 2681; 2003, 599; 2009, 1326; 2013, 1860; 2015, 126)

NRS 445B.705 "Approved inspector" defined. "Approved inspector" means a person licensed by the Department of Motor Vehicles to inspect motor vehicles and devices for the control of pollution for an authorized station or authorized inspection station.

(Added to NRS by <u>1993, 2850</u>; A <u>2001, 2617</u>)

NRS 445B.710 "Authorized inspection station" defined. "Authorized inspection station" means a station licensed by the Department of Motor Vehicles for inspecting motor vehicles and devices for the control of pollution for compliance with this chapter or any applicable federal regulation or regulation of the Commission. (Added to NRS by 1993, 2850; A 2001, 2617)

NRS 445B.720 "Authorized station" defined. "Authorized station" means a station licensed by the Department of Motor Vehicles for inspecting motor vehicles and devices for the control of pollution for compliance with this chapter or any applicable federal regulation or regulation of the Commission and for installing, repairing and adjusting such devices to meet the Commission's requirements.

(Added to NRS by 1993, 2851; A 2001, 2617)

NRS 445B.722 "Automobile wrecker" defined. "Automobile wrecker" has the meaning ascribed to it in NRS 487.047.

(Added to NRS by 2015, 126)

NRS 445B.724 "Body shop" defined. "Body shop" has the meaning ascribed to it in NRS 487.532. (Added to NRS by 2015, 126)

NRS 445B.725 "Commission" defined. "Commission" means the State Environmental Commission. (Added to NRS by 1993, 2851)

NRS 445B.727 "Consignee" defined. "Consignee" has the meaning ascribed to it in NRS 482.31772. (Added to NRS by 2013, 1860)

NRS 445B.728 "Consignment auction" defined. "Consignment auction" means any transaction whereby the registered owner or lienholder of a vehicle, or an insurance company that has acquired a vehicle as part of a total loss settlement, agrees, entrusts or in any other manner authorizes a consignee to act as his or her agent to sell or attempt to sell the interest of the registered owner, lienholder or insurance company in the vehicle at an auction that meets the requirements set forth in NRS 445B.807.

(Added to NRS by <u>2013</u>, <u>1860</u>)

NRS 445B.729 "Distributor" defined. "Distributor" has the meaning ascribed to it in NRS 482.028. (Added to NRS by 2015, 126)

NRS 445B.730 "Evidence of compliance" defined. "Evidence of compliance" includes a certificate issued when a motor vehicle has been inspected and:

1. Has the required equipment; or

2. Does not meet the requirements for the control of emissions after the repairs have been made and the Commission waives compliance.

(Added to NRS by 1993, 2851)

NRS 445B.735 "Fleet station" defined. "Fleet station" means a facility which is licensed by the Department of Motor Vehicles to conduct inspections of the motor vehicles of qualified owners or lessees. (Added to NRS by 1993, 2851)

NRS 445B.736 "Garage" defined. "Garage" has the meaning ascribed to it in NRS 487.540. (Added to NRS by 2015, 126)

NRS 445B.737 "Heavy-duty motor vehicle" defined. "Heavy-duty motor vehicle" means, except as otherwise provided in NRS 445B.780, a motor vehicle that has a manufacturer's gross vehicle weight rating of 8,500 pounds or more. The term does not include a passenger car.

(Added to NRS by 2003, 599)

NRS 445B.738 "Licensee" defined. "Licensee" means any automobile wrecker, body shop, distributor, manufacturer, rebuilder, salvage pool or vehicle dealer licensed by the Department of Motor Vehicles, or any garage registered with the Department.

(Added to NRS by <u>2015</u>, <u>126</u>)

NRS 445B.739 "Lienholder" defined. "Lienholder" means any person, other than a licensee, who holds a lien on a motor vehicle.

(Added to NRS by 2015, 126)

NRS 445B.740 "Light-duty motor vehicle" defined. "Light-duty motor vehicle" means a motor vehicle that has a manufacturer's gross vehicle weight rating of less than 8,500 pounds.

(Added to NRS by 1993, 2851)

NRS 445B.743 "Manufacturer" defined. "Manufacturer" has the meaning ascribed to it in NRS 482.060.

(Added to NRS by 2015, 126)

NRS 445B.745 "Motor vehicle" defined. "Motor vehicle" means every self-propelled vehicle in, upon or by which any person or property is or may be transported or drawn upon a public highway except:

1. Devices moved by human or animal power or used exclusively on stationary rails; and

2. Electric personal assistive mobility devices as defined in NRS 482.029. (Added to NRS by 1993, 2851; A 2003, 1207)

NRS 445B.747 "Motor vehicle fuel" defined. "Motor vehicle fuel" has the meaning ascribed to it in NRS 365,060.

(Added to NRS by 2003, 599)

NRS 445B.750 "Passenger car" defined. "Passenger car" has the meaning ascribed to it in NRS 484A.160.

(Added to NRS by 1993, 2851)

NRS 445B.755 "Pollution control device" defined. "Pollution control device" means any equipment that is installed in a motor vehicle for the primary purpose of limiting emissions from the motor vehicle into the ambient air.

(Added to NRS by 1993, 2851)

NRS 445B.7555 "Rebuilder" defined. "Rebuilder" has the meaning ascribed to it in NRS 482.097. (Added to NRS by 2015, 126)

NRS 445B.756 "Registered owner" defined. "Registered owner" means any person, other than a licensee, whose name appears in the records of the Department of Motor Vehicles as the person to whom a vehicle is registered.

(Added to NRS by 2015, 126)

NRS 445B.7565 "Salvage pool" defined. "Salvage pool" has the meaning ascribed to it in NRS 487,400.

(Added to NRS by 2015, 126)

NRS 445B.757 "Special fuel" defined. "Special fuel" has the meaning ascribed to it in NRS 366.060. (Added to NRS by 2003, 599)

NRS 445B.758 "Used motor vehicle" defined. "Used motor vehicle" means a motor vehicle that has been registered for not less than 2 years with:

 The Department of Motor Vehicles;
 The appropriate agency of any other state, the District of Columbia, any territory or possession of the United States, any foreign country or any state or province of a foreign country; or

3. Any combination of the agencies described in subsections 1 and 2.

(Added to NRS by 1995, 2353; A 2001, 2617)

NRS 445B.7585 "Vehicle dealer" defined. "Vehicle dealer" has the meaning ascribed to it in NRS 482.020.

(Added to NRS by 2015, 126)

NRS 445B.759 Inapplicability to military tactical vehicles, replica vehicles and registered retired military vehicles.

- 1. The provisions of NRS 445B.700 to 445B.845, inclusive, do not apply to:
- (a) Military tactical vehicles:
- (b) Replica vehicles; or
- (c) Retired military vehicles registered pursuant to NRS 482.3817.
- 2. As used in this section:
- (a) "Military tactical vehicle" means a motor vehicle that is:
- (1) Owned or controlled by the United States Department of Defense or by a branch of the Armed Forces of the United States; and
- (2) Used in combat, combat support, combat service support, tactical or relief operations, or training for
  - (b) "Replica vehicle" means any passenger car or light-duty motor vehicle which:
- (1) Has a body manufactured after 1967 which is made to resemble a vehicle of a model manufactured before 1968;
- (2) Has been altered from the original design of the manufacturer or has a body constructed from materials which are not original to the vehicle;
- (3) Is maintained solely for occasional transportation, including exhibitions, club activities, parades, tours or other similar uses; and
  - (4) Is not used for daily transportation.
- The term does not include a vehicle which has been restored to its original design by replacing parts or a retired military vehicle registered pursuant to NRS 482.3817.
  - (c) "Retired military vehicle" has the meaning ascribed to it in NRS 482.3817. (Added to NRS by 2003, 599; A 2007, 1243; 2013, 1861; 2015, 126; 2019, 1331)

NRS 445B.760 Authority of Commission to prescribe standards for emissions from mobile internal combustion engines; trimobiles; standards pertaining to motor vehicles to be approved by Department of Motor Vehicles.

The Commission may by regulation prescribe standards for exhaust emissions, fuel evaporative emissions and visible emissions of smoke from mobile internal combustion engines on the ground or in the air, including, but not limited to, aircraft, motor vehicles, snowmobiles and railroad locomotives. The regulations must provide for the exemption from such standards of:

(a) A moped registered pursuant to NRS 482.2155; and

- (b) A vehicle for which special license plates have been issued pursuant to NRS 482.381, 482.3812, 482,3814 or 482,3816 if the owner of such a vehicle certifies to the Department of Motor Vehicles, on a form provided by the Department of Motor Vehicles, that the vehicle was not driven more than 5,000 miles during the immediately preceding year.
  - 2. Except as otherwise provided in subsection 3, standards for exhaust emissions which apply to a:

(a) Reconstructed vehicle, as defined in NRS 482.100; and

(b) Trimobile, as defined in NRS 482.129,

must be based on standards which were in effect in the year in which the engine of the vehicle was built.

3. A trimobile that meets the definition of a motorcycle in 40 C.F.R. § 86.402-78 or 86.402-98, as applicable, is not subject to emissions standards under this chapter.

4. Any such standards which pertain to motor vehicles must be approved by the Department of Motor

Vehicles before they are adopted by the Commission.

(Added to NRS by 1973, 1702; A 1979, 857; 1985, 803; 1997, 2650; 2001, 2617; 2009, 1326; 2011, 1530; 2015, 1771)

#### NRS 445B.765 Information concerning program for control of emissions from motor vehicles: Collection, interpretation and correlation; public inspection.

- 1. The Commission, in cooperation with the Department of Motor Vehicles, shall adopt regulations which establish procedures for collecting, interpreting and correlating information concerning programs to control emissions from motor vehicles and any benefits which result from an inspection program.
- 2. All information received by the Commission or the Department of Motor Vehicles is open to public inspection.

(Added to NRS by 1977, 919; A 1985, 1992; 2001, 2618)

# NRS 445B.767 Authority of Commission, in larger counties, to adopt regulations to establish voluntary program of electronic monitoring of emission information; imposition and collection of annual

1. In any county whose population is 100,000 or more, the Commission may, in cooperation with the Department of Motor Vehicles and any local air pollution control agency, adopt regulations to establish a voluntary program of electronic monitoring of emission information, from vehicles equipped with onboard diagnostic equipment that permits such monitoring, for the purposes of compliance with this chapter.

2. The Department of Motor Vehicles shall charge an annual fee that is equal in amount to the fee imposed pursuant to paragraph (c) of subsection 1 of NRS 445B.830 for each vehicle electronically monitored pursuant to subsection 1. Fees collected by the Department pursuant to this section must be accounted for in the Pollution Control Account created by NRS 445B.830.

(Added to NRS by 2009, 1326; A 2021, 2174)

#### NRS 445B.770 Regulations of Commission: Control of emissions from motor vehicles; program for inspection and testing of motor vehicles.

- 1. In any county whose population is 100,000 or more, the Commission shall, in cooperation with the Department of Motor Vehicles and any local air pollution control agency, adopt regulations for the control of emissions from motor vehicles in areas of the county designated by the Commission.
- 2. In any county whose population is less than 100,000, if the Commission determines that it is feasible and practicable to carry out a program of inspecting and testing motor vehicles and systems for the control of emissions from motor vehicles, and if carrying out the program is deemed necessary to achieve or maintain the prescribed standards for the quality of ambient air in areas of the State designated by the Commission, the Commission shall, in cooperation with the Department of Motor Vehicles and any local air pollution control agency established under NRS 445B.500 which has jurisdiction in a designated area, adopt regulations and transportation controls as may be necessary to carry out the program.
  - 3. The regulations must distinguish between light-duty and heavy-duty motor vehicles and may prescribe:
- (a) Appropriate criteria and procedures for the approval, installation and use of devices for the control of emissions from motor vehicles: and
  - (b) Requirements for the proper maintenance of such devices and motor vehicles.4. The regulations must establish:

- (a) Requirements by which the Department of Motor Vehicles shall license authorized stations to inspect, repair, adjust and install devices for the control of emissions for motor vehicles, including criteria by which any person may become qualified to inspect, repair, adjust and install those devices.
- (b) Requirements by which the Department of Motor Vehicles may license an owner or lessee of a fleet of three or more vehicles as a fleet station if the owner or lessee complies with the regulations of the Commission. The fleet station shall only certify vehicles which constitute that fleet.

- (c) Requirements by which the Department of Motor Vehicles provides for inspections of motor vehicles owned by this State and any of its political subdivisions.
- 5. The Commission shall consider, before adopting any regulation or establishing any criteria pursuant to paragraph (a) of subsection 3:
  - (a) The availability of devices adaptable to specific makes, models and years of motor vehicles.
- (b) The effectiveness of those devices for reducing the emission of each type of air pollutant under conditions in this State.
- (c) The capability of those devices for reducing any particular type or types of pollutants without significantly increasing the emission of any other type or types of pollutant.
- (d) The capacity of any manufacturer to produce and distribute the particular device in such quantities and at such times as will meet the estimated needs in Nevada.
- (c) The reasonableness of the retail cost of the device and the cost of its installation and maintenance over the life of the device and the motor vehicle.
  - (f) The ease of determining whether any such installed device is functioning properly. (Added to NRS by 1973, 1703; A 1977, 920; 1979, 547; 1981, 1047; 1985, 1992; 2001, 2618)

NRS 445B.775 Regulations of Commission: Requirements for licensing of stations by Department of Motor Vehicles. The regulations adopted by the Commission pursuant to NRS 445B.770 must establish requirements by which the Department of Motor Vehicles may license:

- 1. Authorized inspection stations, including criteria by which any person may become qualified to inspect devices for the control of emissions for motor vehicles. The regulations adopted by the Commission pursuant to NRS 445B.770 must provide that a facility licensed as an authorized inspection station:
- (a) Except as otherwise provided in paragraph (b), may not, unless specifically authorized by the Commission, install, repair, diagnose or adjust any component or system of a motor vehicle that affects exhaust emissions.
  - (b) May perform the following activities in connection with a motor vehicle:
    - (1) The changing of oil;
    - (2) The replacing of an oil filter, air filter, fuel filter, belt or hose; and
- (3) The servicing of a fuel injection system using methods approved by the Division of Environmental Protection of the State Department of Conservation and Natural Resources.
- 2. Authorized stations, including criteria by which any person may become qualified to inspect, repair, adjust and install devices for the control of emissions for motor vehicles.

(Added to NRS by 1993, 2851: A 2001, 2619; 2005, 2323; 2007, 3232; 2015, 78)

NRS 445B.776 Application for license must include social security number. [Effective until the date of the repeal of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for withholding, suspending and restricting the professional, occupational and recreational licenses for child support arrearages and for noncompliance with certain processes relating to paternity or child support proceedings.] An application for the issuance of a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles issued pursuant to NRS 445B.775 must include the social security number of the applicant.

(Added to NRS by <u>1997, 2054</u>)

NRS 445B.777 Payment of child support: Statement by applicant for license; grounds for denial of license; duty of Department of Motor Vehicles. [Effective until the date of the repeal of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for withholding, suspending and restricting the professional, occupational and recreational licenses for child support arrearages and for noncompliance with certain processes relating to paternity or child support proceedings.]

- 1. An applicant for the issuance or renewal of a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles issued pursuant to NRS 445B.775 shall submit to the Department of Motor Vehicles the statement prescribed by the Division of Welfare and Supportive Services of the Department of Health and Human Services pursuant to NRS 425.520. The statement must be completed and signed by the applicant.
  - 2. The Department of Motor Vehicles shall include the statement required pursuant to subsection 1 in:
  - (a) The application or any other forms that must be submitted for the issuance or renewal of the license; or
  - (b) A separate form prescribed by the Department of Motor Vehicles.
- 3. A license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles may not be issued or renewed by the Department of Motor Vehicles if the applicant:
  - (a) Fails to submit the statement required pursuant to subsection 1; or
- (b) Indicates on the statement submitted pursuant to subsection 1 that the applicant is subject to a court order for the support of a child and is not in compliance with the order or a plan approved by the district attorney or other public agency enforcing the order for the repayment of the amount owed pursuant to the order.
- 4. If an applicant indicates on the statement submitted pursuant to subsection 1 that the applicant is subject to a court order for the support of a child and is not in compliance with the order or a plan approved by the

district attorney or other public agency enforcing the order for the repayment of the amount owed pursuant to the order, the Department of Motor Vehicles shall advise the applicant to contact the district attorney or other public agency enforcing the order to determine the actions that the applicant may take to satisfy the arrearage.

(Added to NRS by 1997, 2054; A 2001, 2619)

NRS 445B.7773 Prohibition on denial of application based on immigration or citizenship status; alternative personally identifying number required by applicant with no social security number; confidentiality of social security or alternative personally identifying number.

1. The Department of Motor Vehicles shall not deny the application of a person for a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles pursuant to the regulations adopted

pursuant to NRS 445B.775 based solely on his or her immigration or citizenship status.

- 2. Notwithstanding the provisions of NRS 445B.776, an applicant for a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles who does not have a social security number must provide an alternative personally identifying number, including, without limitation, his or her individual taxpayer identification number, when completing an application for a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles.
- 3. The Department of Motor Vehicles shall not disclose to any person who is not employed by the Department of Motor Vehicles the social security number or alternative personally identifying number, including, without limitation, an individual taxpayer identification number, of an applicant for a license for any purpose except:
  - (a) Tax purposes;

(b) Licensing purposes; and

(c) Enforcement of an order for the payment of child support.

4. A social security number or alternative personally identifying number, including, without limitation, an individual taxpayer identification number, provided to the Department of Motor Vehicles is confidential and is not a public record for the purposes of chapter 239 of NRS.

(Added to NRS by 2019, 4345)

NRS 445B.7776 Petition to determine if criminal history will disqualify person from obtaining license; fee; posting of requirements for license and list of disqualifying crimes on Internet; report.

1. The Department of Motor Vehicles shall develop and implement a process by which a person with a criminal history may petition the Department to review the criminal history of the person to determine if the person's criminal history will disqualify the person from obtaining a qualification to inspect devices for the control of emissions for motor vehicles pursuant to NRS 445B.775.

2. Not later than 90 days after a petition is submitted to the Department of Motor Vehicles pursuant to subsection 1, the Department shall inform the person of the determination of the Department of whether the person's criminal history will disqualify the person from obtaining a qualification. The Department is not bound by its determination of disqualification or qualification and may rescind such a determination at any time.

- 3. The Department of Motor Vehicles may provide instructions to a person who receives a determination of disqualification to remedy the determination of disqualification. A person may resubmit a petition pursuant to subsection 1 not earlier than 6 months after receiving instructions pursuant to this subsection if the person remedies the determination of disqualification.
- 4. A person with a criminal history may petition the Department of Motor Vehicles at any time, including, without limitation, before obtaining any education or paying any fee required to obtain a qualification from the Department.
- 5. A person may submit a new petition to the Department of Motor Vehicles not earlier than 2 years after the final determination of the initial petition submitted to the Department.
- 6. The Department of Motor Vehicles may impose a fee of up to \$50 upon the person to fund the administrative costs in complying with the provisions of this section. The Department may waive such fees or allow such fees to be covered by funds from a scholarship or grant.

7. The Department of Motor Vehicles may post on its Internet website:

(a) The requirements to obtain a qualification from the Department; and

- (b) A list of crimes, if any, that would disqualify a person from obtaining a qualification from the Department.
- 8. The Department of Motor Vehicles may request the criminal history record of a person who petitions the Department for a determination pursuant to subsection 1. To the extent consistent with federal law, if the Department makes such a request of a person, the Department shall require the person to submit his or her criminal history record which includes a report from:

(a) The Central Repository for Nevada Records of Criminal History; and

(b) The Federal Bureau of Investigation.

9. A person who petitions the Department of Motor Vehicles for a determination pursuant to subsection 1 shall not submit false or misleading information to the Department.

10. The Department of Motor Vehicles shall, on or before the 20th day of January, April, July and October, submit to the Director of the Legislative Counsel Bureau in an electronic format prescribed by the Director, a

report that includes:

- (a) The number of petitions submitted to the Department pursuant to subsection 1;
- (b) The number of determinations of disqualification made by the Department pursuant to subsection 1;
- (c) The reasons for such determinations; and
- (d) Any other information that is requested by the Director or which the Department determines would be helpful.
- 11. The Director shall transmit a compilation of the information received pursuant to subsection 10 to the Legislative Commission quarterly, unless otherwise directed by the Commission.

(Added to NRS by 2019, 2913)

NRS 445B.778 Suspension of license for failure to pay child support or comply with certain subpoenas or warrants; reinstatement of license. [Effective until the date of the repeal of 42 U.S.C. § 666, the federal law requiring each state to establish procedures for withholding, suspending and restricting the professional, occupational and recreational licenses for child support arrearages and for noncompliance with certain processes relating to paternity or child support proceedings.]

- 1. If the Department of Motor Vehicles receives a copy of a court order issued pursuant to NRS 425.540 that provides for the suspension of all professional, occupational and recreational licenses, certificates and permits issued to a person who is the holder of a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles, the Department of Motor Vehicles shall deem the license issued to that person to be suspended at the end of the 30th day after the date on which the court order was issued unless the Department of Motor Vehicles receives a letter issued to the holder of the license by the district attorney or other public agency pursuant to NRS 425.550 stating that the holder of the license has complied with the subpoena or warrant or has satisfied the arrearage pursuant to NRS 425.560.
- 2. The Department of Motor Vehicles shall reinstate a license to inspect, repair, adjust or install devices for the control of emissions of motor vehicles that has been suspended by a district court pursuant to NRS 425.540 if the Department of Motor Vehicles receives a letter issued by the district attorney or other public agency pursuant to NRS 425.550 to the person whose license was suspended stating that the person whose license was suspended has complied with the subpoena or warrant or has satisfied the arrearage pursuant to NRS 425.560.

(Added to NRS by 1997, 2054; A 2001, 2620)

# NRS 445B.780 Program for regulation of emissions from heavy-duty motor vehicles; equipment used to measure emissions; waiver from requirements of program.

- 1. The Commission shall, by regulation, establish a program for the regulation of smoke and other emissions by inspection of heavy-duty motor vehicles that are powered by diesel fuel or motor vehicle fuel.
  - 2. The Commission shall adopt regulations concerning:
  - (a) The equipment used to measure smoke and other emissions of heavy-duty motor vehicles.
- (b) The granting of a waiver if compliance involves repair and equipment costs which exceed the limits established by the Commission. The Commission shall establish the limits in a manner which avoids unnecessary financial hardship to owners of heavy-duty motor vehicles.
- 3. As used in this section, "heavy-duty motor vehicle" means a motor vehicle that has a manufacturer's gross vehicle weight rating of 14,001 pounds or more. The term does not include a passenger car. (Added to NRS by 1991, 2018; A 2003, 599; 2009, 1326)

# NRS 445B.785 Regulations of Department of Motor Vehicles: Licensing of stations; performance of inspection and issuance of evidence of compliance; diagnostic equipment; fee, bond or insurance; informational pamphlet; distribution.

- 1. The Department of Motor Vehicles shall, in cooperation with the Commission, adopt regulations which:
- (a) Prescribe requirements for licensing authorized inspection stations, authorized stations and fleet stations. The regulations adopted pursuant to this paragraph must provide that a facility licensed as an authorized inspection station.
- (1) Except as otherwise provided in subparagraph (2), may not, unless specifically authorized by the Commission, install, repair, diagnose or adjust any component or system of a motor vehicle that affects exhaust emissions.
  - (2) May perform the following activities in connection with a motor vehicle:
    - (I) The changing of oil;
    - (II) The replacing of an oil filter, air filter, fuel filter, belt or hose; and
- (III) The servicing of a fuel injection system using methods approved by the Division of Environmental Protection of the State Department of Conservation and Natural Resources.
- (b) Prescribe the manner in which authorized inspection stations, authorized stations and fleet stations inspect motor vehicles and issue evidence of compliance.
- (c) Prescribe the diagnostic equipment necessary to perform the required inspection. The regulations must ensure that:
- (1) The equipment complies with any applicable standards of the United States Environmental Protection Agency; and

(2) Use of the equipment is specifically authorized by the Commission.

(d) Provide for any fee, bond or insurance which is necessary to carry out the provisions of <u>NRS 445B.700</u> to 445B.815, inclusive.

(e) Provide for the issuance of a pamphlet for distribution to owners of motor vehicles. The pamphlet must contain information explaining the reasons for and the methods of the inspections.

2. The Department of Motor Vehicles shall issue a copy of the regulations to each authorized inspection station, authorized station and fleet station.

3. If an approved inspector who has paid a fee for the initial issuance of a license to inspect motor vehicles and devices for the control of pollution wishes to be licensed at one or more locations in addition to the location for which the initial issuance of the license was applicable, the regulations adopted by the Department of Motor Vehicles pursuant to this section must not impose a fee greater than \$2 for the issuance and renewal of the license for each additional location.

(Added to NRS by 1977, 919; A 1979, 1034; 1985, 1993; 1993, 2857; 2001, 2620; 2005, 2323; 2007, 3233; 2015, 79; 2021, 2174)

# NRS 445B.790 Regulations concerning inspection of stations; grounds for denial, suspension or revocation of license of inspector or station.

- 1. The Department of Motor Vehicles shall, by regulation, establish procedures for inspecting authorized inspection stations, authorized stations and fleet stations, and may require the holder of a license for an authorized inspection station, authorized station or fleet station to submit any material or document which is used in the program to control emissions from motor vehicles.
- 2. The Department may deny, suspend or revoke the license of an approved inspector, authorized inspection station, authorized station or fleet station if:
- (a) The approved inspector or the holder of a license for an authorized inspection station, authorized station or fleet station is not complying with the provisions of <u>NRS 445B.700</u> to <u>445B.815</u>, inclusive.
- (b) The holder of a license for an authorized inspection station, authorized station or fleet station refuses to furnish the Department with the requested material or document.
- (c) The approved inspector has issued a fraudulent certificate of compliance, whether intentionally or negligently. A "fraudulent certificate" includes, but is not limited to:
  - (1) A backdated certificate;
  - (2) A postdated certificate; and
  - (3) A certificate issued without an inspection.
  - (d) The approved inspector does not follow the prescribed test procedure.

(Added to NRS by 1977, 919; A 1979, 1034; 1985, 1994; 1993, 2857; 1995, 94; 2001, 2620; 2003, 1412; 2015, 80; 2019, 2914, 4345)

NRS 445B.770 providing for a compulsory inspection program is limited as follows:

- 1. In a county whose population is 100,000 or more, the following categories of motor vehicles which are powered by motor vehicle fuel or special fuel and require inspection pursuant to the regulations adopted by the Commission under NRS 445B.770 are required to have evidence of compliance upon registration or reregistration:
  - (a) All passenger cars;
  - (b) Light-duty motor vehicles;
- (c) Heavy-duty motor vehicles that are powered by diesel fuel and have a manufacturer's gross vehicle weight rating which does not exceed 14,000 pounds; and
  - (d) Heavy-duty motor vehicles that are powered by motor vehicle fuel or special fuel, excluding diesel fuel.
- 2. In areas which have been designated by the Commission for inspection programs and which are located in counties whose populations are 100,000 or more, all used motor vehicles which require inspection pursuant to the regulations adopted by the Commission under NRS 445B.770 are required to have evidence of compliance upon registration or reregistration.
- 3. In designated areas in other counties where the Commission puts a program into effect, all used motor vehicles which require inspection pursuant to the regulations adopted by the Commission under NRS 445B.770 are required to have evidence of compliance upon registration or reregistration.
- 4. The board of county commissioners of a county containing a designated area may revise its program for the designated area after receiving the approval of the Commission.
- 5. Before carrying out the inspections of vehicles required pursuant to the regulations adopted by the Commission pursuant to NRS 445B.770, the Commission shall, by regulation, adopt testing procedures and standards for emissions for those vehicles.

(Added to NRS by 1975, 1408; A 1977, 921; 1979, 989; 1981, 1046; 1983, 1363; 1991, 2019; 1995, 95; 2003, 600; 2009, 1327)

NRS 445B.798 Authority of Department of Motor Vehicles, in larger counties, to conduct test of emissions from motor vehicle being operated on highway. Notwithstanding subsection 3 of NRS 445B.825,

in a county whose population is 100,000 or more, the Department of Motor Vehicles may conduct a test of the emissions from a motor vehicle which is being operated on a highway in that county to determine whether the vehicle complies with the provisions of NRS 445B.700 to 445B.845, inclusive, and the regulations adopted pursuant thereto.

(Added to NRS by 1995, 2353; A 2001, 2621; 2021, 2175)

# NRS 445B.800 Evidence of compliance: Requirements for registration, sale or long-term lease of used vehicles in certain counties.

- 1. Subject to any applicable limitation of NRS 445B.700 to 445B.815, inclusive, and any regulation adopted pursuant thereto, no used motor vehicle which requires inspection pursuant to the regulations adopted by the Commission under NRS 445B.770 may be registered unless the application for registration is accompanied by evidence of compliance issued by any authorized inspection station, authorized station or fleet station certifying that the vehicle is equipped with devices for the control of pollution from motor vehicles required by federal regulation or such other requirements as the Commission may by regulation prescribe under the provisions of NRS 445B.700 to 445B.845, inclusive.
  - 2. If:
- (a) A seller of a used vehicle is required to complete a dealer's report of sale pursuant to the provisions of NRS 482.424; or
- (b) A long-term lessor of a used vehicle is required to complete a long-term lessor's report of lease pursuant to the provisions of NRS 482.4245,
- the seller or long-term lessor shall also provide the buyer or long-term lessee with any evidence of compliance required pursuant to subsection 1, and shall deliver that evidence of compliance to a used vehicle buyer together with the dealer's report of sale issued pursuant to NRS 482.424 or 482.4245, indicating that the used vehicle purchased or leased meets the engine emission standards for the year, make and model of the used vehicle as established by regulation pursuant to NRS 445B.770.
  - 3. A seller or long-term lessor of a used vehicle is not entitled to a waiver of the provisions of subsection 2.
  - 4. The requirements of this section apply only:
- (a) To passenger cars and light-duty motor vehicles which use diesel fuel and are based in a county whose population is 100,000 or more; and
- (b) In counties where a program of inspecting and testing motor vehicles and systems for the control of emissions from motor vehicles has been implemented pursuant to NRS 445B.770.

(Added to NRS by 1973, 1703; A 1975, 1074, 1407; 1977, 921; 1991, 2020; 1993, 1395, 2858; 1995, 95, 727, 2353; 2007, 3233)

# NRS 445B.805 Evidence of compliance: Exemptions from requirements; requirements for notice and availability for inspection. The provisions of NRS 445B.800 do not apply to:

- 1. Transfer of registration or ownership between:
- (a) Spouses; or
- (b) Companies whose principal business is leasing of vehicles, if there is no change in the lessee or operator of the vehicle.
- 2. Motor vehicles which are subject to prorated registration pursuant to the provisions of <u>NRS 706.801</u> to <u>706.861</u>, inclusive, and which are not based in this State.
  - 3. Transfer of registration if evidence of compliance was issued within 90 days before the transfer.
- 4. Transfer of registration from a vehicle dealer or new vehicle dealer to any person who buys or exchanges an interest in a motor vehicle if evidence of compliance was issued within 180 days before the transfer.
- 5. A consignee who is conducting a consignment auction which meets the requirements set forth in <u>NRS</u> 445B.807 if the consignee:
- (a) Informs the buyer, using a form, including, without limitation, an electronic form, if applicable, as approved by the Department of Motor Vehicles, that the consignee is not required to obtain an inspection or testing of the motor vehicle pursuant to the regulations adopted by the Commission under NRS 445B.770 and that any such inspection or testing that is required must be obtained by the buyer before the buyer registers the motor vehicle;
- (b) Posts a notice in a conspicuous location at the site of the consignment auction or, if applicable, on the Internet website on which the consignment auction is conducted, and includes a notice in any document published by the consignee that lists the vehicles available for the consignment auction or solicits persons to bid at the consignment auction, stating that the consignee is exempt from any requirement to obtain an inspection or testing of a motor vehicle pursuant to the regulations adopted by the Commission under NRS 445B.770 if the motor vehicle is sold at the consignment auction; and
  - (c) Makes the vehicle available for inspection before the consignment auction:
- (1) In the case of a live auction with an auctioneer verbally calling for and accepting bids, at the location of the consignment auction; or
- (2) In the case of an auction that is conducted on an auction website on the Internet by a consignee who is certified pursuant to subsection 2 of NRS 445B.807, at the primary place of business of the consignee conducting the consignment auction.

(Added to NRS by 1973, 1704; A 1977, 922; 1979, 568; 1985, 1994; 1995, 96; 2013, 1861; 2017, 792; 2019, 189)

NRS 445B.807 Consignment auction: Qualifying event; certification of auctioneer; regulations.

1. To qualify as a consignment auction for the purposes of subsection 5 of <u>NRS 445B.805</u>, an event must be:

(a) A live auction with an auctioneer verbally calling for and accepting bids; or

(b) An auction conducted on an auction website on the Internet by a person who is certified pursuant to subsection 2 and who is:

(1) A vehicle dealer licensed pursuant to NRS 482.325; or

(2) A salvage pool licensed pursuant to NRS 487.410.

2. A person may obtain certification for the purposes of paragraph (b) of subsection 1 by:

(a) Applying to the Department of Motor Vehicles;

(b) Providing evidence satisfactory to the Department that the person is licensed as a vehicle dealer pursuant

to NRS 482.325 or as a salvage pool pursuant to NRS 487.410;

- (c) Providing evidence satisfactory to the Department that at least 51 percent of the motor vehicles sold by the person in the calendar year immediately preceding the date of the person's application were sold on behalf of another person and were sold using:
  - (1) A live auction with an auctioneer verbally calling for and accepting bids; or
  - (2) An auction conducted on an auction website on the Internet by the person; and

(d) Providing any other information or documentation required by the Department.

3. The Department may adopt any regulations necessary to carry out the provisions of this section, including, without limitation, providing procedures for the application for and the granting of a certification pursuant to this section and providing for the expiration and renewal of the certification.

(Added to NRS by 2013, 1860; A 2015, 127; 2019, 190)

NRS 445B.810 State Department of Conservation and Natural Resources to provide assistance. In furtherance of the provisions of NRS 445B.700 to 445B.845, inclusive, and the enforcement thereof, the State Department of Conservation and Natural Resources shall consult with the Department of Motor Vehicles and furnish it with technical information, including testing techniques, procedures for quality assurance and standards adopted by the Commission, and instruction for emission control features and equipment.

(Added to NRS by 1973, 1704; A 1973, 1406; 1977, 922, 1038, 1143; 1985, 1994; 2001, 2621)

# NRS 445B.815 Evidence of compliance: Duty of employees and agents of Department of Motor Vehicles; submission by owner or lessee of fleet.

- 1. Except as otherwise provided in subsection 2, persons employed at branch offices of the Department of Motor Vehicles and the offices of county assessors who are acting as agents of the Department in the collection of fees for registration shall not register:
  - (a) A passenger car or light-duty motor vehicle which:

(1) Uses motor vehicle fuel or special fuel;

- (2) Is based in a county whose population is 100,000 or more; and
- (3) Requires inspection pursuant to the regulations adopted by the Commission under NRS 445B.770;
- (b) A heavy-duty motor vehicle having a manufacturer's gross vehicle weight rating which does not exceed 14,000 pounds, that:
  - (1) Uses diesel fuel;
  - (2) Is based in a county whose population is 100,000 or more; and
  - (3) Requires inspection pursuant to the regulations adopted by the Commission under NRS 445B.770;

(c) À heavy-duty motor vehicle that:

- (1) Uses motor vehicle fuel or special fuel, excluding diesel fuel;
- (2) Is based in a county whose population is 100,000 or more; and
- (3) Requires inspection pursuant to the regulations adopted by the Commission under NRS 445B.770; or

(d) A vehicle which:

- (1) Is based in an area of this State designated by the Commission; and
- (2) Requires inspection pursuant to the regulations adopted by the Commission under NRS 445B.770,

→ until evidence of compliance with NRS 445B.700 to 445B.845, inclusive, has been provided.

2. An owner or lessee of a fleet of three or more vehicles may, upon application to the Department of Motor Vehicles, submit evidence of compliance for those motor vehicles in a manner determined by that Department.

(Added to NRS by 1973, 1704; A 1977, 922; 1985, 1995; 1991, 2020; 1995, 96; 2001, 2621; 2003, 601; 2009, 1327)

NRS 445B.820 Installation and inspection of pollution control device. Any person may install a motor vehicle pollution control device, but no person who is not employed by an authorized station or fleet station may install a device for compensation. No such device shall be deemed to meet the requirements of NRS 445B.770 to 445B.815, inclusive, or regulations of the Commission or Department unless it has been inspected in an

authorized inspection station, authorized station or fleet station, and evidence of compliance has been issued by that station.

(Added to NRS by <u>1973, 1704</u>; A <u>1977, 922; 1993, 2858; 2015, 80</u>)

NRS 445B.825 Exemption of certain classes of motor vehicles and hybrid electric vehicles; waiver from provisions of NRS 445B.770 to 445B.815, inclusive.

- 1. The Commission may provide for exemption from the provisions of <u>NRS 445B.770</u> to <u>445B.815</u>, inclusive, of designated classes of motor vehicles, including, without limitation, classes based upon the year of manufacture of motor vehicles.
- 2. A hybrid electric vehicle, as defined in 40 C.F.R. § 86.1803-01, is exempt from the provisions of NRS 445B.770 to 445B.815, inclusive, until the model year of the vehicle is 6 years old.
- 3. A new motor vehicle is exempt from the test conducted pursuant to NRS 445B.798 and the provisions of NRS 445B.770 to 445B.815, inclusive, until the fourth registration of the motor vehicle. If the Department of Motor Vehicles conducts a test pursuant to NRS 445B.798, the Department of Motor Vehicles shall conduct the test pursuant to NRS 445B.798 to determine whether the motor vehicle complies with the provisions of NRS 445B.700 to 445B.845, inclusive, and the regulations adopted pursuant thereto, annually after the fourth registration of the motor vehicle.
- 4. The Commission shall provide for a waiver from the provisions of NRS 445B.770 to 445B.815, inclusive, if compliance involves repair and equipment costs which exceed the limits established by the Commission. The Commission shall establish the limits in a manner which avoids unnecessary financial hardship to motor vehicle owners.
- 5. As used in this section, "new motor vehicle" means a motor vehicle that has never been registered with the Department of Motor Vehicles and has never been registered with the appropriate agency or authority of any other state, the District of Columbia, any territory or possession of the United States or a foreign state, province or country.

(Added to NRS by 1973, 1704; A 1977, 923; 2007, 779; 2021, 2175)

NRS 445B.830 Fees to be paid to Department of Motor Vehicles; Pollution Control Account; expenditure of money in Account; quarterly distributions to local governments; annual reports by local governments; grants; creation and duties of advisory committee; submission and approval of proposed grants.

- 1. In areas of the State where and when a program is commenced pursuant to NRS 445B.770 to 445B.815, inclusive, the following fees must be paid to the Department of Motor Vehicles and accounted for in the Pollution Control Account, which is hereby created in the State General Fund:
- (a) For the issuance and annual renewal of a license for an authorized inspection station, authorized station or fleet station \$25
- 2. Except as otherwise provided in subsection 6, and after deduction of the amounts distributed pursuant to subsections 4 and 7, money in the Pollution Control Account may, pursuant to legislative appropriation or with the approval of the Interim Finance Committee, be expended by the following agencies in the following order of priority:
  - (a) The Department of Motor Vehicles to carry out the provisions of NRS 445B.770 to 445B.845, inclusive.
  - (b) The State Department of Conservation and Natural Resources to carry out the provisions of this chapter.
  - (c) The State Department of Agriculture to carry out the provisions of NRS 590.010 to 590.150, inclusive.
- (d) Local air pollution control agencies in nonattainment or maintenance areas for an air pollutant for which air quality criteria have been issued pursuant to 42 U.S.C. § 7408, for programs related to the improvement of the quality of the air.
- (e) The Tahoe Regional Planning Agency to carry out the provisions of <u>NRS 277.200</u> with respect to the preservation and improvement of air quality in the Lake Tahoe Basin.
- 3. The Department of Motor Vehicles may prescribe by regulation routine fees for inspection at the prevailing shop labor rate, including, without limitation, maximum charges for those fees, and for the posting of those fees in a conspicuous place at an authorized inspection station or authorized station.
- 4. The Department of Motor Vehicles shall make quarterly distributions of money in the Pollution Control Account to local air pollution control agencies in nonattainment or maintenance areas for an air pollutant for which air quality criteria have been issued pursuant to 42 U.S.C. § 7408. The distributions of money made to agencies in a county pursuant to this subsection must be made from an amount of money in the Pollution Control Account that is equal to one-sixth of the amount received for each form issued in the county pursuant to subsection 1.
- 5. Each local air pollution control agency that receives money pursuant to subsections 4, 6 and 7 shall, not later than 45 days after the end of the fiscal year in which the money is received, submit to the Director of the Legislative Counsel Bureau for transmittal to the Interim Finance Committee a report on the use of the money received.

- 6. The Department of Motor Vehicles shall make annual distributions of excess money in the Pollution Control Account to local air pollution control agencies in nonattainment or maintenance areas for an air pollutant for which air quality criteria have been issued pursuant to 42 U.S.C. § 7408, for programs related to the improvement of the quality of the air. The distributions of excess money made to local air pollution control agencies in a county pursuant to this subsection must be made in an amount proportionate to the number of forms issued in the county pursuant to subsection 1. As used in this subsection, "excess money" means the money in excess of \$1,000,000 remaining in the Pollution Control Account at the end of the fiscal year, after deduction of the amounts distributed pursuant to subsections 4 and 7 and any disbursements made from the Account pursuant to subsection 2.
- 7. If a board of county commissioners imposes an additional fee pursuant to subsection 1 of <u>NRS 445B.834</u>, the Department of Motor Vehicles shall:
- (a) Upon receiving the notification pursuant to subsection 2 of NRS 445B.834, collect the additional fee on behalf of the county and account separately for money from the additional fee in the Pollution Control Account; and
- (b) Make quarterly distributions of the money in the Pollution Control Account attributable to each county whose board of county commissioners imposed the additional fee. The distributions made pursuant to this paragraph must be equal to the amount of money collected on behalf of the county pursuant to the additional fee imposed by the board of county commissioners of the county.
- 8. The Department of Motor Vehicles shall provide for the creation of an advisory committee consisting of representatives of state and local agencies involved in the control of emissions from motor vehicles. The committee shall:
  - (a) Establish goals and objectives for the program for control of emissions from motor vehicles;
  - (b) Identify areas where funding should be made available; and
  - (c) Review and make recommendations concerning regulations adopted pursuant to NRS 445B.770

(Added to NRS by 1973, 1704; A 1975, 315; 1977, 923; 1979, 109; 1981, 1059; 1985, 1995; 1989, 957; 1991, 1356, 1770, 2020; 1993, 596, 2859; 1997, 3079; 1999, 2723, 2724, 3593; 2001, 195, 2622, 2681; 2003, 180, 2555; 2005, 896; 2011, 3735; 2013, 272, 2367; 2015, 80; 2019, 82; 2021, 701, 2176)

# NRS 445B.832 Surcharge for electronic transmission of information: Authority to impose; inclusion as separate entry on form certifying emission control compliance; definition.

- 1. If an authorized station or authorized inspection station is required to collect a fee pursuant to subsection 1 of NRS 445B.830, the station may charge a customer whose vehicle is inspected by the station the amount of any electronic transmission surcharge that the station incurs to obtain information which the station is required by law to obtain with respect to that customer's vehicle.
- 2. An electronic transmission surcharge that is charged to a customer pursuant to subsection 1 must be set forth as a separate entry on the form certifying emission control compliance which the authorized station or authorized inspection station provides to the customer.
- 3. As used in this section, "electronic transmission surcharge" means the amount that an authorized station or authorized inspection station is required to pay to a contractor who owns or operates a database for the identification of vehicles for the transmission of information regarding a particular vehicle from the database to the authorized station or authorized inspection station.

(Added to NRS by 2001, 2680)

# NRS 445B.834 Additional fee for form certifying emission control compliance; notification to Department concerning purposes of fee; uses of fee.

- 1. The board of county commissioners of a county whose population is 100,000 or more may by ordinance impose an additional fee for each form certifying emission control compliance.
- 2. If a board of county commissioners imposes an additional fee pursuant to subsection 1, the board of county commissioners shall notify the Department of Motor Vehicles for the purposes of collecting and distributing the additional fee pursuant to subsection 7 of NRS 445B.830.
  - 3. If a board of county commissioners imposes an additional fee pursuant to subsection 1, the board shall:
- (a) Subject to the provisions of paragraph (b), use any money received from the additional fee to support the programs of local air pollution control agencies to reduce emissions from a motor vehicle; and
- (b) Allocate at least 50 percent of any money received from the additional fee to support the programs of local air pollution control agencies to reduce emissions from a motor vehicle for the benefit of historically underserved communities.
  - 4. As used in this section:
- (a) "Additional fee" does not include any fee that is imposed pursuant to paragraph (a), (b) or (c) of subsection 1 of NRS 445B.830.
- (b) "Block" means the smallest geographical unit whose boundaries were designated by the Bureau of the Census of the United States Department of Commerce in its topographically integrated geographic encoding and referencing system.
  - (c) "Block group" means a combination of blocks whose numbers begin with the same digit.
  - (d) "Census tract" means a combination of block groups.

- (e) "Historically underserved community" means:
  - (1) A census tract:
- (I) Designated as a qualified census tract by the United States Secretary of Housing and Urban Development pursuant to 26 U.S.C. § 42(d)(5)(B)(ii); or
- (II) In which, in the immediately preceding census, at least 20 percent of households were not proficient in the English language;
  - (2) A community in this State with at least one public school:
- (I) In which 75 percent or more of the enrolled pupils in the school are eligible for free or reducedprice lunches pursuant to 42 U.S.C. §§ 1751 et seq.; or
- (II) That participates in universal meal service in high poverty areas pursuant to Section 104 of the Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296; or
  - (3) A community in this State located on qualified tribal land, as defined in NRS 370.0325. (Added to NRS by 2001, 2681; A 2021, 2177)

#### NRS 445B.835 Administrative fine; hearing; additional remedies to compel compliance.

- 1. The Department of Motor Vehicles may impose an administrative fine, not to exceed \$2,500, for a violation of any provision of NRS 445B.700 to 445B.845, inclusive, or any rule, regulation or order adopted or issued pursuant thereto. The Department shall afford to any person so fined an opportunity for a hearing pursuant to the provisions of NRS 233B.121
- 2. All administrative fines collected by the Department pursuant to subsection 1 must be deposited with the State Treasurer for credit to the Pollution Control Account.
- 3. In addition to any other remedy provided by NRS 445B.700 to 445B.845, inclusive, the Department may compel compliance with any provision of NRS 445B.700 to 445B.845, inclusive, and any rule, regulation or order adopted or issued pursuant thereto, by injunction or other appropriate remedy and the Department may institute and maintain in the name of the State of Nevada any such enforcement proceedings. (Added to NRS by 1991, 756; A 1993, 553; 2001, 2623; 2015, 127)

#### NRS 445B.840 Unlawful acts. It is unlawful for any person to:

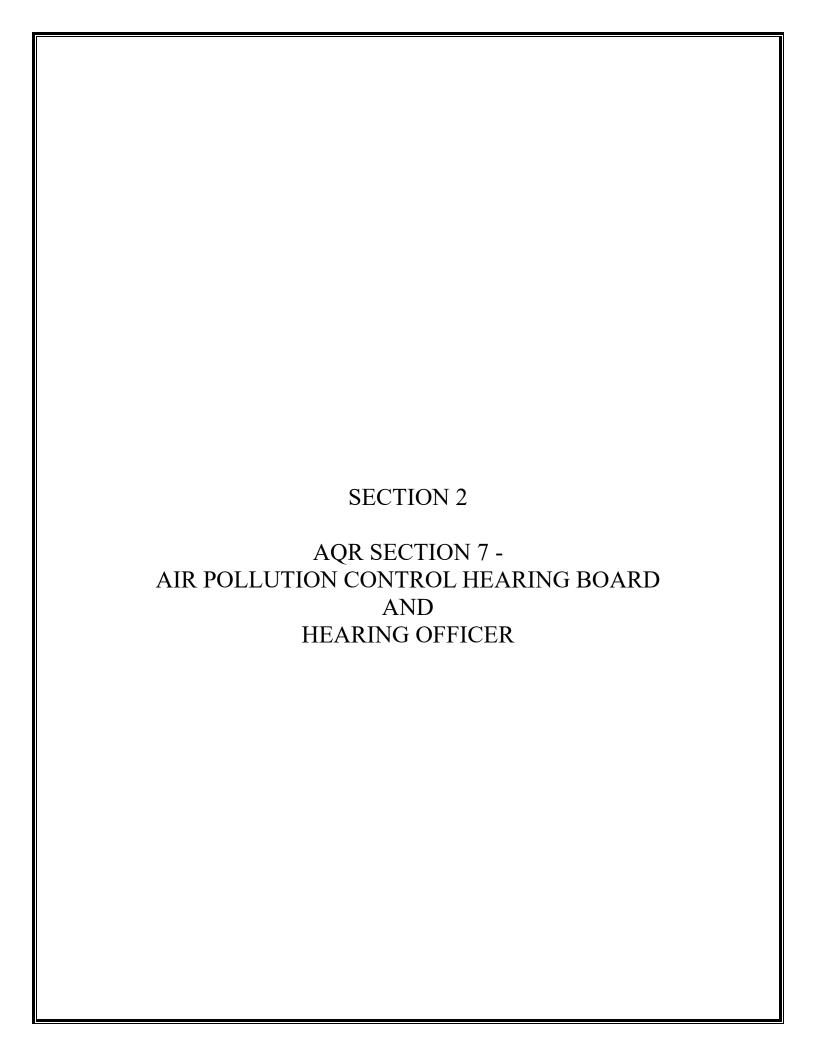
- 1. Possess any unauthorized evidence of compliance;
- Make, issue or use any imitation or counterfeit evidence of compliance;
- Willfully and knowingly fail to comply with the provisions of NRS 445B,700 to 445B,815, inclusive, or any regulation adopted by the Department of Motor Vehicles; or
- 4. Issue evidence of compliance if he or she is not a licensed inspector of an authorized inspection station, authorized station or fleet station.

(Added to NRS by 1977, 919; A 1985, 1995; 1993, 2860; 2001, 2623; 2013, 1862; 2015, 128)

#### NRS 445B.845 Criminal penalty; enforcement of provisions by peace officer; mitigation of offense.

- 1. A violation of any provision of NRS 445B.700 to 445B.845, inclusive, relating to motor vehicles, or any regulation adopted pursuant thereto relating to motor vehicles, is a misdemeanor. The provisions of NRS 445B.700 to 445B.845, inclusive, or any regulation adopted pursuant thereto, must be enforced by any peace
- 2. Satisfactory evidence that the motor vehicle or its equipment conforms to those provisions or regulations, when supplied by the owner of the motor vehicle to the Department of Motor Vehicles within 10 days after the issuance of a citation pursuant to subsection 1, may be accepted by the court as a complete or partial mitigation of

(Added to NRS by 1973, 1705; A 1985, 1995; 2001, 2623; 2003, 601; 2013, 1862; 2015, 128; 2019, 2914,



# **SECTION 2**

# SECTION 7: AIR POLLUTION CONTROL HEARING BOARD AND HEARING OFFICER

## 7.1 Appointments

### (a) Hearing Officer

- (1) The Clark County Board of County Commissioners (BCC), in accordance with Chapter 2.68 of the Clark County Code, may appoint Hearing Officers from a list of qualified applicants, prepared by the department, that meet the following criteria:
  - (A) An individual appointed as a Hearing Officer shall not be an employee of the state of Nevada or any of its political subdivisions.
  - (B) Hearing Officer(s) shall have a working knowledge of air quality issues, arbitration, law, and/or engineering.
  - (C) A Hearing Officer will be an independent contractor who serves at the pleasure of the BCC.
  - (D) When multiple Hearing Officers have been appointed, each one shall make decisions independently.

#### (b) <u>Air Pollution Control Hearing Board (Hearing Board)</u>

- (1) In accordance with Chapter 445B.275 of the Nevada Revised Statutes, (NRS 445B.275) the Hearing Board shall consist of seven members selected from a list of qualified applicants submitted by the department that meet the following criteria:
  - (A) Members cannot be employees of the state of Nevada or any of its political subdivisions.
  - (B) One member must be an attorney admitted to practice law in Nevada.
  - (C) One member must be a professional engineer licensed in Nevada.
  - (D) One member must be licensed in Nevada as a general engineering or building contractor, as defined in NRS 624.215.
  - (E) Hearing Board members shall serve a term of three years.

- (F) Hearing Board members will serve at the pleasure of the BCC.
- (G) Hearing Board members shall have a working knowledge of air quality issues, arbitration, law, and/or engineering.
- (H) The Hearing Board shall select a Chair, Vice-chair, and any other officers it deems necessary.
- (I) Four members of the Hearing Board must be present to hold a hearing, and a majority of those present must concur in any decision when they sit en banc. At the request of the Control Officer a panel of three or more members may conduct hearings.
- (J) The Chair shall preside over the hearing and make all procedural rulings. Rulings are subject to appeal before the Hearing Board and may be reversed by a majority vote of the members considering the matter.
- (K) All Hearing Board public hearings shall comply with the requirements of the Nevada Open Meeting Laws.

#### 7.2 Ethical Service

- (a) The Hearing Officer and members of the Hearing Board shall not conduct or participate in any hearing or decision in which they or any of the following persons has a direct or substantial financial interest: spouse; brother; sister; child; parent; in-laws; and parents of business associates. The Hearing Officer shall not participate in a hearing concerning any business with which the officer is negotiating, or has an arrangement or understanding concerning, possible partnership or employment. Any actual or potential interest shall be disclosed prior to the hearing.
- (b) A Hearing Officer and members of the Hearing Board may vote upon or consider a matter if the accruing benefit or detriment resulting from the decision, either individually or as a member of a general business profession, occupation or group, is not greater than that of any other member of the general business, profession, occupation or group.
- (c) A Hearing Officer and members of the Hearing Board shall not vote upon, consider, or advocate the passage or failure of, but may otherwise participate in the consideration of a matter in which a reasonably objective observer might conclude that independent judgment would be materially affected by:
  - (1) Acceptance of a gift or loan;

- (2) Pecuniary interest; or
- (3) A private commitment to the interest of others.
- (d) If a member of the Hearing Board declares an intent to abstain from voting because of the requirements of Section 7.2(a), the necessary quorum and votes necessary to act upon the matter at hand shall be reduced as though the abstaining member were not on the Hearing Board.
- (e) If a Hearing Officer declares an intent to abstain from rendering a decision because of the requirements of Section 7.2(a), the scheduled hearing will be forwarded to a second Hearing Officer. If there are no qualified Hearing Officer(s), then the matter will be referred to the Hearing Board to take action on the item as the reviewing administrative body.

## 7.3 Procedures – Hearing Officer

- (a) The Control Officer shall specify the time and place for each hearing in accordance with Section 4.3(d).
- (b) All affected parties shall be notified of a Hearing Officer hearing no less than five days before the date is set.
- (c) The Hearing Officer shall consider cases with:
  - (1) Non-contested facts and penalties.
  - (2) Non-contested facts and contested penalties.
  - (3) Contested facts and penalties.
- (d) A Hearing Officer shall affirm, modify or reject:
  - (1) The alleged violation of the AQRs.
  - (2) The recommended administrative penalty.
  - (3) Any appealed Control Officer Order to take corrective action.
- (e) A written order of the Hearing Officer shall be final 10 days after its receipt by all affected parties unless the respondent or Control Officer appeals the decision to the Hearing Board. The Notice of Appeal of a Hearing Officer Order must:
  - (1) Be received by the department within 10 days of receipt of the Hearing Officer's decision.

- (2) Be on a form provided by the department, to include an original signature (no copies) and reason(s) for the appeal. Form must be mailed or delivered to the department front desk.
- (3) Include the applicable fee (AQR 18.12).

## 7.4 Procedures – Air Pollution Control Hearing Board

- (a) The Hearing Board shall be convened at the request of the Control Officer to consider appeals of Hearing Officer Orders and Control Officer permitting determinations, as specified in Section 7.3(e).
- (b) The Hearing Board shall consider Petitions of Appeals for:
  - (1) Hearing Officer Orders
  - (2) Control Officer's permit determinations.
- (c) The Hearing Board shall affirm, modify or rescind appealed:
  - (1) Hearing Officer Orders.
  - (2) Control Officer's permit determinations.
  - (3) Terms and conditions of a permit issued by the Control Officer.
- (d) A written order of the Hearing Board shall be final 10 days after receipt by all parties.

## 7.5 Appeals to Hearing Board

- (a) An aggrieved party may file a written Notice of Appeal to the Hearing Board within 10 days of the date of the department's notice of action, except as otherwise provided by law. The applicable filing fee must accompany the Notice of Appeal.
- (b) The Notice of Appeal must:
  - (1) Be received by the department within 10 days of receipt of the Hearing Officer's decision.
  - (2) Specify the reason(s) for appealing the order. The Notice must have an original signature and include the appropriate fee.
  - (3) Be on a form provided by the department, including original signature (no copies). Form must be mailed or delivered to the department front desk.

- (4) Include the applicable fee (AQR 18.12).
- (c) An aggrieved party may appeal:
  - (1) The issuance, denial, renewal, modification, revision, suspension, or revocation of an operating permit.
  - (2) The issuance, modification or rescission of any other order.
- (d) The Control Officer shall determine the time and manner in which appeals are taken to the Hearing Board.
- (e) The Hearing Board shall decide all appeals, and may order the affirmation, modification, or reversal of any action taken by a Hearing Officer that is a subject of appeal.
- (f) Appeals of Hearing Officer Order shall be heard "de novo" (i.e., from the beginning), with testimony and exhibits presented and the appeal conducted in the same manner as before the Hearing Officer.
- (g) Any rehearing of a matter previously before the Hearing Board must be based upon a mistake of fact or misapplication of the law made by the Hearing Board, or the Hearing Board not completely disposing of the matter before it.

# 7.6 Procedures—Hearing Officer Meetings

- (a) General
  - (1) The Control Officer shall notify the person(s) responsible for an alleged violation they must appear before the Hearing Officer.
  - (2) The Control Officer shall determine the time and manner in which cases and appealed corrective action orders are presented before the Hearing Officer, in accordance with Section 4.3.
  - (3) All testimony shall be given under oath and recorded verbatim (by human or electronic means). Upon request, the department shall provide a transcript at the expense of the requesting party.
- (b) The department may make an opening statement briefly describing the nature of the case, after which the cited party may briefly state the nature of any defense.
- (c) The parties shall present their cases through the sworn testimony of witnesses and exhibits, with the department proceeding first.

- (d) The Hearing Officer may inquire of any witness following any segment of testimony.
- (e) Each party may conduct direct examination of its own witnesses and cross-examination of the other party's witnesses.
- (f) Exhibits will be presented to the other party before a request for admission is made to the Hearing Officer. Strict adherence to the technical rules of evidence is not required, but the Hearing Officer reserves the right to exclude irrelevant, immaterial, or unduly repetitious testimony or other evidence.
- (g) Each party may present a closing summary, after which the Hearing Officer shall either find that a violation has occurred and impose a penalty, or find that no violation has occurred.
- (h) Hearings Pursuant to Notices of Violation
  - (1) Non-contested cases:
    - (A) When the Control Officer and cited party have agreed on the facts and penalty submitted to the Hearing Officer, the Hearing Officer may approve the non-contested agenda item and impose the agreed-upon penalty.
    - (B) If the Hearing Officer rejects the non-contested agenda item, the Notice of Violation shall be removed from the non-contested agenda and, unless good cause otherwise exists, set for a hearing before the same Hearing Officer at a subsequent meeting.
  - (2) Non-contested facts and contested penalties:
    - (A) When the Control Officer and cited party agree on facts submitted to the Hearing Officer but disagree on the penalty, the Control Officer shall place the Notice of Violation on the contested agenda before the Hearing Officer.
    - (B) The Hearing Officer shall consider the supporting reasons and recommendations presented by both parties and impose a penalty.
  - (3) Contested facts and penalties:
    - (A) When the Control Officer and cited party disagree on the facts and the penalty, the Control Officer shall place a Notice of Violation on the contested agenda before the Hearing Officer.

(B) The Hearing Officer shall consider the supporting reasons and recommendations presented by both parties and shall either determine a violation has occurred and levy an appropriate penalty, or determine that no violation has occurred.

## 7.7 Procedures—Air Pollution Control Hearing Board Meeting

- (a) The Control Officer shall specify the time and place for each Hearing Board Meeting.
- (b) The Chair of the Hearing Board shall call the meeting to order if a quorum is present.
- (c) The minutes of the previous Hearing Board meeting shall be presented for approval, disapproval, or modification.
- (d) Department staff shall report on relevant matters and recent developments relating to air quality.
- (e) Legal counsel for the Hearing Board shall report on relevant matters.
- (f) The Hearing Board shall consider relevant matters, including but not limited to appeals from orders issued by the Hearing Officer(s) and/or the appeal of the Control Officer's final action on an operating permit.
- (g) Except for appeals of Hearing Officer Orders, the Hearing Board shall conduct itself according to the administrative procedures set forth in NRS 223(B) and/or any special procedures the Hearing Board has adopted. If the Administrative Procedures Act set forth in NRS 233(B) or special procedures do not apply, the Hearing Board shall use Robert's Rules of Order.
- (h) The public shall be allowed to participate at Hearing Board meetings.

# 7.8 Procedures—Public Hearings

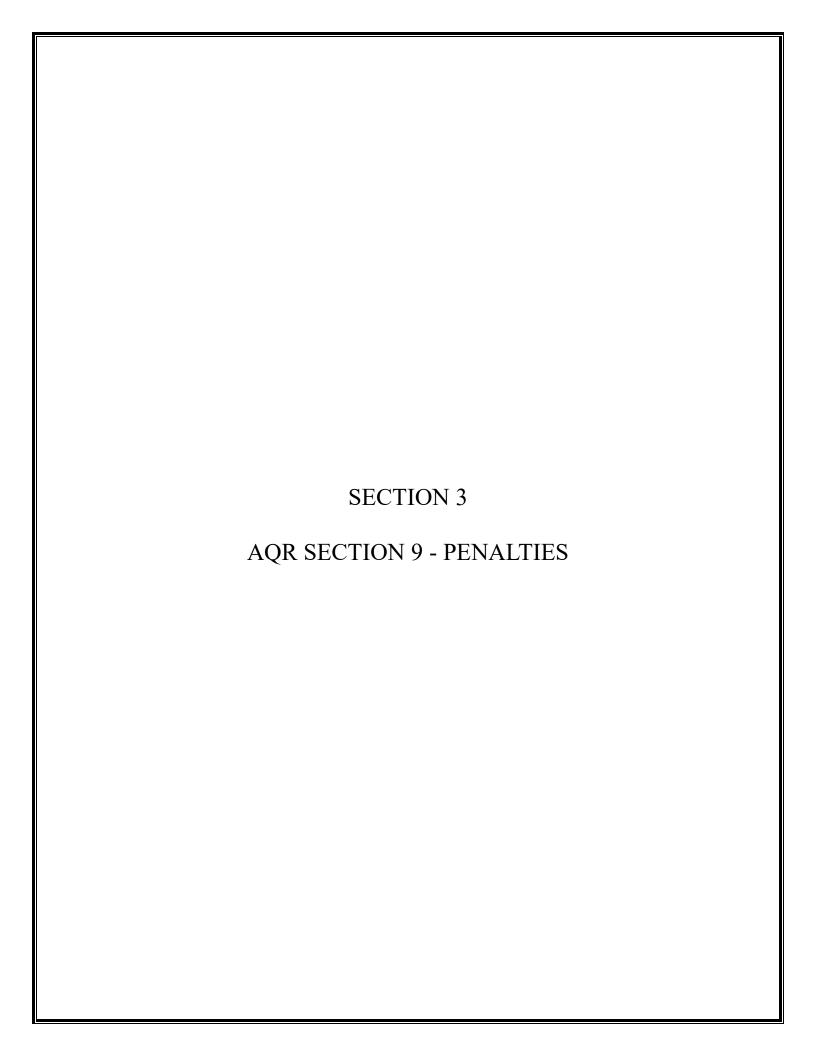
- (a) The Control Officer shall specify the time and place for each Public Hearing.
- (b) All testimony given before the Hearing Board shall be given under oath and recorded verbatim (by human or electronic means). Upon request, the Chair shall provide for a transcript at the expense of the requesting party.
- (c) The Hearing Board shall hear presentations by staff and the applicant, and then testimony by the public.
- (d) The applicant may present rebuttal testimony, after which the Chair shall close the public meeting.

(e) The Hearing Board shall make its decision following discussion and a majority of those present must concur in any decision.

#### 7.9 Judicial Review

Any person aggrieved by an order or decision of the Hearing Board may seek judicial review in accordance with the law.

History: Amended: September 3, 1981; July 8, 1985; April 23, 1987; November 18, 1993; May 26, 1994; December 19, 1996; April 24, 1997; December 21, 2000; June 3, 2003; July 1, 2004; December 17 2019; January 21, 2020.



# **SECTION 3**

## **SECTION 9: PENALTIES**

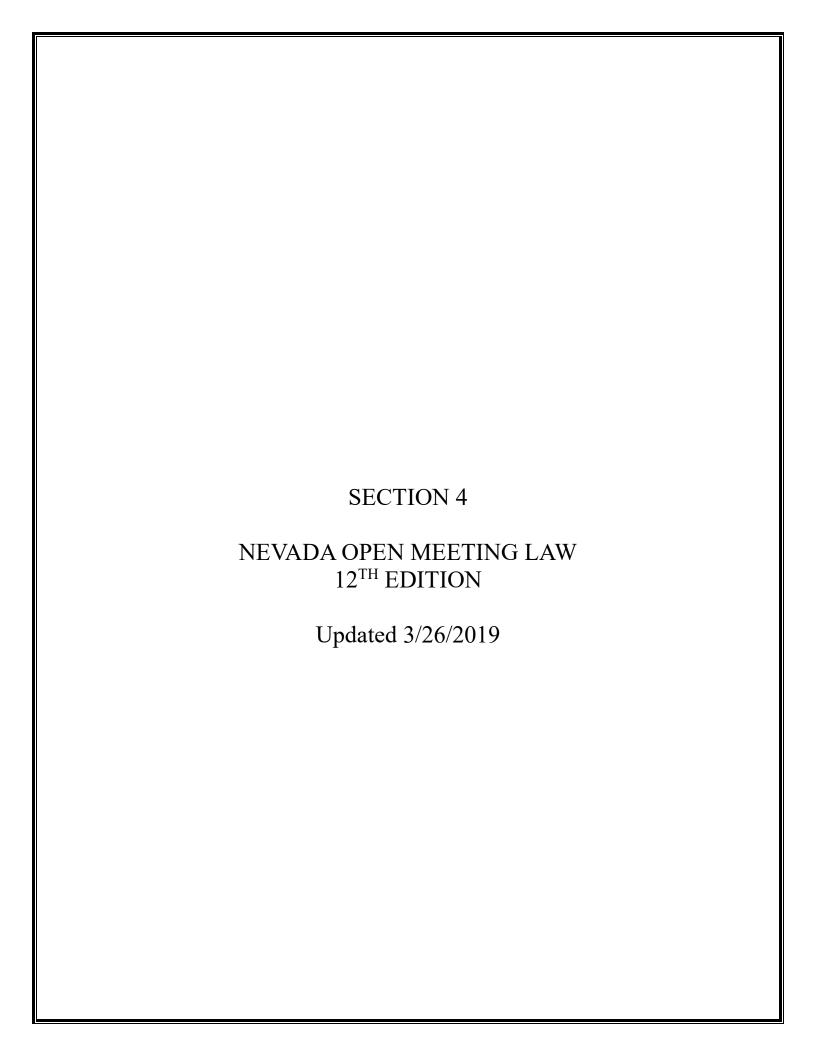
#### 9.1 **Penalties for Violation of Regulation**

- Any person who is determined to be in violation of an applicable Nevada (a) Revised Statute or any provision of these Regulations, shall pay a civil penalty levied by the Hearing Officer, or the Hearing Board upon appeal, of not more than \$10,000 per day, per violation. These violations include, but are not limited to any of the following:
  - (1) Failure to comply with requirements to obtain a permit.
  - (2) Failure to comply with a permit condition.
  - (3)Failure to pay an applicable fee or to meet a filing requirement.
  - (4) Failure to grant entry, to allow or perform inspection, or perform monitoring activities.
- (b) For lesser violations, administrative fines shall not exceed \$2,000 per violation of any provision of these Regulations. These violations include, but are not limited to:
  - (1) Late notification and/or late reporting associated with a stationary source, with or without a permit.
  - (2) Recordkeeping deficiencies associated with a stationary source, with or without a permit.
  - (3)Open Burning.
  - Lesser violations associated with Dust Control Operating Permits (4) include, but are not limited to:
    - (A) Dust monitor required but not present on site.
    - (B) Site superintendent has not completed dust class.
    - (C) Water truck operator has not completed dust class.
    - (D) Failure to post Dust Control Operating Permit signage.
- (c) All lesser violations become subject to the penalty in Section 9.1(a) upon the occurrence of the fourth violation of the same section within a period of sixty (60) consecutive months.
- (d) Any person aggrieved by an order issued pursuant to this section is entitled to file notice of appeal to the Hearing Board as provided in Sections 7.5(a) and 7.5(c) of these Regulations.

Amended 12/17/19

(e)	Nothing contained in this section shall be construed as limiting the authority
	of the Hearing Officer or the Hearing Board to take other appropriate
	remedies besides monetary penalties that may benefit air quality.

History: Amended: September 3, 1981; January 25, 1990; May 28, 1992; November 18, 1993; December 19, 1996; April 24, 1997; June 22, 2000; December 21, 2001; June 3, 2003; July 1, 2004: December 17, 2019.



# **SECTION 4**

# NEVADA OPEN MEETING LAW MANUAL



# ATTORNEY GENERAL AARON FORD

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#### **FOREWORD**

The Nevada Legislature enacted significant amendments to the Open Meeting Law (OML) in 2013 and 2015. This newly revised 2016 Open Meeting Law Manual incorporates those new amendments. Comments and suggestions are welcome regarding this revision or future revisions.

The full Nevada Revised Statutes (NRS) Chapter 241—Meetings of State and Local Agencies—can be found at: <a href="https://www.leg.state.nv.us/nrs/NRS-241.html">https://www.leg.state.nv.us/nrs/NRS-241.html</a>.

We encourage the reader to visit the Attorney General's web page at <a href="http://ag.nv.gov">http://ag.nv.gov</a>. There, you will find links to Open Meeting Law Opinions beginning in 1993, this Manual, the OML compliance checklist, and the OML complaint form.

Open Meeting Law Opinions are annotated in NRS Chapter 241 by the Legislative Counsel Bureau. Other opinions are labeled "AG File No." and also are published on our webpage, which is searchable by the reader. Together, these opinions provide the reader with a multitude of factual scenarios and are a useful guide to this office's interpretation and application of the OML.

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Reference is made throughout the manual to Open Meeting Law Opinions (OMLO), which are opinions rendered by the Office of the Attorney General as a guideline for enforcing the Open Meeting Law and not as a written opinion requested pursuant to NRS 228.150. OMLO Opinions can be found at: **ag.nv.gov/open government.** Additional references may be found at Attorney General Opinions (Op. Nev. Att'y Gen.), which are opinions rendered pursuant to NRS 228.150.

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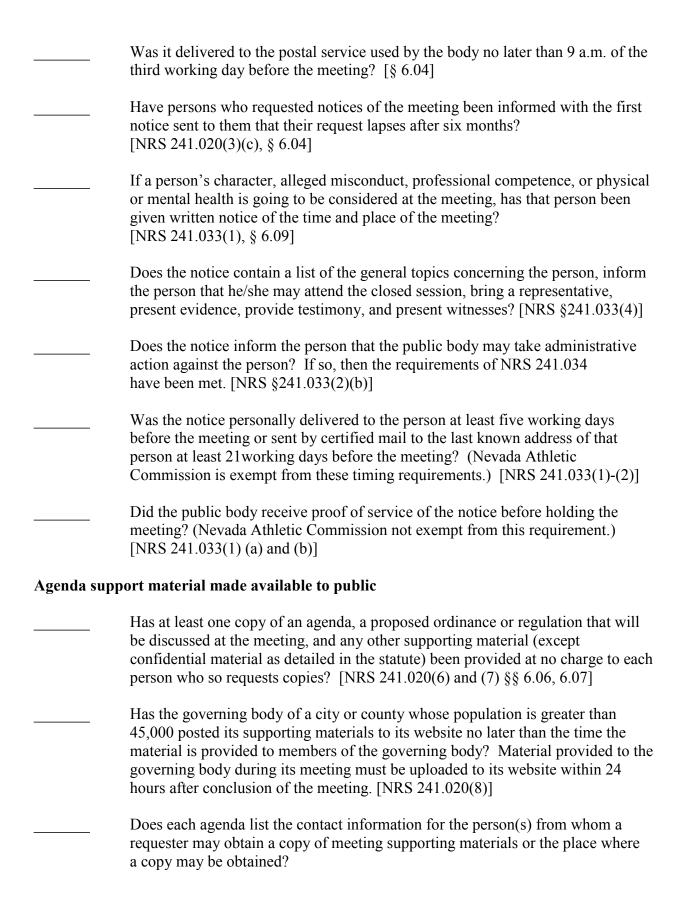
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#### Part 1 COMPLIANCE CHECKLIST

This is a checklist to reference when applying the Open Meeting Law. References in brackets are to the NRS and to sections of this manual. Does the Open Meeting Law apply? Is the entity a public body? [NRS 241.015(4), §§ 3.01-3.10] Is there an exemption or exception from the Open Meeting Law? [§§ 4.01-4.07] Is a meeting going to occur? [NRS 241.015(3), §§ 5.01-5.13] Will a guorum of the members of the public body be present? [\§ 5.01] Will a quorum deliberate toward a decision or take action on any matter over which the public body has supervision, control, jurisdiction, or advisory power? [§ 5.01] Agenda (see Sample Form 1) Has a clear and complete agenda of all topics to be considered been prepared? NRS 241.020(2)(d)(1) §§ 6.02, 7.02] Does the agenda list all topics scheduled to be considered during the meeting? [§§ 6.02, 7.02] Have all the topics been described clearly in the agenda in order to give the public adequate notice? [§§ 6.02, 7.02] Does the agenda include designated periods for public comment? Does the agenda state that action may not be taken on the matters discussed during this period until specifically included on an agenda as an action item? [§§ 6.02, 7.04, 8.04] Does the notice inform the public that (1) items may be taken out of the order listed on the agenda, and (2) agenda items may be combined for consideration, and (3) items may be delayed or removed at any time? [§ 6.02] Does the agenda (1) describe the items on which action may be taken and (2) clearly denote that these items are for possible action? [§§ 6.02, 7.01, 7.02]

	Has each closed session been denoted, including the name of the person being considered in the closed session, and if action is to be taken in an open session after the closed session, was it indicated on the agenda? [§§ 7.02, 9.06, NRS 241.020(2)(d)(4)]
Notice, pos	eting, and mailing (see Sample Form 1)
	Has written notice of the meeting been prepared? [NRS 241.020(2), § 6.01]
	Does the notice include:
	The time, place, and location of the meeting? [§ 6.02]
	An agenda of topics for discussion or possible action; for further information,,see Sample Form 1, this manual, or Index under "Agenda."
	A list of places where the notice was posted? [§ 6.03]
	A statement regarding assistance and accommodations for physically handicapped people? [§ 6.02]
	Was the written notice [NRS 241.020(3)(a), § 6.03]:
	Posted at the principal office of the public body (or if there is no principal office, at the building in which the meeting is to be held)? [§ 6.03]
	Posted at not less than three other separate, prominent places within the jurisdiction of the public body? [§ 6.03]
	Posted on the official website of the State, https://notice.nv.gov? [§ 6.03]
	Posted on the public body's website if the public body maintains a website? [§ 6.03]
	Posted no later than 9 a.m. of the third working day before the meeting? (Do not count day of meeting) [§§ 6.03, 6.05]
	In compliance with minimum public notice, is there written documentation for the public body's record of meeting? [NRS 241.020(4)]
	Was the written notice mailed at no charge to those who requested a copy? [§§ 6.04, 6.07]
	Was it mailed in the same manner in which the notice is required to be mailed to a member of the body? [§ 6.04]



<b>Emergency Meeting</b>	
Is this	an emergency meeting? [NRS 241.020(2) and (10), § 6.08]
Were 1	the circumstances giving rise to the meeting unforeseen?
Is imm	nediate action required?
Has th	e entity documented the emergency?
Has ar	agenda been prepared limiting the meeting to the emergency item?
Has ar	attempt been made to give public notice?
other p	the notice and agenda requirements may be relaxed in an emergency, are provisions of the Open Meeting Law complied with (e.g., meeting open and minutes kept, etc.)?
Closed Session (see S	Sample Form 3)
	osed session specifically authorized by statute? [NRS 241.020(1); 241.030(1), §§ 9.01-9.07]
Have a	all the requirements of that statute been met?
compe	osed session is being conducted to consider character, misconduct, etence, or physical or mental health of a person or to consider an appeal by a of the results of an examination, see NRS 241.033:
	Is the subject person an elected member of a public body? If so, a closed session is not authorized. [NRS 241.031, § 9.04]
	Is the closed session to consider the character, alleged misconduct, or professional competence of an appointed public officer or a chief executive or administrative officer in a comparable position of a public body (i.e., president of a university, state college or community college within NSHE system, county school superintendent, or city or county manager)? If so, a closed meeting is prohibited. [NRS 241.031(1)(b)]
	Is the closed session to discuss the appointment of any person to public office or as a member of a public body? If so, a closed session is not authorized. [NRS 241.030(4)(d), § 9.03]
	Has the subject been notified as provided above? Has proof of service been returned to the public body? NRS 241.033(1), [§ 6.09]

	If a recording was made of the open session, was a recording also made of the closed session? [NRS 241.035(4), § 9.06]
	Was the subject person given a copy of the recording of the closed session if requested? [NRS 241.035(6), NRS 241.033(6), § 9.06]
	Have minutes been kept of the closed session? [NRS 241.035(2) § 10.02]
	Have minutes and recordings of the closed session been retained and disposed of in accordance with NRS 241.035(2)? [§ 10.03]
	Was a motion made to go into closed session which specifies the nature of the business to be considered and the statutory authority pursuant to which the public body is authorized to close the meeting? [NRS 241.030(3), § 9.06]
	Was the discussion limited to specific matters specified in the motion? [§ 9.06]
	Did the public body go back into open session to take action on the subject discussed? (This must be done unless otherwise provided in a specific statute) [§ 9.06]
	Has the subject requested the meeting be open? If so, the public body must open the meeting unless another person appearing before the public body requests that the meeting remains closed. [NRS 241.030(2)(a) and (b)]
Meeting open to p	oublic; accommodations
Hav	re all persons been permitted to attend? [NRS 241.020, § 8.01]
	s exclusion of witnesses at hearings during the testimony of other witnesses dled properly? [NRS 241.030(4)(b), 241.033(5), § 8.07]
orde	s exclusion of persons who willfully disrupted a meeting to the extent that its erly conduct is made impractical handled properly? (S 241.030(4)(a), § 8.06]
	re members of the public been given an opportunity to speak during the public ment period? [NRS 241.020(2)(d)(3), § 8.04]
Are	facilities adequate and open? [§ 8.02]
	re reasonable efforts been made to assist and accommodate physically dicapped persons desiring to attend? [NRS 241.020(1), § 8.03]

	If the meeting is by telephone or video conference, can the public hear each member of the body? [§ 5.05]	
	Have members of the general public been allowed to record public meetings on audiotape or other means of sound reproduction as long as it in no way interferes with the conduct of the meeting? [NRS 241.035(3), § 8.08]	
Stick to agen	nda; emergency agenda items	
	Have actual discussions and actions at the meeting been limited to only those items on the agenda? [ $\S$ 7.03]	
	If an item has been added to the agenda as an emergency item: [NRS 241.020(2) and (10), § 6.08]	
	Was it due to an unforeseen circumstance?	
	Was immediate action required?	
	Has the emergency been documented in the minutes?	
	Did the body refrain from taking action on discussion items or public comment items? [NRS 241.020(2)(d)(3), § 7.04]	
Recordings		
	The public body shall record its public meeting [NRS 241.035(4), § 10.04]:	
	Have recordings been made of the closed session as well as open sessions? [NRS 241.035(4), § 9.06]	
	Recordings of public meetings must be made available to the public within 30 workings days after adjournment of the meeting. [NRS 241.035(2)]	
	Recordings must be retained for at least one year after the adjournment of the meeting. [NRS 241.035(4)(a)]	
	Recordings of public meetings must be treated as public records in accordance with public records statutes. [NRS 241.035(4)(b)]	
	Have recordings of closed sessions been made available to the subjects of those sessions, if requested? [NRS 241.033(6)]	

Minutes (	see Sample Form 2)
	Have minutes or an audio recording been made available for both open and closed sessions? [NRS 241.035(2), (4) and (6), § 10.02]
	Do they include at a minimum the material required by NRS 241.035(1)? [§ 10.02]
	Are minutes of open sessions kept as public records under the public record statutes and NRS 241.035(2)?
	Have minutes of open sessions been made available for inspection by the public within 30 working days after the adjournment of the meeting, retained for at least five years, and otherwise treated as provided in NRS 241.035(2)?
	Have minutes of closed sessions been made available to the subjects of those sessions if requested? [NRS 241.033(6)]
Non-com	pliance
	Have any areas of noncompliance been corrected? [§§ 11.01, 11.02, 11.03, 11.04]
	If litigation is brought to void an action or seek injunctive or declaratory relief, was it brought within the time periods in NRS 241.037(3)? [§ 11.07]

# Part 2 WHAT IS A "PUBLIC BODY" THAT MUST CONDUCT ITS MEETINGS IN COMPLIANCE WITH THE OPEN MEETING LAW?

# § 2.01 General: discussion of statutory definition of public body.

The definition of "public body" was clarified and its scope expanded by the 2011 Legislature. A public body's manner of creation rather than its function is the new touchstone of the definition.

NRS 241.015(4)(b) ensures that the actions and deliberations of certain multimember groups appointed by the Governor or a public officer and/or a public entity under his direction and control are subject to the OML, as long as at least two members of the appointed body are not employees of the Executive Department of State Government. The Legislature deemed this expansion of the scope of the OML appropriate given the growing role such groups play in the formulation of public policy.

NRS 241.015(4)(a) requires a public body to be connected to state or local government in order to be subject to the OML. Set out below is the definition of "public body."

NRS 241.015(4) defines a public body as:

- 4. Except as otherwise provided NRS 241.016, "public body" means:
- (a) Any administrative, advisory, executive or legislative body of the state or a local government which expends or disburses or is supported in whole or in part by tax revenue or which advises or makes recommendations to any entity which expends or disburses or is supported in whole or in part by tax revenue, including, but not limited to, any board, commission, committee, subcommittee or other subsidiary thereof and includes an educational foundation as defined in subsection 3 of NRS 388.750 and a university foundation as defined in subsection 3 of NRS 396.405, if the administrative, advisory, executive, or legislative body is created by:
  - (1) The Constitution of this State;
  - (2) Any statute of this State;
- (3) A city charter and any city ordinance which has been filed or recorded as required by the applicable law;
  - (4) The Nevada Administrative Code;
- (5) A resolution or other formal designation by such a body created by a statute of this State or an ordinance of a local government;
  - (6) An executive order issued by the Governor; or
- (7) A resolution or an action by the governing body political subdivision of this State;

- (b) Any board, commission or committee consisting of at least two persons appointed by:
- (1) The Governor or a public officer who is under the direction of the Governor, if the board, commission or committee has at least two members who are not employees of the Executive Department of the State Government;
- (2) An entity in the Executive Department of the State government consisting of members appointed by the Governor, if the board, commission or committee otherwise meets the definition of a public body pursuant to this subsection; or
- (3) A public officer who is under the direction of an agency or other entity in the Executive Department of the State Government consisting of members appointed by the Governor, if the board, commission or committee has at least two members who are not employed by the public officer or entity; and
- (c) A limited-purpose association that is created for a rural agricultural residential common-interest community as defined in subsection 6 of NRS 116.1201.
- 5. "Quorum" means a simple majority of the membership of a public body or another proportion established bylaw.

The definition of "public body" is not a drastic change; rather it codifies prior Attorney General Opinions, so that the definition of public body is dependent explicitly on its manner of creation rather than its function. It always has been true that a public body must be collegial, that is, it must consist of more than two persons. NRS 241.015(4) requires at least two persons to comprise a public body. The Open Meeting Law concerns itself with meetings, gatherings, decisions, and actions obtained through the collective consensus of a quorum of the public body membership. See also Dewey v. Redevelopment Agency, 119 Nev. 87, 64 P.3d 1070 (2003) (collective process of decision making must be accomplished in public). The Court emphasized that public bodies may only act collectively. Similarly, in Del Papa v. Board of Regents, 114 Nev. 388, 400, 956 P.2d 770, 778–779 (1988) the Court said: "the constraints of the Open Meeting Law apply only where a quorum of a public body, in its official capacity as a body, deliberates toward a decision or makes a decision."

In a letter opinion, the Office of the Attorney General opined that when determining if a body is supported by tax revenues, the term "tax revenues" should be construed in its broadest possible sense to include not only those items traditionally thought of as taxes but also the license fees paid to various professional licensing boards pursuant to state law. *See* Attorney General letter opinion addressed to Mr. Arne R. Purhonen, Nevada State Board of Architecture, dated September 1, 1977.

# § 2.02 Blue ribbon commissions; Governor appointed committees; executive agency boards, committees

Following the principle that a "public body" must be a multi-member entity, the Office of the Attorney General opined that the Open Meeting Law does not apply to the Governor when

he/she is acting in his official executive capacity because the Governor is not a multi-member body. *See* Op. Nev. Att'y Gen. No. 241 (August 24, 1961).

As explained in § 3.01 above, any commission, committee, or board appointed by the Governor with at least two members who are not employees of the State Executive Department are now defined as a public body and subject to the Open Meeting Law. But all other bodies, regardless of composition, which are appointed by executive heads of local governments or agencies including, but not limited to, mayors and city and county managers, continue to be exempt from the Open Meeting Law.

An executive officer of a board or commission who carries out the directives, orders, and policies of a board or commission in day-to-day administration of an agency of government is not considered the alter ego of the board or commission so as to require him to comply with the Open Meeting Law. *Bennett v. Warden*, 333 So. 2d 97 (Fla. Dist. Ct. App. 1976) (meetings between college president and his advisors or staff personnel are not covered).

Along this line, the Office of the Attorney General held that staff meetings to advise a city manager who, in turn, arrives at his own decision and recommendation on an insurance claim were not within the ambit of the Open Meeting Law. *See* Op. Nev. Att'y Gen. No. 79-5 (February 23, 1979).

OMLO 2010-02 (April 7, 2010) ("committee, subcommittee or subsidiary thereof," is not defined in statute, but the OML Manual interprets the statute to mean that to the extent a group is appointed by a public body and is given the task of making decisions for or recommendations to the public body, the group would be governed by the OML). For further treatment of this issue, see § 3.04 Nevada Open Meeting Law Manual (11<sup>th</sup> ed. 2011); OMLO 2002-017 (April 18, 2002) and OMLO 2002-27 (June 11, 2002). See also OMLO 2007-03 (July 17, 2007) (Walker Basin Project Stakeholder's Group found not to be public body: it was created by UNR Vice-Chancellor's steering committee, it was not advisory to any other body, and it was not created by statute). See also OMLO 2007-04 (September 10, 2007) (OML does not apply to Douglas Selby, Las Vegas City Manager, when acting in his official capacity, he appointed a citizens advisory body).

The Open Meeting Law applies only to public bodies; the Fernley City Council is a public body, but the citizens' recruitment committee formed by the Mayor was not a public body. Council played no role in the initial interviews and screening of applications for appointment to City Manager position. Council did not deny a request for access to the initial candidate's resumes. Once initial screening was accomplished by the Mayor and his citizen's recruitment committee, and names were forwarded to the Council, then the OML applied. The Council complied with the OML; the finalists' applications and resumes were made public before the meeting. AG File No. 09-026 (June 14, 2009)

#### § 2.03 Agency staff

The Open Meeting Law usually does not apply to the typical internal agency staff meetings where staff members make individual reports and recommendations to a superior,

where the technical requirements of a quorum do not apply, and where decisions are not reached by a vote or consensus. *See* OMLO 2004-02 (January 20, 2004) for a further discussion and analysis on this topic.

However, when a public body delegates *de facto* authority to a staff committee to act on its behalf in the formulation, preparation, and promulgation of plans or policies, the staff committee stands in the shoes of the public body and the Open Meeting Law may apply to the staff meetings. *See News-Press Publishing Co., Inc. v. Carlson*, 410 So.2d 546 (Fla. Dist. Ct. App. 1982) (When the governing authority of a hospital district delegated responsibility of preparation of a proposed budget to an internal budget committee, the open meeting law applied to the committee, even though it consisted of staff personnel.).

Following the above principles, the Office of the Attorney General opined that the Open Meeting Law did not apply to internal staff meetings of an executive agency or interagency staff meetings except where a public body delegates policy formulation or planning functions to a staff committee and these policies or plans are the subject of foreseeable action by the public body. *See* Letter Opinion to Mr. William A. Molini dated February 11, 1985.

## § 2.04 Committees; subcommittees; advisory bodies

NRS 241.015(4) specifically includes committees, subcommittees, or subsidiaries thereof within the definition of a "public body." A committee or subcommittee is covered by the law whenever a quorum of the committee or subcommittee gathers to deliberate or make a decision including taking action to make a recommendation to the parent body. NRS 241.015; *Lewiston Daily Sun, Inc. v. City of Auburn*, 544 A.2d 335 (Me. 1988); *Arkansas Gazette Co. v. Pickens*, 522 S.W.2d 350 (Ar. 1975).

Legislative committees are exempt from the OML. In 1994, the Nevada Constitution was amended to exempt legislative committees from the OML. Nevada Constitution article 4, § 15.

The Open Meeting Law does not define "committee, subcommittee or subsidiary thereof," so counsel for the public body should be consulted for a determination of whether the Open Meeting Law extends to a particular group of persons. Review of §§ 3.01–3.02 above, is recommended. Following the principles of the cases cited above and in § 3.03, to the extent that a group is appointed by a public body and is given the task of making decisions for or recommendations to the public body, the group would be governed by the Open Meeting Law. See OMLO 2002-017 (April 18, 2002) and OMLO 2002-27 (June 11, 2002). But see AG File No. 07-030 (September 10, 2007) (OML does not apply to the appointment of a citizen advisory panel to advise Las Vegas City Manager when acting in his official capacity (see infra at § 3.03).

If a subcommittee recommendation to a parent body is more than mere fact-finding because the subcommittee has to choose or accept options, or decide to accept certain facts while rejecting others, or if it has to make any type of choice in order to create a recommendation, then it has participated in the decision-making process and is subject to the OML. Negotiations with unions, private contractors, and others conducted by a subcommittee of a public body, which

result in a recommendation to the parent body, are subject to the OML, unless specifically exempted by statute.

Failure to notice on its agenda the break-up of an advisory body into study groups, and failure to provide the study groups with recorders or designate someone to keep minutes of the meeting was a violation of NRS 241.015(4)(a). The facilitator's strategy for dividing the committee into study groups coupled with that group's assignment should have been noticed on the agenda and real minutes should have been kept along with a tape recording. AG File No. 07-027 (August 15, 2007).

NRS 241.015(4) specifically includes within the definition of public body an "advisory body of the state or a local government which expends or disburses or is supported in whole or in part by tax revenue or which advises or makes recommendations to any entity which expends or disburses or is supported in whole or in part by tax revenue. . . ."

For additional guidance, see the following: § 3.07, infra; OMLO 98-03 (July 7, 1998), where the Office of the Attorney General opined that a subcommittee informally appointed by the president of a school board was a public body as defined in NRS 241.015(4) where, even though the subcommittee was not formally appointed, its members shared equal voting power, formed a consensus to speak to the school board with one voice, and the school board knew of its existence and treated it as a board subcommittee; and OMLO 98-04 (July 7, 1998) where the Office of the Attorney General opined that two school board members, while self-appointed and initially acting as individuals, became a public body as defined in NRS 241.015(4) when the school board began recognizing them as a subcommittee and encouraging them to meet with staff to formulate a school safety proposal to be presented to the board, after which they met as a collegial body with staff to form a proposal which was formally presented to the board in the name of the "School Safety Subcommittee." The Office of the Attorney General opined that formality in appointment is not the sole dispositive factor in determining what constitutes a public body under the Open Meeting Law, and informality in appointment should not be an escape from it; to hold otherwise would encourage circumvention of the Open Meeting Law through the use of unofficial committees.

An elected Public Body, subject to NRS 241.0355, which statute forbids action by the body unless a majority of all the members of the elected body vote affirmatively for the action, asserted that NRS 241.0355 does not apply to its committees because its bylaws do not require any committee to be composed of elected officials only. Bylaws do not rise to the level of statute and bylaws do not have the force and effect of law. Standing and Special committees of this public body were elected public bodies for purposes of the OML. AG File No. 09-017 (May 29, 2009); see also OMLO 2001-57 and AGO 2001-25 for further discussion of the two-tiered voting requirement found in NRS 241.0355.

The Legislature intended that "committee, subcommittee, or any subsidiary thereof" be applied to any gathering that makes a decision or recommendation to a parent body. The label given to the sub-group is immaterial and will not prevent the application of the OML to groups with other labels besides "committee" or "sub-committee." Even in the absence of a formal appointment process (see NRS 241.015(4)(a)(7)), the Open Meeting Law applies to a staff committee with *de facto* authority from the parent public body to act on its behalf. The staff committee stands in the shoes of the public body. Legislative intent and explicit language mean the OML applies whenever a quorum of committee, subcommittee, or any subsidiary thereof, meets to deliberate or take action. AG File No. 08-014 (July 2, 2008).

# § 2.05 Commissions or committees appointed by the Legislature

NRS 241.016(2)(a) exempts the Legislature from the requirements of the OML. Since the Legislature is not a public body, none of its various committees or subcommittees had been considered to be subject to the OML.

However, the Nevada Constitution was amended in 1994 after a vote by the people to ensure that meetings of all legislative committees must be open to the public, except meetings held to consider the character, alleged misconduct, professional competence, or physical or mental health of a person. Nev. Const. Art. 4, §15.

## § 2.06 Members-elect of public bodies

Although the literal language of the Open Meeting Law appears to limit its application to actual members of a public body, the Office of the Attorney General believes the better view is set forth in *Hough v. Stembridge*, 278 So. 2d 288 (Fla. Dist. Ct. App. 1973), where the court held that members-elect of boards and commissions are within the scope of an open meeting law. Otherwise, members-elect could gather with impunity behind closed doors and make decisions on matters soon to come before them, in clear violation of the purpose, intent, and spirit of our Open Meeting Law. Application of the provisions of the statute to members-elect of public bodies is consistent with the liberal interpretation mandated for the Open Meeting Law. *See* OMLO 99-06 (March 19, 1999) and AG File Nos. 01-003, 01-008 (April 12, 2001).

# § 2.07 Appointment of designee to public body

Under the Open Meeting Law, a member of a public body is prohibited from designating a person to attend a meeting of the public body in the place of the member unless the designation is expressly authorized by the legal authority pursuant to which the public body was created. *See* NRS 241.025.

Designation may occur only if the public body's creating authority specifically allows for designation. If there is no express authority authorizing a designee, then one cannot be appointed. However, if the legal authority creating the public body expressly authorizes a designee, then the process of designation of a person may occur either in a written document or on the record at a meeting of the public body.

Once a person is designated to attend a meeting in place of the member, that person is: (1) deemed to be a member of the body for the purpose of determining a quorum at the meeting; and (2) may exercise the same powers as the regular member of the body at that meeting.

There is nothing in NRS 241.025 which forbids designation of a person for multiple meetings as long as the process is followed and the term of the designation explicitly is set forth so there can be no confusion about the designee's term.

# § 2.08 Specific examples of entities which have been deemed to be public bodies

If a group or body was a public body under interpretation of the definition of "public body" prior to the 2011 legislative session, it only had to be connected to state or local government and it must expend or disburse tax. The 2011 Legislature clarified the scope of the definition of public body so that our prior interpretation of the definition still is true **if** the body was created by statute, constitution, ordinance, the NAC, resolution or other formal designation by a parent public body, Governor's executive order, and resolution or action by the governing body of a political subdivision of this State.

Nevada Interscholastic Activities Non-profit corporation authorized by

Association NRS 386.420

Nevada Board of Architecture Created by NRS 623.050: see

Attorney General Letter Opinion

dated September 1, 1977

Community Development OMLO 2001-17 (April 12, 2001)

Corporation and the Eureka
County Economic Development

Council

Storey County Cemetery Board See OMLO 2002-27 (June 11, 2002)

# § 2.09 Specific examples of entities which have been deemed <u>not</u> to be public bodies

The following entities specifically have been deemed not to be public bodies under interpretation of "public body" prior to the 2011 legislative session. These bodies carefully should review the definition of "public body" to ensure continuing compliance:

Committee to prepare arguments See Op. Nev. Att'y Gen. No. 2000-18

advocating and opposing approval (June 2, 2000). of ballot for a city.

A private, not-for-profit electric See AG File No. 00-055

utility company. (March 12, 2001).

Non-profit community senior See OMLO 99-035 (April 3, 2000).

citizen's center.

Economic Development See OMLO 99-05 (January 12, 1999). Authority of Western Nevada

Faculty Senate at the Community College of Southern Nevada

See OMLO 2003-19 (April 21, 2003).

Clark County Civil Bench/Bar Committee: Eighth Judicial District See AG File No. 10-011 (April 12, 2010).

Court.

Nevada Department of Corrections Psychological Review Panel See OMLO 2003-21 (May 21, 2003) and OMLO 2004-15 (May 5, 2004).

Nevada Discovery Museum

See OMLO 2008-01 (January 30, 2008).

Head Start of Northeastern Nevada

See OMLO 2004-20 (May 18, 2004).

Nevada State Board of Parole Commissioners

See 2011: NRS 241.030(4) (not a public body when acting to grant, deny, continue, or revoke parole of a prisoner).

Elko County Juvenile Probation Committee

See OMLO 2004-25 (June 29, 2004).

Nevada Humane Society (a non-profit corporation not created by

ordinance or statute).

See AG File No. 10-051 (January 4, 2011).

Nevada Sheriffs and Chiefs Association: Domestic non-profit corporation. Its creation has no statutory connection to state or local government. See AG File No. 09-038 (September 23, 2009).

# § 2.10 Private, nonprofit organizations

Where a government body or agency itself establishes a civic organization, even though it is composed of private citizens, it may well constitute a "public body" under the law. See OMLO 2001-17, citing Palm Beach v. Gradison, 296 So.2d 473 (Fla. 1974). In Nevada, this would be true if the civic organization is intended to perform any administrative, advisory, executive, or legislative function of state or local government and it expends or disburses or is supported in whole or in part by tax revenue, or if it is intended to advise or make recommendations to any other Nevada governmental entity which expends or disburses or is supported in whole or in part by tax revenue. See, e.g., Seghers v. Community Advancement, Inc., 357 So. 2d 626 (La. Ct. App. 1978); Raton Public Service Co. v. Hobbes, 417 P.2d 32 (N.M. 1966).

The mere receipt of a grant of public money does not by itself transform a private, nonprofit civic organization into a "public body" for purposes of the Open Meeting Law, nor

does the membership of a few government officials on the organization's board of directors, *per se*, make the organization a "public body." *See* OMLO 2004-03 (February 10, 2004) and OMLO 2004-20 (May 18, 2004). A private, non-profit corporation is a public body if it is formed by a public body; acts in an administrative, advisory, and executive capacity in performing local governmental functions; and is supported in part by tax revenue from the public body. *See* OMLO 2001-17 (April 12, 2001); *but see* AG File No. 10-051 (January 4, 2011) (non-profit corporation did not act in administrative, advisory, or executive capacity nor was it supported in part by tax revenue).

## § 2.11 Quasi-judicial proceedings

The 2011 Legislature subjected all public body meetings of a quasi-judicial nature to the OML. See NRS 241.016(1). Only meetings of the Parole Board of Commissioners are exempt, but only when acting to grant, deny, continue, or revoke parole of a prisoner, or when modifying the terms of the parole of a prisoner. See NRS 241.016(2)(c).

"Quasi-judicial proceedings are those proceedings having a judicial character that are performed by administrative agencies." *Stockmeier v Nevada Dep't of Corr. Psychological Review Panel*, 122 Nev. 385, 390, 135 P.3d 200, 224-25 (2006), *abrogated by, Buzz Stew, LLC v. City of North Las Vegas*, 124 Nev. 224, 228 n.6, 181 P.3d 670, 672 n.6 (2008). The Court in *Stockmeier* stated that an administrative body acts in a quasi-judicial manner when it refers to a proceeding as a trial, takes evidence, weighs evidence, and makes findings of fact and conclusions of law from which a party may appeal an adverse decision to a higher authority. *Id.* at 391-92, 135 P.3d 224-25. The *Stockmeier* Court stated that "the taking of evidence only upon oath or affirmation, the calling and examining of witnesses on any relevant matter, impeachment of any witness, and the opportunity to rebut evidence presented against the employee' was 'consistent with quasi-judicial administrative proceedings." *Id.* at 390, 135 P.3d at 223 (citing *Knox v. Dick,* 99 Nev. 514, 518, 665 P.2d 267, 270 (1983)).

# Part 3 WHAT ACTIVITIES ARE EXEMPT FROM THE OPEN MEETING LAW?

## § 3.01 General

The opening clause in NRS 241.020(1) provides that the Open Meeting Law applies "except as otherwise provided by specific statute." The word "specific" is an important one. The Nevada Supreme Court is reluctant to imply exceptions to the rule of open meetings. *See McKay v. Board of County Comm'rs*, 103 Nev. 490, 746 P.2d 124 (1987). *See also* Op. Nev. Att'y Gen. No. 150 (November 8, 1973).

Some public body proceedings or hearings are exempt from the Open Meeting Law by specific statute, or it may have a limited statutory exception from the OML. A non-exclusive list of exempt entities is set out below in § 4.02.

Exemption means that certain public business may be conducted without regard to any requirement of the Open Meeting Law because the Legislature has weighed the benefits of secrecy with the OML's policy of openness, while other statutes merely allow certain activities to be closed to the public. These statutes create exceptions to the OML, but a public body still must record and keep minutes of closed meetings under statutes allowing for exceptions. The distinction is important because openness still is the norm; openness strictly will be enforced, so a public body must ensure that its statute either creates an exemption or an exception, because the OML still applies to exceptions. Any action taken in violation of the Open Meeting Law is void. But even though some statutes permit or require "deliberations" of certain matters to be closed to the public, that statutory authority does not imply necessarily that action taken after deliberations is exempt from the Open Meeting Law.

The distinction sometimes is obfuscated by statutory language that is not as specific as contemplated by NRS 241.020(1). In those cases, interpretation of the statutes should be employed using the standards discussed in Part 12 of this manual.

Because the OML still applies to all public body activities outside its statutory exception, a government body advising the public body may not be estopped from performing its governmental function even where the public body wrongly had interpreted the exception for several years. The Nevada Supreme Court in *Chanos v Nevada Tax Comm'n.*, 124 Nev. 232, 238, 181 P.3d 675, 679 (2008), after review of legislative intent, decided that the Nevada Tax Commission's statutory exception had not been applied correctly to taxpayer refund applications, despite earlier advice from the Attorney General's office that its hearing procedure was in violation of the OML. The Attorney General brought suit against the Tax Commission. The Supreme Court held that the statutory exception (NRS 360.247) allowed the Tax Commission to close only the portion of its hearing at which it received confidential evidence, questioned parties, and heard argument concerning confidential information. The Court found an OML violation even after a lengthy period of misinterpretation resulting in closed meetings upon only a request by an affected taxpayer. The Court also held that estoppel does not apply to estop the Attorney General from enforcing an interpretation of the OML, which may have been

contradictory with past practices at the Nevada Tax Commission for two reasons: firstly, the Tax Commission and Edison (defendants) failed to prove that they were ignorant of the true state of the facts, and, secondly, a government body may not be estopped from performing its governmental function.

Below is a discussion of some governmental body proceedings, meetings, and other activities that are statutorily exempt from the Open Meeting Law, and some that are not.

# § 3.02 Statutory exemptions

The following public body proceedings, meetings, and hearings either are exempt from the Open Meeting Law or the public body has an exception under the statutes cited. Because the statutes may change after the printing of this manual, be sure to check the statutes and make sure all the conditions or requirements of the statutes are followed.

Should a body choose to conduct any of these proceedings as part of an open meeting, the Office of the Attorney General recommends the proceedings be included on the agenda as an exempt proceeding, citing the provision that provides the exemption; but the exemption from the open meeting requirements still applies to the proceeding whether or not the exemption was placed on the agenda.

Judicial	Proceedings	
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See NRS 241.016(2)(b) and Goldberg v. Eighth Judicial District Court, 93 Nev. 614, 572 P.2d 521 (1977). The Open Meeting Law does not apply to proceedings before the Commission on Judicial Selection and, except as otherwise provided in NRS 1.4687, the Commission on Judicial Discipline.

Legislature

NRS 241.016(2)(a) excludes the Legislature from the definition of public body. *See* Article 4 § 15 of the Nevada Constitution. *See* discussion in § 3.05

**State Ethics Commission** 

Meetings or hearings to receive information or evidence concerning the propriety of the conduct of any public officer or employee under NRS Chapter 281 are exempt under NRS 281A.440(15).

**Local Ethics** 

NRS 281A.350 provides a specific statutory exception to the Open Meeting Law that allows a local ethics committee to render a confidential opinion to an elected city councilperson. *See* Op. Nev. Att'y Gen. No. 94-10 (May 24, 1994).

Hearings by public school boards to consider expulsion of pupils; hearings by charter school boards to consider expulsion of pupils

Certain labor negotiations proceedings

A local ethics board may not meet in closed session to discuss the past conduct of a public official due to lack of a statutory exception to the open meeting requirements. *See* Op. Nev. Att'y Gen. No. 94-21 (July 29, 1994).

See NRS 392.467(3), Davis v. Churchill County Sch. Bd., 616 F. Supp. 1310 (D. Nev. 1985), remanded, 823 F.2d 554 (9th Cir. 1987), and OMLO 99-04 (January 11, 1998); see NRS 386.585(2).

The following proceedings conducted under NRS Chapter 288 are exempt: (1) any negotiation or informal discussion between a local government employer and an employee organization or individual employees whether conducted by the governing body or through a representative or representatives; (2) any meeting of a mediator with either party or both parties to a negotiation; (3) any meeting or investigation conducted by a fact finder; (4) any meeting of the governing body of a local government employer with its management representative or representatives, and (5) deliberations of the board toward a decision on a complaint, appeal, or petition for declaratory relief. See NRS 288.220, but see AG File No. 10-020 (June 22, 2010). Even exempt meetings should be limited by statutory authority. The legislative intent underlying an exemption is to allow these meetings as long as the meetings confine discussion to negotiations between a local government employer and an employee organization and/or the defined exceptions in NRS 288.220. Exempt meetings cannot be used circumvent the legislative intent expressed in NRS 241. Exempt meetings under NRS 288.220 cannot be used as a shield to improperly discuss persons or any other issue not within the scope of the exemption.

Nevada Commission on Homeland Security

NRS 239C.140(2) states:

The Commission may hold a closed meeting to:

- (a) Receive security briefings;
- (b) Discuss procedures for responding to acts of terrorism and related emergencies; or
- (c) Discuss deficiencies in security with respect to public services, public facilities and infrastructure,

if the Commission determines, upon a majority vote of its members, that the public disclosure of such matters would be likely to compromise, jeopardize or otherwise threaten the safety of the public.

Committee on Catastrophic Leave

A meeting or hearing held by the Committee to carry out the provisions of this section (an appeal of the appointing authority) and the Committee's deliberations on the information or evidence received are not subject to any provision of chapter 241 of NRS.

See NRS 284.3629(7).

Committees formed to present arguments on ballot questions.

Committees created pursuant to NRS 295.121

to present the arguments on a ballot question

are exempt from the Open Meeting Law. See

NRS 295.121(12) and Op. Nev. Att'y Gen. 2000-18 (June 2, 2000).

Board of Medical Examiners

Any deliberations conducted or vote taken by the Board or any investigative committee of the Board regarding its ordering of a physician, physician assistant or practitioner of respiratory care to undergo a physical or mental examination or any other examination designated to assist the Board or committee in determining the fitness of a physician, physician assistant or practitioner of respiratory care are not subject to the requirements of NRS 241.020. *See* NRS 630.336(1).

NRS 360.247 states:

1. Except as otherwise provided in this

#### Nevada Tax

#### Commission

section, any appeal to the Nevada Tax Commission which is taken by a taxpayer concerning his/her liability for tax must be heard during a session of the Commission which is open to the public. Upon request by the taxpayer, a hearing on such an appeal must be closed to the public to receive proprietary or confidential information.

Occupational Licensing Boards

#### NRS 622.320 states:

- 1. The provisions of NRS 241.020 do not apply to proceedings relating to an investigation conducted to determine whether to proceed with disciplinary action against a licensee, unless the licensee requests that the proceedings be conducted pursuant to those provisions.
- 2. If the regulatory body decides to proceed with disciplinary action against the licensee, all proceedings that are conducted after that decision and are related to that disciplinary action are subject to the provisions of NRS 241.020.

# § 3.03 Certain confidential investigative proceedings of the Gaming Control Board and Commission

NRS 463.110(2) holds that all meetings of the Gaming Control Board are open to the public except for investigative hearings that may be conducted in private at the discretion of the board or hearing examiner. NRS 463.110(4) holds that investigative hearings of the board or hearing officer may be conducted without notice.

Also, the Office of the Attorney General opined in Op. Nev. Att'y Gen. No. 150 (November 8, 1973) that certain investigative proceedings involving the Gaming Commission receiving information that is confidential by law may be exempt from the Open Meeting Law up to the point where the proceeding moves into deliberations or taking action. *See* Op. Nev. Att'y Gen. No. 150 (November 8, 1973). *Cf. Marston v. Gainesville Sun Publishing Co., Inc.,* 341 So. 2d 783 (Fla. Dist. Ct. App. 1976).

### § 3.04 Quasi-judicial proceedings no longer exempt from OML

The 2011 Legislature made all meetings of a public body that are quasi-judicial in nature subject to the OML. NRS 241.016(1). The Nevada Board of Parole Commissioners is exempt, but only when acting to grant, deny, continue, or revoke parole for a prisoner or to establish or modify the terms of the parole of a prisoner. NRS 241.016(2)(c).

#### § 3.05 Attorney-client conference exception

NRS 241.015(3)(b)(2) excepts from the definition of "Meeting," for purposes of the Open Meeting Law, a meeting of a quorum of a public body "[t]o receive information from the attorney employed or retained by the public body regarding potential or existing litigation involving a matter over which the public body has supervision, control, jurisdiction or advisory power and to deliberate toward a decision on the matter, or both."

A meeting held for the purpose of having an attorney-client discussion of potential and existing litigation pursuant to NRS 241.015(3)(b)(2) is not a meeting for purposes of the Open Meeting Law and does not have to be open to the public. In fact, no agenda is required to be posted and no notice is required to be provided to any member of the public. See OMLO 2002-21 (May 20, 2002). However, the Office of the Attorney General advises that if the public body interrupts its meeting to confer with its legal counsel pursuant to NRS 241.015(3)(b)(2), the public body should place this interruption of the open meeting on the agenda to avoid any confusion. See § 5.11 of this manual for more information regarding meetings to confer with counsel.

It is important to note that a public body may deliberate "collectively to examine, weigh and reflect upon the reasons for or against the action," which connotes collective discussion in an attorney-client conference. *See* NRS 241.015(2); *Dewey v. Redevelopment Agency*, 119 Nev. 87, 97, 64 P.3d 1070, 1077 (2003), OMLO 2001-09 (March 28, 2001) and OMLO 2002-13 (March 22, 2003). However, NRS 241.015(3)(b)(2) does not permit a public body to take action in an attorney-client conference.

#### § 3.06 Student governments

NRS 241.017 requires the Board of Regents of the University of Nevada to establish requirements equivalent to the Open Meeting Law for student governments in the Nevada System of Higher Education and to provide for their enforcement. *See* OMLO 2004-09 (March 19, 2004) where the Office of the Attorney General opined that pursuant to NRS 241.038, it did not have jurisdiction to investigate or enforce an alleged violation by the UNLV Rebel Yell Advisory Board.

#### § 3.07 Pre-meeting discussion to remove or delay discussion of items from agenda

The Nevada Supreme Court decided that pre-meeting discussions by a public body to remove an item from its agenda did not violate the OML because a public body may remove or refuse to consider an agenda item at any time, therefore, pre-meeting discussions regarding whether to remove an agenda item do not implicate the OML. Schmidt v. Washoe County, 123 Nev. 128, 135, 159 P.3d 1099, 1104 (2007), abrogated by, Buzz Stew, LLC v. City of North Las Vegas, 124 Nev. 224, 228 n.6, 181 P.3d 670, 672 n.6 (2008).

See NRS 241.020(2)(c)(6)(III)(public body may remove an item from its agenda at any time.)

# Part 4 WHAT GATHERINGS MUST BE CONDUCTED IN COMPLIANCE WITH THE OPEN MEETING LAW?

## § 4.01 General; statutory definitions

NRS 241.015(3(a)(1) and (2) define "meeting" as:

- (1) The gathering of members of a public body at which a quorum is present, whether in person or by means of electronic communication, to deliberate toward a decision or to take action on any matter over which the public body has supervision, control, jurisdiction or advisory power.
- (2) Any series of gatherings of members of a public body at which:
- (I) Less than a quorum, whether in person or by means of electronic communication, is present at any individual gathering;
- (II) The members of the public body attending one or more of the gatherings collectively constitute a quorum; and
- (III) The series of gatherings was held with the specific intent to avoid the provisions of this chapter.

As discussed in §4.05, NRS 241.015(3)(b) excludes from the definition of meeting:

A gathering or series of gatherings of members of a public body, as described in paragraph (a), at which a quorum is actually or collectively present, whether in person or by means of electronic communication:

- (1) Which occurs at a social function if the members do not deliberate toward a decision or take action on any matter over which the public body has supervision, control, jurisdiction or advisory power.
- (2) To receive information from the attorney employed or retained by the public body regarding potential or existing litigation involving a matter over which the public body has supervision, control, jurisdiction or advisory power and to deliberate toward a decision on the matter, or both.

Some of the key words in that definition are:

"Gathering"

In Op. Nev. Att'y Gen. No. 85-19 (December 17, 1985), the Office of the Attorney General defined "gathering" to mean to bring together, collect, or accumulate and to place in readiness. Accordingly, a "gathering" of members of a public body within the conception of an open meeting would include any method of collecting or accumulating the deliberations, or decisions of a

quorum of these members.

"Ouorum"

A "quorum" of a public body is defined in NRS 241.015(5) as "a simple majority of the membership of a public body or another proportion established by law."

"Present"

NRS 241.010(2) states "[I]f any member of a public body is present by means of teleconference or videoconference at any meeting of the public body, the public body shall ensure that all the members of the public body and the members of the public who are present at the meeting can hear or observe and participate in the meeting." A member of a public body may be present through video conference or teleconference, but not through social media, such as a chat room, or email. The public must be able to view and/or hear the public body and be able to participate in the public meeting.

"Deliberate"

Under NRS 241.015(2), "deliberate" means "collectively to examine, weigh and reflect upon the reasons for or against the action. The term includes, without limitation, the collective discussion or exchange of facts preliminary to the ultimate decision." *See Dewey v. Redevelopment Agency*, 119 Nev. 87, 97, 64 P.3d 1070, 1077 (2003) and *Sacramento Newspaper Guild v. Sacramento County Bd. of Supervisors*, 69 Cal.Rptr. 480 (Cal. Ct. App. 1968) discussed in § 5.02 below. *See* OMLO 2010-06 (September 10, 2010) (collective deliberation is required to constitute a meeting of Board of school trustees).

"Action"

Under NRS 241.015(1), "action" means: "(a) a decision made by a majority of the members present, whether in person or by means of electronic communication, during a meeting of a public body; (b) a commitment or promise made by a majority of the members present, whether in person or by means of electronic communication, during a meeting of a public body; (c) if a public body may have a member who is *not* an elected official, an affirmative vote taken by a majority of the members present, whether in person or by means of electronic communication, during a meeting of the public body; or (d) if all the members of a public body *must* be elected officials, an affirmative vote taken by a majority of all the members of the public body.

Application of the definitions to common circumstances follows.

#### § 4.02 Informal gatherings and discussions that constitute deliberation

The Nevada Supreme Court cited Sacramento Newspaper Guild v. Sacramento County Board of Supervisors (see § 5.01 above, for citation) for clarification of the meaning of

"deliberation." All five members of the Sacramento County Board of Supervisors went to a luncheon gathering with the county counsel, a county executive, the county director of welfare, and some AFL-CIO labor leaders to discuss a strike of the Social Workers Union against the county. Newspaper reporters were not allowed to sit in on the luncheon, and litigation resulted. The board of supervisors contended that the luncheon was informal and merely involved discussions that were neither deliberations nor actions in violation of California's open meeting law.

The California Court of Appeals disagreed and upheld an injunction against the board, ruling that California's open meeting law extended to informal sessions or conferences designed for discussion of public business. Among other things, the Court observed:

"Recognition of deliberation and action as dual components of the collective decision-making process brings awareness that the meeting concept cannot be split off and confined to one component only, rather it comprehends both and either."

"To deliberate is to examine, weigh and reflect upon the reasons for or against the choice. . . . Deliberation thus connotes not only collective discussion, but the collective acquisition or the exchange of facts preliminary to the ultimate decision."

"An informal conference or caucus permits crystallization of secret decisions to a point just short of ceremonial acceptance. There is rarely any purpose to a nonpublic, pre-meeting conference except to conduct some part of the decisional process behind closed doors. Only by embracing the collective inquiry in discussion stages, as well as the ultimate step of official action, can an open meeting regulation frustrate these evasive devices. As operative criteria, formality and informality are alien to the law's design, disposing it to the very evasions it was designed to prevent. Construed in light of the Brown Act's objectives, the term "meeting" extends to informal sessions or conferences of board members designed for the discussion of public business. The Elks Club luncheon . . . was such a meeting."

69 Cal.Rptr. at 485.

There are important objectives to be achieved from requiring the deliberations and actions of public agencies to be open and public. As stated in the article, Access to Government Information in California:

"The goal in requiring that deliberations take place at meetings that are open and public is that committee members make a conscientious effort to hear viewpoints on each issue so that the community can understand on what their premises are based, add to those premises when necessary, and intelligently evaluate and participate in the process of government."

54 Cal. L. Rev. 1650 (1966).

The Office of the Attorney General agrees with the foregoing and believes that if a majority of the members of a public body should gather, *even informally*, to discuss any matter over which the public body has supervision, control, jurisdiction, or advisory power, it must comply with the Open Meeting Law. *Cf.* Op. Nev. Att'y Gen. No. 241 (August 24, 1961) and Op. Nev. Att'y Gen. No. 380 (January 1, 1967), certain aspects of which were written before the statutory definition of "meeting" was established.

For an example of the foregoing discussion of informal meeting:

A quorum of the City Council discussed public business with a volunteer firefighter. Two members constituted a quorum of the City Council and these two were employed by the same employer. However, after an interview with the witness firefighter, no evidence was uncovered which indicated that a commitment or promise about a matter within the City Council's supervision, control, jurisdiction, or advisory power had been made. Warning was issued to the Council. AG File No. 08-003 (April 7, 2008).

Under some city charters, the mayor is not a member of the city council, and the mayor's powers usually are limited to a veto or casting a tie-breaking vote. In such cases, the presence of the mayor is not counted in determining the presence of a quorum of the council. *See* Op. Nev. Att'y Gen. No. 2001-13 (June 1, 2001).

# § 4.03 Social gatherings

Nothing in the Open Meeting Law purports to regulate or restrict the attendance of members of public bodies at purely social functions. A social function only would be reached under the law if it is scheduled or designed, at least in part, for the purpose of having a majority of the members of the public body deliberate toward a decision or take action on any matter over which the public body has supervision, control, jurisdiction, or advisory power. As described by the California Court of Appeals in *Sacramento Newspaper Guild*, 69 Cal.Rptr. at 487 n.8, *supra* at § 5.02:

There is a spectrum of gatherings of public agencies that can be called a meeting, ranging from formal convocations to transact business to chance encounters where business is discussed. However, neither of these two extremes is an acceptable definition of the statutory word "meeting." Requiring all discussions between members to be open and public would preclude normal living and working by officials. On the other hand, permitting secrecy, unless there is a formal convocation of a body, invites evasion. Although one might hypothesize quasi-social occasions whose characterization as a meeting would be debatable, the difference between a

social occasion and one arranged for pursuit of the public's business usually will be quite apparent.

The definition of meeting now explicitly excludes a gathering or series of gatherings of members of a public body at which a quorum is actually or collectively present which occurs at a social function, if the members do not deliberate toward a decision or take action on any matter over which the public body has supervision, control, jurisdiction, or advisory power. *See* NRS 241.015(3)(b)(1).

#### § 4.04 Seminars, conferences, conventions

When a majority of the members of a public body attend a state or national seminar, conference, or convention to hear speakers on general subjects of interest to public officials or to participate in workshops with their counterparts from around the state or nation, it usually may be assumed they are there for the purpose of general education and social interaction and not to conduct meetings to deliberate toward a decision or to take action on any matter over which their public body has supervision, control, jurisdiction, or advisory power, even if presentations at the seminar touch on subjects within the ambit of the public body's jurisdiction or advisory power. Thus, such seminars, conferences and conventions do not fall under the definition of "meeting" found in NRS 241.015(3). However, should the gathering have the purpose of or in fact exhibit the characteristics of a "meeting" as defined in NRS 241.015(3), then the provisions of the Open Meeting Law apply. *See* Op. Nev. Att'y Gen. 2001-05 (March 14, 2001).

# § 4.05 Telephone conferences/video conferences

Nothing in the Open Meeting Law prohibits a quorum of the members of a public body from deliberating toward a decision or taking action on public business via a telephone conference call or video conference in which they simultaneously are linked to one another telephonically. However, since this is a "meeting," the notice requirements of the Open Meeting Law must be complied with, and the public must have an opportunity to listen to the discussions and votes by all the members through a speaker phone or video conference equipment. This may be accomplished by including in the meeting notice the location and address of a place where members of the public may appear and listen to the meeting discussion over a telephone speaker device or other electronic media. *See Del Papa v. Board of Regents*, 114 Nev. 388, 956 P.2d 770 (1998) for a discussion regarding the applicability of the Open Meeting Law to a public body's use of telephones, fax machines, and other electronic devices to deliberate and/or take action.

Although a telephone conference may be a lawful method of conducting the public's business, it never should be used as a subterfuge to avoid compliance with the Open Meeting Law and its stated intent that the actions of public bodies are to be taken openly and their deliberations conducted openly. NRS 241.016(4).

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# § 4.06 Electronic polling

NRS 241.016(4) specifically provides that electronic communications must not be used to circumvent the spirit or letter of the Open Meeting Law in order to discuss or act upon a matter over which the public body has supervision, control, jurisdiction, or advisory powers.

This statute applies to telephone polls (unless done as a part of an open meeting as discussed above) and to polls by facsimile or e-mail.

In *Del Papa v. Board of Regents*, 114 Nev. 388, 956 P.2d 770 (1998), the Chairman of the Board of Regents of the University of Nevada sent by facsimile a draft advisory to all but one regent rebutting public statements made by that regent to the press. The draft advisory was accompanied by a memo requesting feedback on the advisory and sought advice from the other regents on whether to release the advisory to the press. The memo stated that no press release would occur without Board approval. Of the ten regents who received the fax, five responded in favor of releasing the advisory, one wanted it released under the chairman's name only, one was opposed, two had no opinion, and one did not respond. The regents who responded did so by telephone calls either to the chairman or the interim director of public information for the University. In finding that the Board violated the Open Meeting Law by deciding whether to release the draft advisory privately by "facsimile" and telephone rather than by public meeting, the Nevada Supreme Court stated:

[A] quorum of a public body using serial electronic communication to deliberate toward a decision or to make a decision on any matter over which the public body has supervision, control, jurisdiction or advisory power violates the Open Meeting Law. That is not to say that in the absence of a quorum, members of a public body cannot privately discuss public issues or even lobby for votes. However, if a quorum is present, or is gathered by serial electronic communications, the body must deliberate and actually vote on the matter in a public meeting.

*Id.* at 400, 956 P.2d at 778.

Where two county commissioners (three were a quorum) discussed the termination of the County Manager between themselves, the OML was not offended because no other commissioner acknowledged discussion about termination with them. The failure to create a constructive quorum barred application of the OML. AG File No. 07-011 (June 11, 2007); NRS 241.015(3) sets the serial communication bar at "collective deliberations or actions" (exchange of facts that reflect upon reasons for or against the choice) involving a quorum of members of a public body. *Dewey*, 119 Nev. at 87, 64 P.3d at 1070. *See also* AG File No. 07-015 (September 10, 2007) (allegation that Board of School Trustees created constructive quorum through emails and private meetings).

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## § 4.07 Mail polls

In view of the legislative declaration of intent that all actions of public bodies are to be taken openly, the making of a decision by a mail poll that is not subject to public attendance appears inconsistent with both the spirit and intent of the law. *See* Op. Nev. Att'y Gen. No. 85-19 (December 17, 1985).

# § 4.08 Serial communications, or "walking quorums"

The Open Meeting Law forbids "walking quorums" or constructive quorums. Serial communication invites abuse if it is used to accumulate a secret consensus or vote of the members of a public body. Any method of meeting where a quorum of a public body discusses public business, whether gathered physically or electronically, is a violation of the OML.

Nevada is a "quorum state," which means that the gathering of less than a quorum of the members of a public body is not within the definition of a meeting under NRS 241.015(3). Where less than a quorum of a public body participates in a private briefing with counsel or staff prior to a public meeting, it may do so without violating the Open Meeting Law. *Dewey*, 119 Nev. at 99, 64 P.3d at 1078.

While the Nevada Supreme Court ruled that meetings between a quorum of a public body and its attorney are not exempt from the Open Meeting Law, it observed in *McKay v. Board of County Commissioners*, 103 Nev. 490, 746 P.2d 124 (1987) that:

Nothing whatever precludes an attorney for a public body from conveying sensitive information to the members of a public body by confidential memorandum; nor does anything prevent the attorney from discussing sensitive information private with members ofthe body. singly in or in groups less than a quorum. Any detriment suffered by the public body in this regard must be assumed to have been weighed by the Legislature in adopting this legislation. The Legislature has made a legitimate policy choice-one in which this court cannot and will not interfere.

McKay, 103 Nev. at 495–96, 746 P.2d at 127.

In another case, the Nevada Supreme Court observed that the OML did not forbid all discussion among public body members even when discussing public business:

[A] quorum of a public body using serial electronic communication to deliberate toward a decision or to make a decision on any matter over which the public body has supervision, control, jurisdiction or advisory power violates the Open Meeting Law. That is not to say that in the absence of a quorum, members

of a public body cannot privately discuss public issues or even lobby for votes. (Emphasis added.)

Del Papa, 114 Nev. at 400, 956 P.2d at 778.

Serial communication invites abuse of the Open Meeting Law if it is used to accumulate a secret consensus or vote of the members of a public body. In *McKay v. Board of County Commissioners*, 103 Nev. 490, 746 P.2d 124 (1987), the Court stated that sensitive information may be discussed in serial meetings where no quorum is present in any gathering. But there can be no *deliberation, action, commitment, or promise* made regarding a public matter in such a serial meeting.

In *Dewey v. Redevelopment Agency*, 119 Nev. 87, 64 P.3d 1070 (2003), the Court reaffirmed its position in *McKay* and provided a substantial discussion regarding "serial communications" and non-quorum private briefings by staff. Please note that NRS 241.015(3)(a)(2), which defines "serial communications" as a "meeting" for purposes of the Open Meeting Law, was enacted after the *Dewey* case was decided. However, the Office of the Attorney General believes the Court's analysis in *Dewey* provides substantial insight into the facts the Supreme Court will analyze to determine if "serial communications" occurred.

In *Dewey*, the Redevelopment Agency for the City of Reno (Agency) owned the Mapes Hotel, an historic landmark listed on the National Trust for Historic Preservation. In 1999, the Agency adopted a resolution in which it would accept bids to rehabilitate the Mapes Hotel. The Agency's staff put together a request for proposals (RFP), which was sent to more than 580 developers. In response to the RFP, the Agency received six proposals to rehabilitate the Mapes Hotel.

On August 31, 1999, the Agency's staff conducted two private back-to-back briefings with a non-quorum of the Agency attending each briefing; three members attended one briefing and two members attended the other briefing. For the purposes of an Agency meeting, a quorum was four or more members.

The purpose of these meetings was to inform the Agency members of potential issues with the RFP responses. The testimony at trial was clear that the Agency members neither provided their opinions, voted on the issue, nor were they polled by staff as to their opinions or votes at the briefings. The purpose of the briefings was to provide Agency members with information regarding a complex public policy issue.

Dewey, as well as other plaintiffs, filed a lawsuit against the Agency alleging a violation of the Open Meeting Law. The trial court held that there was a violation of the Open Meeting Law because the meetings constituted a constructive quorum for purposes of the Open Meeting Law. However, the Court only issued an injunction and refused to void the Agency's actions. In response, Dewey appealed the court's final order in hopes of voiding the Agency's actions, and the Agency cross-appealed alleging that the Court erred in finding an Open Meeting Law violation.

On appeal, the Nevada Supreme Court stated, "[W]e have . . . acknowledged that the Open Meeting Law is not intended to prohibit *every* private discussion of a public issue. Instead, the Open Meeting Law only prohibits collective *deliberations* or *actions* where a quorum is present." (Emphasis added.) *Dewey*, 119 Nev. at 94–95, 64 P.3d at 1075. The Court stated, in part, that deliberations meant the collective discussion by a quorum. (*See* §5.01, *infra* for the full definition of deliberations.) Since a quorum of the Agency did not attend the back-to-back briefings, a collective discussion equaling deliberations could not have occurred. In order for a constructive quorum to exist, the Agency members or staff would have to participate in serial communications. The trial court shifted the burden to the Agency to prove that the Agency did not participate in serial communications. The Supreme Court held that shifting the burden was inappropriate because a quorum of the public body did not attend the briefings. Thus, the burden was on Dewey to provide substantial evidence that the Agency conducted serial communications.

The Court then reviewed the record to determine whether substantial evidence existed to prove serial communications occurred. The Court stated that the record did not provide substantial evidence that the Agency member's thoughts, questions, or opinions from one briefing were shared with the members of the other briefing. There also was no evidence of polling by the Agency's staff to determine the opinions or votes of the Agency's members. Further, there was no evidence in the record that the briefings resulted in the Agency taking action or deliberating on the issue. Finally, the record indicated that the Agency's staff intended to comply with the Open Meeting Law in conducting the briefings in the non-quorum back-to-back fashion. As a result, the Court held that substantial evidence did not exist to prove the briefings resulted in serial communications creating a constructive quorum, and that the Agency's back-to-back briefings were not "meetings" for purposes of the Open Meeting Law.

# Further citations illustrating the discussion above:

- The Office of the Attorney General accepts affidavits or written statements from members of a public body as evidence whether "serial communications" occurred. *See* OMLO 2004-16 (May 65, 2004).
- See OMLO 2004-26 (July 21, 2004) for an example of "serial communications" in violation of the Open Meeting Law, and see OMLO 2003-11 (March 6, 2003) for an analysis finding no "serial communication" consistent with *Dewey*.
- See OMLO 2008-010: A public body quorum met to discuss District business immediately following adjournment of a noticed meeting. The meeting had been arranged without notification to the public that a quorum would remain after adjournment of the regularly scheduled meeting. The fact that the meeting only concerned discussion of matters not appearing on a public body's agenda did not exempt the discussion from the application of the OML. OML is applicable whenever a quorum of a public body deliberates or takes action on any matter over which the public body has supervision, control, jurisdiction, or advisory power. AG File No. 08-010 (July 23, 2008); AG File No. 08-035 (November 17, 2008) (two members of public body were mistaken in their belief that a quorum

can only be achieved by a physical gathering of a quorum at the same time and place.)

## § 4.09 "Private Briefings" among staff of public body and non-quorum of members

In *Dewey*, 119 Nev. at 94, 64 P.3d at 1075, the Nevada Supreme Court stated that private briefings among staff of a public body and a non-quorum of members of a public body are not meetings for purposes of the Open Meeting Law, and such a meeting is not prohibited by law. *See* §5.08 *supra* for a further discussion of *Dewey*.

#### § 4.10 Meetings held out-of-state or out of local jurisdiction

The Open Meeting Law applies even if the meeting occurs outside of Nevada. For example, minutes must be kept, and a clear and complete agenda must be noticed properly.

Nothing in the Open Meeting Law limits its application only to meetings in Nevada, and any such interpretation would only invite evasion of the law by meeting across state lines. A county-based public body may lawfully meet outside the county. *See* AG File No. 00-040 (January 5, 2001).

See also § 4.05, Attorney-Client conferences.

While the Open Meeting Law does not prohibit out-of-jurisdiction meetings, other statutes might. *See*, for example, the limitations on county commission meetings in NRS 244.085.

# § 4.11 Exception for conferring with counsel

"Meeting" has been redefined to exclude a gathering or series of gatherings of members of a public body at which a quorum is present (1) to receive information from the attorney for the public body regarding potential or existing litigation involving a matter over which the public body has supervision, control, jurisdiction, or advisory power and (2) to deliberate toward a decision on the matter.

The law specifically allows the members of a public body to deliberate, but not act, information obtained from its counsel in an attorney-client conference. See § 4.05 supra. However, any action must be taken in an open meeting. The agenda should note that the public body may interrupt the open meeting and exclude the public for the purpose of having an attorney-client discussion of potential and existing litigation, pursuant to NRS 241.015(3)(b)(2).

Alternatively, the public body may gather to confer with legal counsel at times other than the time noticed for a normal meeting. In such instances, there is no notice or agenda required. However, the usual notice and agenda will be required in order to later convene an open meeting in order to take any action based on the attorney-client conference. A decision on whether to

settle a case or to make or accept an offer of judgment must be made in an open meeting. *See* OMLO 2002-21 (May 20, 2002).

However, a conference between counsel and a quorum of a public body that does not involve potential or existing litigation on a matter over which the public body has supervision, control, jurisdiction or advisory power, is *not* exempt from the OML. (*See* § 4.02 for examples of other statutory exemptions from the OML.) The Open Meeting Law bans closed meetings in all cases not specifically excepted by statute. *McKay*, 103 Nev. at 495–96, 746 P.2d at 127–28; NRS 241.020(1). "Any detriment suffered by the public body in this regard [limitations on the ability to meet privately with legal counsel] must be assumed to have been weighed by the Legislature in adopting this legislation. The Legislature has made a legitimate policy choice – one in which this court cannot and will not interfere." *Id.*, 103 Nev. at 496, 746 P.2d at 127.

#### § 4.12 Meetings held with another public body

Whenever a quorum of a public body gathers and collectively discusses, deliberates, or takes action on matters over which the body has supervision, control, jurisdiction, or advisory power, a meeting of that body takes place within the meaning of NRS 241.015(3) even if the public body is meeting with another public body at the same time and place. A meeting of two or more public bodies must be conducted in accordance with the Open Meeting Law and each public body must give notice of its meeting even if the meeting is also publicly noticed as a meeting of another public body. *See* Op. Nev. Att'y Gen. No. 2001-05 (March 14, 2001). Notice of a meeting of each public body may utilize one agenda, combined to indicate to the public that two or more public bodies are meeting and may take action separately.

However, even if a quorum of a parent public body attends a meeting of its own standing subcommittee, where the quorum of the parent body merely listens, does not participate, does not ask questions, does not deliberate, and does not take action or collectively discuss any matter within the parent's jurisdiction or control, no meeting within the meaning of NRS 241.015(3) has occurred and no violation of the OML has occurred. OMLO 2010-06 (September 10, 2010).

#### § 4.13 Appointment of public officer

NRS 241.031 prohibits a closed meeting for the purpose of appointing a public officer or a person to a position for which the person serves at the pleasure of a public body as a chief executive or administrative officer or in a comparable position. Public officer is defined in NRS 281.005 to mean a person elected or appointed to a position which: "(a) is established by the Constitution or a statute of this State, or by a charter or ordinance of a political subdivision of this State; and (b) involves the continuous exercise, as part of the regular and permanent administration of the government, of a public power, trust or duty." *University and Community College System v. DR Partners*, 117 Nev. 195, 201, 18 P.3d 1042, 1046 (2001) (NRS 281.005 is in harmony with judicial definition of "public officer"). For further treatment of this issue, *see* § 9.05, *infra: Appointment* to public office; closed meeting prohibition. *See* NRS 281A.160, Ethics in Government, for a similar definition of public officer which also clarifies the scope of the phrase, "public power, trust or duty."

The OML prohibits holding a closed meeting for the discussion of the appointment of any person to public office, or appointment as a member of a public body. If a public body participates in any part of the selection process for the position of public officer or for a person who serves at the pleasure of the public officer, or for the appointment of a person to a public body, then all discussion of the appointment process must occur in a public meeting. NRS 241.030(4)(d). In *City Council of City of Reno v. Reno Newspapers, Inc.*, 105 Nev. 886, 891, 784 P.2d 974, 977 (1989) the Court stated that the phrase "discussion of appointment" in NRS 241.030(4)(d) [formerly NRS 241.030(3)(e)] means "all consideration, discussion, deliberation, and selection" of a public officer or one who serves at the pleasure of a public body.

The Nevada Supreme Court explicitly stated that the OML applies only to an appointment process conducted by a public body. The Fernley City Council is a public body, but the citizen recruitment committee formed by the Mayor was not a public body. The Open Meeting Law did not apply to it and consequently, complainant's demand for access to all the original candidates' applications and resumes is not supported by the OML. AG File No. 09-026 (June 14, 2009).

Where the remaining members of a public body selected the new member to fill a vacancy following the resignation of one member, no OML violation occurred where there was no discussion among the members of the public body before it voted on appointment of the new member. NRS 241.015 does not require verbal discussion, assessment, or verbal deliberation among the members of a public body before it takes action. NRS 241.015 states that a meeting occurs where a public body deliberates **or** takes action. The Legislature intended that deliberations be conducted openly, but it did go so far as to void action in the absence of verbal discussion or deliberation by members prior to action. AG File No. 09-029 (November 4, 2009).

# Part 5 WHAT ARE THE NOTICE REQUIREMENTS UNDER THE OPEN MEETING LAW? (See Sample Forms 1 and 3)

# § 5.01 General

The right of citizens to attend open public meetings is diminished greatly if they are not provided with an opportunity to know when the meeting will take place and what subject or subjects will be considered. One of the primary objectives of the Open Meeting Law is to allow members of the public to make their views known to their representatives on issues of general importance to the community. This type of communication would be impossible if the public were denied the opportunity to appear at the meeting through lack of knowledge that a meeting would be held.

Except in an emergency, written notice of all meetings of all public bodies must be posted in at least four places within the jurisdiction of the public body and mailed at least three working days before the meeting is to occur, as specified below.

Details about how the notice is to be prepared, posted, and mailed are discussed below. A sample form of a notice is included as Sample Form 1. This sample is intended only as a sample, and public bodies may use whatever form or format they wish.

In Sandoval v. Board of Regents, 119 Nev. 148, 150, 67 P.3d 902, 903 (2003), the Supreme Court of Nevada stated that Nevada's Open Meeting Law "clearly includes stringent agenda requirements." See § 7.02.

Additionally, NRS 241.033 requires personal notice be given to individuals whose character, alleged misconduct, professional competence, or physical or mental health are to be considered at a meeting. *See* § 6.09.

NRS 241.034 requires personal notice must also be given to individuals against whom the public agency is going to take certain administrative actions or from whom real property will be taken by eminent domain. *See* § 6.10.

#### § 5.02 Contents of notice (see Sample Form 1)

NRS 241.020 sets forth specific notice requirements that are mandatory and must appear on every agenda.

# I. Certain disclosures on how the meeting will be conducted

NRS 241.020(2)(d)(6) and (7) require the following disclosures on the agenda:

Notice that:

(1) Items may be taken out of order;

- (2) Items may be combined for consideration by the public body; and
- (3) Items may be pulled or removed from the agenda at any time.

Notice must be made to the public of reasonable restrictions on the time, place, and manner of public comment. Restriction must be reasonable and cannot restrict comment based on viewpoint.

# II. Minimum requirements for public comment

NRS 241.020(2)(d)(3) requires that public bodies adopt one of two alternative public comment agenda plans.

First, a public body may comply by agendizing one public comment period before any action items are heard by the public body and then provide for another period of public comment before adjournment.

The second alternative also involves multiple periods of public comment but only after discussion of each agenda action item and before the public body takes action on the item.

Finally, regardless of which alternative is selected, the public body must allow the public time to comment on any matter not specifically included on the agenda as an action item some time before adjournment.

A public body may combine these two public comment alternatives, or take portions of one to add to the requirements of the other. NRS 241.020(2)(d)(3) represents the minimum Legislative requirements regarding public comment.

#### III. Items the meeting notice must include

The time, place, and location of the meeting. NRS 241.020(2)(a). See OMLO 2004-27 (July 13, 2004) where the Office of the Attorney General opined that starting a meeting late after staff took extraordinary measures to ensure that the public received notice that the meeting would start late was not a violation of the Open Meeting Law.

A list of locations where the notice has been posted. NRS 241.020(2)(b). See, e.g., OMLO 99-06 (March 19, 1999).

The name and contact information for the person designated by the public body from whom a member of the public may request the supporting material for the meeting and a list of the locations where the supporting material is available to the public. NRS 241.020(2)(c).

An agenda consisting of:

a) A clear and complete statement of the topics scheduled to be considered during the meeting. NRS.241.020(2)(d)(1) See § 7.02.

- b) A list describing the items on which action may be taken and clearly denoting that action may be taken on those items, by placing next to the agenda item, the phrase "for possible action". It is not sufficient to place "action" next to the item or to place an asterisk next to the item to signify an action item. The phrase "for possible action" must be used. NRS 241.020(2)(d)(2), see e.g., OMLO 2003-13 (March 21, 2003).
- c) Multiple periods of public comment: one before any action item and one before adjournment, and discussion of those comments, if any. NRS 241.020(2)(d)(3) alternatively allows the public body to hear comment prior to taking action on each and every agenda action item. No action may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action will be taken. NRS 241.020(2)(d)(3). See, e.g. OMLO 2003-13 (March 21, 2003).
- d) If any portion of the meeting will be closed to consider the character, alleged misconduct or professional competence of a person, the name of the person whose character, alleged misconduct or professional competence will be considered. NRS 241.020(2)(d)(4).
- e) If, during any portion of the meeting, the public body will consider whether to take administrative action regarding a person, the name of that person. NRS 241.020(2)(d)(5).

### IV. Accommodation for members of the public with physical disabilities

In addition, an agenda must inform the public that the public body and employees responsible for the meeting shall make reasonable efforts to assist and accommodate persons with physical disabilities desiring to attend a meeting. See NRS 241.020(1). The notice should include the name and telephone number of a person who may be contacted so arrangements can be made in advance to avoid last minute problems. See § 7.02 of this manual for guidance in preparing the agenda.

#### § 5.03 Posting the notice

NRS 241.020(3)(a) and (b) requires that a copy of the notice must be posted in at least four places not later than 9 a.m. of the third working day before the meeting.

The notice must be posted at the principal office of the public body, or if there is no such office, then at the building in which the meeting is to be held.

The notice must be posted on the official website of the State [https://notice.nv.gov] pursuant to NRS 232.2175.

The notice must be posted at a minimum of three other separate, prominent places within the jurisdiction of the public body. Thus, a state agency must post in at least three prominent places within the state, and a local government must post in at least three prominent places within the jurisdiction of the local government (e.g., county, city, town, etc.).

The notice must be posted in "prominent" places. The statute does not define "prominent," and whether a notice is properly posted must be judged on the individual circumstances existing at the time of the posting. As a general proposition, the Office of the Attorney General offers the following suggestions:

- Try to post the notices in places where they can be read or obtained by members of the public and media who seek them out.
- Unless required by the statute, avoid posting the notices in buildings that will be closed during the notice period.
- If the meeting concerns a regulated industry or profession, post additional notices at trade or professional associations for the industry.
- Community bulletin boards at city halls and county administration buildings may be used.

If the public body maintains an Internet website, posting on that website is also required. NRS 241.020(5). A public body is not required to create a website if it already does not have one. Inability to post notice of a meeting on its website as a result of a technical problem is not a violation of the law. Website notice is not a substitute for the minimum notice required by NRS 241.020(3). See OMLO 2004-16 (May 6, 2004) in which this office opined that a public body, which usually posted its agenda on the website of another government agency or public body, did not violate the Open Meeting Law when it failed to post its agenda on that website because it did not "maintain" the website.

Each public body must make and keep a record of compliance with the statutory requirement for posting the notice and agenda before 9 a.m. of the third working day before a public meeting. The record is to be made by the person who posted a copy of the public notice and it must include: (1) date and time of posting, (2) address of location of posting, and (3) name, title, and signature of person who posted the public notice. NRS 241.020(4).

#### § 5.04 Mailing the written notice; mailing list

In addition to posting the notice, a public body must mail a copy of the notice to any person who has requested notice of meetings. NRS 241.020(3)(c). A public body should implement internal record keeping procedures to keep track of those who have requested notice.

The mailing requirement of the law does not require actual receipt of the notice by the person to whom the notice must be mailed; it only requires that the notice be postmarked before 9 a.m. on the third working day before the meeting. See AG File No. 00-015 (April 7, 2000).

The written notices must be mailed to the requestors "in the same manner in which notice is required to be mailed to a member of the body" and must be "delivered to the postal service used by the body not later than 9 a.m. of the third working day before the meeting." NRS 241.020(3)(c)(1). A public body does not satisfy the requirements of the Open Meeting Law by sending an e-mail to an individual who has requested personal notice of public meetings, unless the individual waived his or her statutory right to personal notice by regular mail and instead elected to receive notice by e-mail. See NRS 241.020(3)(c)(2) and Op. Nev. Att'y Gen. No. 2001-01 (February 9, 2001).

NRS 241.020(3)(c) states that a request for mailed notice of meetings automatically lapses six months after it is made to the public body and that the public body must inform the requestor of this fact by enclosure or notation upon the *first* notice sent. (Emphasis added.) Members of the public do not have to make separate written request for notice of each meeting, but a request for both written and electronic notice lapses after six months unless the requestor renews the request.

### § 5.05 Calculating "three working days"

"Working day" means every day of the week except Saturday, Sunday, and any day declared to be a legal holiday, pursuant to NRS 236.015. NRS 241.015(6). The actual day of a meeting is not to be considered as one of the three working days referenced in the statute. *See* OMLO 99-06 (March 19, 1999).

For example, a Thursday meeting should be noticed by 9 a.m. on Monday of the same week, while a Tuesday meeting must be noticed no later than 9 a.m. Thursday of the preceding week; if the Monday before a Tuesday meeting were a legal holiday, notice would be posted no later than 9 a.m. on Wednesday of the prior week.

# § 5.06 Providing copies of agenda and supporting material upon request

NRS 241.020(6) states:

- 6. Upon any request, a public body shall provide, at no charge, at least one copy of:
- (a) An agenda for a public meeting;
- (b) A proposed ordinance or regulation which will be discussed at the public meeting; and
- (c) Subject to the provisions of subsection 7 or 8, as applicable, any other supporting material provided to the members of the body, except materials:
- (1) Submitted to the public body pursuant to a nondisclosure or confidentiality agreement which relates to proprietary information;
- (2) Pertaining to the closed portion of such a meeting of the public body; or

(3) Declared confidential by law, unless otherwise agreed to by each person whose interest is being protected under the order of confidentiality.

As used in this subsection, "proprietary information" has the meaning ascribed to it in NRS 332.025.

#### NRS 241.020(7) states:

- 7. A copy of supporting material required to be provided upon request pursuant to paragraph (c) of subsection 6 must be:
- (a) If the supporting material is provided to the members of the public body before the meeting, made available to the requester at the time the material is provided to the members of the public body; or
- (b) If the supporting material is provided to the members of the public body at the meeting, made available at the meeting to the members of the public body.

If the requester has agreed to receive the information and material set forth in subsection 6 by electronic mail, the public body shall, if feasible, provide the information and material by electronic mail.

### NRS 241.020(8) states:

8. The governing body of a county of city whose population is 45,000 or more shall post the supporting material described in paragraph (c) of subsection 6 to its website not later than the time the material is provided to the members of the governing body or, if the supporting material is provided to the members of the governing body at a meeting, not later than 24 hours after the conclusion of the meeting. Such posting is supplemental to the right of the public to request the supporting material pursuant to subsection 6. The inability of the governing body, as a result of technical problems with its website, to post supporting material pursuant to this subsection shall not be deemed to be a violation of the provisions of this chapter.

#### NRS 241.020(9) states:

9. A public body may provide the public notice, information or supporting material required by this section by electronic mail. Except as otherwise provided in this subsection, if a public body makes such notice, information or supporting material available by electronic mail, the public body shall inquire of a person who requests the notice, information or supporting material if the

person will accept receipt by electronic mail. If a public body is required to post the public notice, information or supporting material on its website pursuant to this section, the public body shall inquire of a person who requests the notice, information or supporting material if the person will accept by electronic mail a link to the posting on the website when the documents are made available. The inability of a public body, as a result of technical problems with its electronic mail system, to provide a public notice, information or supporting material or a link to a website required by this section to a person who has agreed to receive such notice, information, supporting material or link by electronic mail shall not be deemed to be a violation of the provisions of this chapter.

Note that while these provisions authorize a public body to provide the notice, agenda, and/or supporting material by electronic mail, *if* the requester agrees to accept receipt by electronic mail, these provisions do not mandate that a public body provide these documents by electronic mail. Electronic delivery is supplemental to the right of the public to obtain hard copies of materials under NRS 241.020(6) and (7).

# Other examples of how the requirement to make supporting materials available to the public has been applied:

- (1) In AG File No. 08-040 (May 8, 2009) an e-mail communication from a Superintendent to his staff and to the public body, the Board of School Trustees, was not included in supporting materials for the meeting nor was it released to a reporter prior to the meeting, even though it was relevant to a pending agenda item. The e-mail communication was determined to be privileged and shielded by "executive privilege" as it was both predecisional and deliberative under a common law doctrine recognized by the Nevada Supreme Court in *DR Partners v. Board of County Commissioners*, 116 Nev. 616, 621, 6 P.3d 465, 468 (2000).
- (2) The Office of the Attorney General has opined that drafts of proposed orders of the Public Utilities Commission are agenda supporting material under NRS 241.020(6), formerly NRS 241.020(4), and copies must be furnished upon request at the time that they are made available to commission members. *See* OMLO 98-02 (March 16, 1998). Drafts of minutes of previous meeting to be approved at upcoming meeting are agenda supporting material under NRS 241.020(5) and must be provided upon request. *See* OMLO 98-06 (October 19, 1998); AG File No. 10-047 (November 8, 2010).
- (3) Member of a public body independently distributed a proposed budget document to other members shortly before meeting. It should have been included in supporting material, but once distributed to the public body, members discovered it was not included in the agenda packet; it was treated as a fugitive document; the board did not consider it during the meeting. AG File No. 10-027 (July 20, 2010).

- (4) Where the Chair of the public body independently obtains a document and discusses it during a public meeting, although it was not provided to any other member of the public body, or the public, the independent action of the Chair does not entangle the Commission with NRS 241.020. Unless the document had been provided to the Commission as support material, pursuant to NRS 241.020(6) and (7), complainant's request for its disclosure must be under NRS 239. AG File No. 10-028 (July 8, 2010).
- (5) Inability to provide supporting material to the public because the public body's clerk, staff, or other custodian of materials does not have a copy, because the clerk, staff, or other custodian was not provided a copy, is a violation of NRS 241.020(6) and (7). It does not matter that the source of supporting material is a private person, the city manager, or any other person. If all members of the public body receive supporting material for a future agenda item, that material must be available to the public upon request. AG File No. 09-021 (August 21, 2009).
- (6) Requests to provide agenda supporting material under NRS 241.020(7) are treated separately from standing requests to mail notices of meetings under NRS 241.020(3)(c). See OMLO 99-06 (March 19, 1999). Agenda supporting material need not be mailed but must be made available over the counter when the material is ready and has been distributed to members of the public body and at the meeting. See OMLO 98-01 (January 21, 1998) and OMLO 2003-06 (February 27, 2003).
- (7) The OML does not require supporting materials, such as a settlement agreement, to be appended to or attached to the publication of the public body's meeting Notice and Agenda. Members of the public must request copies of supporting materials before or during the meeting; the public body has no duty to provide copies of supporting materials except when requested. AG File No. 10-008 (May 3, 2010).
- (8) When a public body is interviewing candidates for a vacant position in an open session, a request for a copy of candidate resumes may not be refused by the public body because the resume of the chosen applicant would become part of the personnel file if hired, or on the grounds that refusal was necessary to accommodate an applicant's concern that he/she might suffer an adverse employment reaction from his/her current employer if the applicant's interest in the position became known to his/her current employer. *See* AG File No. 00-035 (August 31, 2000). *See also* Opinion in AG File No. 08-005 (March 7, 2008) (beginning with a presumption in favor of open government and public access, disclosure of applicants' names, application for employment, and proposed contracts of employment should be deemed public unless there is sufficient justification, such as an identifiable privacy or law enforcement interest, or other exigent circumstances, for keeping the record confidential).
- (9) Agenda supporting materials are not required to be provided until after the appointment of a person if a separate statute or regulation declares the materials to be confidential during the selection and appointment process. *See* AG File No. 00-036 (September 25, 2000).
- (10) In situations where a request for agenda supporting materials is made at the meeting, a public body does not have to stop or delay its meeting to provide the materials if the

supporting material requested had been available at the time the agenda was posted. In this circumstance, a public body can satisfy the Open Meeting Law requirement of providing supporting materials "upon any request" by having one "public" copy of the supporting materials available for review at the meeting. NRS 241.020(6).

- (11) As to materials that were not available on the agenda posting date, a member of the public is justified in asking for such materials at the meeting, and the public body must interrupt its meeting to provide the requested copies. *See* NRS 241.020(7)(b) and AG File No. 00-025 (October 3, 2000).
- (12) Unapproved draft minutes that are on the agenda for approval are agenda support material which must be provided upon request.
- (13) A public body was advised that proposed revised bylaws were supporting materials for the meeting and a public copy should have been made available at the meeting and upon any request. AG File No. 09-010 (June 10, 2009).
- (14) The Open Meeting Law does not require a public body to honor a blanket request for supporting materials for multiple meetings. *See* OMLO 2003-12 (March 11, 2003). The Legislature intended to treat requests for support material differently than requests for notice and agenda under NRS 241.020(6).
- (15) When all subsections of NRS 241.020 are read together, it is clear that the legislative purpose behind the phrase "[U]pon any request" refers only to the period of time before or during a public meeting. Subsection 7 provides direct evidence of legislative purpose. Parts (a) and (b) explicitly state when the public body's duty to provide a "no-charge" copy is applicable. Part (a) states that the public may request a copy before the meeting and part (b) states the circumstances under which the public body must provide it during the meeting. There is no subsection authorizing a "no-charge" copy after adjournment of a public meeting. It also is clear that in order to harmonize the OML and the public records act, the Legislature intended that supporting materials become a public record following adjournment of the public meeting. Supporting materials pass to the legal custodian (in this case the County Clerk) when it becomes subject to public record law—NRS Chapter 239. AG File No. 2011-01 (April 4, 2011); AG File No. 09-046 (February 11, 2010).

# § 5.07 Fees for providing notice of copies of supporting material

Under NRS 241.020(6), a requested public notice, agenda, a proposed ordinance or regulation must be provided at no cost to the requester prior to the meeting for which the notice, agenda, and supporting material were prepared. See §6.06 above. Other requested supporting materials which are not confidential, or subject to a non-disclosure agreement, or which do not pertain to a closed portion of a meeting must be made available to the public at the time the materials are provided to the members of the public body.

No charge may be made for sending copies of a notice and agenda required by NRS 241.020(3)(c). See OMLO 99-07 (February 4, 1999). Generally, governmental bodies may

exercise only those powers that are conferred upon them by the Legislature. There is no grant of power to public bodies in the Open Meeting Law which authorizes them to legislate or charge a fee to a person who has requested individual notice of the meetings. Further, charging a fee under such circumstances could have the effect of chilling the right of all Nevada citizens to receive notice of public meetings. We note that mailing a copy of the meeting notice to anyone who requests such notices is deemed by the law to be a part of the "minimum public notice" requirements, which all public bodies must meet. The only restriction contemplated by the law is a six-month limitation on the request, unless it is renewed by the requestor.

Minutes and audio recordings of public meetings become public records once prepared following public meetings. All public bodies must make available, free of charge, a copy of the minutes or an audio recording to a member of the public upon request. Minutes or an audio recording of a meeting must be made available for inspection by the public within 30 working days after the adjournment of the meeting. NRS 241.035(2).

# § 5.08 Emergencies

When emergencies occur, a public body may not be able to wait three days to call a meeting and post a notice and agenda in order to act, or the public body already may have sent out a notice and agenda and cannot amend the agenda and give three days' notice of the emergency item before the meeting.

NRS 241.020(2) provides that *except in an emergency*, written notice of all meetings must be given at least three working days before the meeting. NRS 241.020(10) defines an emergency as: "an unforeseen circumstance which requires immediate action and includes, but is not limited to: (a) Disasters caused by fire, flood, earthquake or other natural causes; or (b) Any impairment of the health and safety of the public."

An emergency meeting may be called or an item may be taken up on an emergency basis only:

- Where the need to discuss or act upon an item truly is unforeseen at the time the meeting agenda is posted and mailed, or before the meeting is called; and
- Where an item is truly of such a nature that immediate action is required at the meeting.

#### In an emergency:

- A meeting may be scheduled with less than three days' notice if the meeting is limited only to the matter which qualifies as an emergency. The minutes of the meeting should reflect the nature of the emergency and why notice could not be timely given.
- If a meeting already has been scheduled, notice already has been posted and mailed, and less than three working days remain before the meeting, the emergency item may be added to the agenda at the meeting. The minutes should reflect the nature of the emergency and why notice could not be timely given.

• If a meeting has been scheduled, and it is possible to amend the notice and agenda and to post and mail the amended notice (or a notice of an emergency item to be added to the agenda) more than three working days before the meeting, the notice and agenda should be so amended.

In all cases, whenever a matter is taken up as an emergency, the Office of the Attorney General recommends that the public body provide as much supplementary notice to the public and the news media as is reasonably possible under the circumstances. Further, all other requirements of the Open Meeting Law must be observed.

The Office of the Attorney General cautions, however, that a true emergency must exist and the rule must not be invoked as a subterfuge by a public body to avoid giving notice of that agenda item to the public. Op. Nev. Att'y Gen. No. 81-A (February 23, 1981) gives an example of when an emergency did not exist. This opinion discusses a situation where, in a regularly-scheduled meeting of a public body, dissention quickly arose between the members so much so that the meeting became acutely tense and emotional. In an attempt to relieve the pressure, the board went into an unscheduled executive session to "discuss the professional competence and character of a person" (including some its members). Noting that the dissention on the board had been known for months, the Office of the Attorney General determined that a sufficient emergency did not exist to go into the unscheduled executive session because there was ample time to provide written public notice of the need for an executive session during a regularly scheduled meeting to discuss the matters.

See OMLO 99-10 (August 24, 1999), where the Office of the Attorney General opined that administrative error did not establish grounds to hold an emergency meeting without giving proper notice. A statutory deadline for action by a county commission to submit a ballot question is not an unforeseen circumstance. See AG File No. 00-029 (August 9, 2000). The need to seize records of a development authority is foreseeable and, therefore, not an emergency. See AG File No. 01-039 (August 20, 2001). See OMLO 2004-22 (June 15, 2004) where the unforeseen resignation of the General Manager of the sewer treatment plant created an emergency because, in order to protect public health, safety, and welfare, the public body needed to keep the plant operating, and thus, an emergency meeting to employ a new manager was appropriate.

Where the financial health of the School District was at stake and where there was threatened loss of revenue and apparent loss of revenue, the District's characterization of the emergency as an "unforeseen" event was appropriate. The Board's decision to hire a licensed administrator after a public meeting during which the Superintendent had been unexpectedly fired was an unforeseen event. AG File No. 07-028 (September 18, 2007).

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§ 5.09 Providing individual notice to persons whose character, alleged misconduct, professional competence, physical or mental health are to be considered; waivers of notice (*See* Sample Form 3); exemption from OML for meetings held to consider individual applications for employment (NRS 241.034)

NRS 241.033 prohibits a public body from holding a meeting to consider the character, alleged misconduct, professional competence, or physical or mental health of any person unless it provided *written* notice to the person of the time and place of the meeting *and* received proof of service of the notice. *See* NRS 241.033(1)(a) and (b). This applies whether the meeting will be open or closed.

NRS 41.033(2)(c) requires a properly drafted notice to include a list of the general topics concerning the person who will be considered by the public body during the closed meeting; and a statement of the provisions of subsection 4, if applicable. Subsection 4 states:

That the person being considered by the public body must be permitted to attend the closed meeting;

That the person being considered may have an attorney or other representative of his/her choosing present during the closed meeting; and

That the person being considered may present written evidence, provide testimony, and present witnesses relating to his character, alleged misconduct, professional competence, or physical or mental health to the public body during the closed meeting.

NRS 241.033(2)(b) states that a public body may include an informational statement in the notice that administrative action may be taken against the person after the public body considers his/her character, alleged misconduct, professional competence, or physical or mental health. If the notice pursuant to NRS 241.033 includes this informational statement, no further notice is required pursuant to NRS 241.034.

The notice must be delivered either personally to that person at least five working days before the meeting or must be sent by certified mail to the last known address of that person at least 21 working days before the meeting. A similar notice is required by NRS 241.034 to persons against whom administrative action will be taken or whose real property will be acquired by eminent domain unless the public body includes an informational statement that administrative action may be taken against the person in the notice under NRS 241.033. *See* discussion above.

The public body must receive proof of service of the notice before the meeting may be held.

Notice provisions of NRS 241.033 do not apply to applicants for employment with a public body. NRS 241.033(7) exempted public meetings held to consider applicants for employment with the public body from the provisions of NRS 241.033.

OML complainant alleged that the public body member made comments during the public meeting to consider his appointment to an advisory body. It was alleged that the comments impugned complainant's character, effectively calling him a person "of less than truthful character." A public body member made comments about complainant not being a team player, which caused the public body to focus the discussion on the complainant's character. This was a violation of NRS 241.033. Public bodies must carefully consider the ramifications of a discussion of any person's character, even if it is unintentional and even if it suddenly arises during any agenda item. Remember to stick to the agenda. AG File No. 10-061 (March 29, 2011).

The Nevada Athletic Commission is exempt from the timing requirements (e.g., five working days for personal service or 21 days for certified mail) but still must give written notice of the time and place of the meeting and must receive proof of service before conducting the meeting. NRS 241.033(3).

"Casual or tangential references to a person or the name of a person during a closed meeting do not constitute consideration of the character, alleged misconduct, professional competence, or physical or mental health of the person." NRS 241.033(7)(b); *See also* OMLO 2004-14 (April 20, 2004); OMLO 2003-18 (April 21, 2003); and OMLO 2003-28 (November 14, 2005) where the public body violated the Open Meeting Law by considering an employee's character or alleged misconduct without providing notice, but the mere mention of other employees did not require notice to the other employees.

Notice requirements of NRS 241.033 only apply to natural persons because non-natural persons cannot have "physical or mental health." Thus, proper statutory construction dictates that the notice under NRS 241.033 only must be provided to natural persons. *See* OMLO 2004-13 (April 19, 2004).

If a public body discusses a pending lawsuit involving a particular person, a discussion of that lawsuit which mentions the name of that person does not require the public body to provide notice under NRS 241.033. *See* OMLO 2003-14 (March 21, 2003).

Notice requirements apply to applicants for professional licenses if their character, alleged misconduct, professional competence, or physical or mental health is to be considered at the meeting. *See* Attorney General Letter Opinion to Jerry Higgins, Nevada Board of Professional Engineers and Land Surveyors, dated October 28, 1993 (licensing board which will consider applicant's character and professional competence must properly notice each applicant in accordance with NRS 241.033).

There is no prohibition against waivers of the notice, and the courts consistently recognize that an individual may, by express or implied waiver, relinquish a known statutory right. However, a waiver carries legal consequences, and therefore must be a valid waiver. A

waiver of a statutory right is deemed valid if it is clear and unambiguous, given voluntarily, and intended to relinquish a known statutory right. *CBS, Inc. v. Merrick,* 716 F.2d 1292 (9th Cir. 1983); *State Board of Psychological Examiners v. Norman,* 100 Nev. 241, 679 P.2d 1263 (1984).

It is recommended that the waiver be obtained in writing expressing: (1) the voluntary nature of the waiver; (2) the applicant's knowledge about the statutory right; and (3) the applicant's intention to relinquish that right. *See* Attorney General Letter Opinion to Jerry Higgins, Nevada Board of Professional Engineers and Land Surveyors, dated October 28, 1993.

Sample Form 3 satisfies NRS 241.033 notice requirement when a person's character or professional competence or alleged misconduct or physical or mental health is to be discussed by the public body.

# § 5.10 Meeting to consider administrative action against a person or acquisition of real property by eminent domain (NRS 241.034)

Under NRS 241.034, a public body may not hold a meeting to take administrative actions against a person or to acquire real property by condemnation from a person unless the public body has given written notice to that person. The written notice either must be: (1) delivered personally to the person at least five working days before the meeting; or (2) sent by certified mail to the last known address of the person at least 21 working days before the meeting. Written notice to the person is required in addition to the notice of meeting required by NRS 241.020. See § 6.02.

A public body must receive proof of service of the written notice before the public body may consider the matter. Proof of receipt of the notice is not required.

The terms "take," "administrative action," and "person" are not defined by Chapter 241 or by NRS 241.034. With respect to the eminent domain provision, the terms "acquire," "owned," and "person" are not defined. The terms "administrative action" and "against a person," if interpreted and defined broadly, would encompass a myriad of actions performed by local governments and state agencies, which were not all intended to be covered.

In *Harris v. Washoe County Board of Equalization*, Case No. 42951, 120 Nev. 1246, 131 P.3d 606 (Nov. 2, 2004), which was an unpublished order of the Supreme Court of Nevada and not an opinion, the Supreme Court agreed with the above interpretation of the Office of the Nevada Attorney General. In that case, the petitioners challenged the assessor's valuation of their property. The County Board contacted the petitioners one working day before the meeting to consider their petition, but the County Board properly posted a public notice three working days before the meeting. The County Board did not provide a personal notice to the petitioners, pursuant to NRS 241.034. The petitioners filed for a preliminary injunction against the County Board for failing to provide notice pursuant to NRS 241.034. The District Court denied the injunction and the petitioners appealed to the Nevada Supreme Court.

The Court stated, "In this case, the language 'administrative action against a person,' which triggers the five-day personal notice requirement, is subject to more than one

interpretation." The property owners argued that the language should be read broadly to "include all administrative actions directed at specific individuals," and thus, the County Board's land valuation hearings. The County Board asserted that the phrase should be tailored more narrowly "to include only those actions involving an individual's characteristics or qualifications, not those of real property."

The Court stated that the rules of statutory construction compel the Court to adopt the County Board's more narrow approach. The broad view advocated by the property owners would render the notice requirement for eminent domain "nugatory" because any action with regard to a person's realty would require notice. The Court determined that such an interpretation was not the appropriate construction of the statute. The Court then defined the phrase "administrative action against a person" as "those actions involving an individual's characteristics or qualifications, not those of real property." Therefore, the Court held that the County Board did not violate the Open Meeting Law.

For purposes of enforcement actions under NRS 241.037(1), this office will follow these guidelines:

- 1) Except as noted below, "person" includes natural persons and inanimate entities such as partnerships, corporations, trusts, and limited liability companies. "Person" includes, essentially, anything legally capable of holding an interest in property or legally capable of receiving a permit or license.
- 2) "Administrative action against a person" does not occur unless the matter being acted on is uniquely personal to the individual or entity. "Administrative action against a person" does not occur when the legal basis of the action is consideration of the inanimate characteristics of a facility or property and no consideration of the characteristics or qualifications of the individual or entity (the person) that has sought the governmental approval. *See* the discussion of *Harris* above.

For example, a decision against an applicant for a barber's license for the individual practitioner is subject to NRS 241.034, but a decision against an applicant for a barbershop license is not.

Certain business and occupational licenses issued by state and local governments may depend on an analysis of a blend of personal factors as well as real and personal property. Some statutes, regulations, and ordinances grant, condition, or deny a particular license solely on the adequacy of the premises (sanitation, fire codes, square footage, and zoning) without reference to the personal aspects of the business person seeking the license. These types of business licenses are not subject to NRS 241.034. But if a business license is granted or denied in part by reference to the personal aspects of the applicant, then NRS 241.034 applies.

(a) "Action against a person" within the meaning of NRS 241.034 does not include adoption of ordinances or regulations; the granting or denying of petitions for declaratory orders or advisory opinions; action on zoning requests, building permits, most variances, and other land use decisions that do not depend on the identity, status, personal qualifications, or characteristics

of the person. These decisions are "against" the entire population, whole neighborhoods, industries, and other interest groups. Notice to such large numbers of persons is not required by NRS 241 034

- (b) An act is not subject to the additional notice requirements of NRS 241.034 if the action depends on the application of either objective or discretionary standards and criteria to land, water, air, or other inanimate matters unrelated to the personal qualities and characteristics of the owner of the property that is subject to the authority of the public body.
- (c) Note that other statutes and ordinances typically have extensive notice provisions for the special subject matter covered. Those laws must be complied with, but failure to do so will not be a violation of chapter 241.
- (d) Imposing discipline on a person is an "action against a person." Most penalties (except for taxation) are uniquely personal because they are based on the misconduct of a person and, therefore, are "actions against a person."
  - 3) Decisions to accept gifts and to purchase, sell, encumber, or lease any interest in real or personal property are examples of non-personal, inanimate-subject decisions that are not within the meaning of "administrative action against a person," even though each decision may be, in a very real sense, "against" someone, unless the purchase involves eminent domain, in which case the owner of the property must be notified.

# Part 6 WHAT ARE THE REQUIREMENTS FOR PREPARING AND FOLLOWING THE AGENDA? (See Sample Form 1)

### § 6.01 General

A public body's failure to adhere to agenda requirements will result in an Open Meeting Law violation. *Sandoval v. Board of Regents*, 119 Nev. 148, 156, 67 P.3d 902, 906 (2003). If a matter is acted upon which was not described clearly and completely on the agenda, the action is void under NRS 241.036.

NRS 241.020(2)(c) requires public body agendas include the following at a minimum:

- 2. Except in an emergency, written notice of all meetings must be given at least three working days before the meeting. The notice must include:
  - (a) The time, place and location of the meeting.
  - (b) A list of the locations where the notice has been posted.
- (c) The name and contact information for the person designated by the public body from who a member of the public may request the supporting material for the meeting described in subsection 6 and a list of the locations where the supporting material is available to the public.
  - (d) An agenda consisting of:
- (1) A clear and complete statement of the topics scheduled to be considered during the meeting.
- (2) A list describing the items on which action may be taken and clearly denoting that action may be taken on those items by placing the term "for possible action" next to the appropriate item or, if the item is placed on the agenda pursuant to NRS 241.0365, by placing the term "for possible corrective action" next to the appropriate item.
- (3) Periods devoted to comments by the general public, if any, and discussion of those comments. Comments by the general public must be taken:
- (I) At the beginning of the meeting before any items on which action may be taken are heard by the public body and again before the adjournment of the meeting; or
- (II) After each item on the agenda on which action may be taken is discussed by the public body, but before the public body takes action on the item.

The provisions of this subparagraph do not prohibit a public body from taking comments by the general public in addition to what is required pursuant to sub-subparagraph (I) or (II). Regardless of whether a public body takes comments from the general public pursuant to sub-subparagraph (I) or (II), the public

body must allow the general public to comment on any matter that is not specifically included on the agenda as an action item at some time before adjournment of the meeting. No action may be taken upon a matter raised during a period devoted to comments by the general public until the matter itself has been specifically included on an agenda as an item upon which action may be taken pursuant to subparagraph (2).

- (4) If any portion of the meeting will be closed to consider the character, alleged misconduct or professional competence of a person, the name of the person whose character, alleged misconduct or professional competence will be considered.
- (5) If, during any portion of the meeting, the public body will consider whether to take administrative action regarding a person, the name of that person.
  - (6) Notification that:
    - (I) Items on the agenda may be taken out of order;
- (II) The public body may combine two or more agenda items for consideration; and
- (III) The public body may remove an item from the agenda or delay discussion relating to an item on the agenda at any time.
- (7) Any restrictions on comments by the general public. Any such restrictions must be reasonable and may restrict the time, place and manner of the comments, but may not restrict comments based upon viewpoint.

# § 6.02 Agenda must be clear and complete (See Sample Form 1)

In Sandoval v. Board of Regents, 119 Nev. 148, 67 P.3d 902 (2003), the Nevada Supreme Court analyzed three related issues under Nevada's Open Meeting Law: (1) the "clear and complete" standard required for agenda statements by NRS 241.020(2)(d)(1), (2) discussion which exceeds the scope of a properly noticed agenda statement, and (3) whether the Open Meeting Law violates the First Amendment by improperly restricting members' right to free speech. The analysis of the "clear and complete" standard will be discussed in this section of the manual, the analysis regarding exceeding the scope of the agenda statement will be discussed in § 7.03 of this manual, and the analysis regarding the First Amendment will be discussed in § 13.03 of this manual.

In *Sandoval*, the Court considered the actions of two different public bodies related to the University and Community College System of Southern Nevada, the Campus Environment Committee (Committee) and the Board of Regents (Board). Since the analysis regarding the Board discussed the "clear and complete" standard under NRS 241.020(2)(d)(1), this section of the manual will discuss only the facts, circumstances, and analysis surrounding the Board. For a discussion regarding the facts, circumstances, and analysis regarding the Committee exceeding the agenda statement, *see* § 7.03 below.

In September of 2000, the Board held a public meeting and noticed an item that stated:

Committee Reports:

**Campus Environment Committee** 

Chairman Tom Kilpatrick will present a report on the Campus Environment committee meeting held September 7, 2000 and requests Board action on the following recommendations of the committee:

Round Table Discussion of Actions and Schedule of Topics to be Discussed with Campus Representatives--The committee reviewed previous actions and unfinished business of the committee and compiled a schedule of topics for the remainder of the year.

119 Nev. at 152, 67 P.3d at 904.

Regent Kilpatrick properly reported the topics to be discussed for the remainder of the year, and he discussed the law governing the release of documents. He then informed the Board that a request was made for the University of Nevada, Las Vegas (UNLV), report regarding a dormitory raid, and a document regarding disarming the UNLV police department. After Regent Kilpatrick's presentation, Regent Aldean suggested that the Board make available a redacted version of the NDI report regarding the raid, and the Board agreed with this suggestion. As a result, the Office of the Attorney General filed suit alleging a violation of the "clear and complete" standard in NRS 241.020(2)(d)(1). The district court granted summary judgment for the Board holding that the "germane standard" should apply to Nevada's Open Meeting Law, and since the discussion by the Board of the NDI report was germane to the agenda statement, there was no violation of the Open Meeting Law. The Office of the Attorney General appealed this decision.

The Supreme Court's analysis immediately rejected the "germane standard" as too lenient a standard in Nevada. The Court stated, "[T]he legislative history of NRS 241.020(2)(c)(1) [now NRS 241.020(2)(d)(1) illustrates that the Legislature enacted the statute because 'incomplete and poorly written agendas deprive citizens of their right to take part in government." 119 Nev. at 154, 67 P.3d at 905. The Court also stated, "Nevada's Open Meeting Law seeks to give the public clear notice of the topics to be discussed at public meetings so that the public can attend a meeting when an issue of interest will be discussed." 119 Nev. at 155, 67 P.3d at 906. As a result, the Court held that the Board violated the Open Meeting Law because the agenda statement was too broad to place the public on notice that the Board would take informal action to obtain a redacted NDI report and discuss an examination of disarming the UNLV police, both issues of public interest.

In 2007, following the *Sandoval* decision, the Nevada Supreme Court issued another decision impacting the "clear and complete" rule. In *Schmidt v. Washoe County*, 123 Nev. 128, 159 P.3d 1099 (2007), the Court decided an issue regarding whether an agenda item on the BOCC's agenda was clear and complete. The agenda item stated: "Legislative Update—this item may be discussed at Monday's Caucus Meeting and/or Tuesday's Board Meeting and may involve discussion by [WCBC] and direction to staff on various bill

draft requests (BDRs)." The agenda also instructed the public that a list of specific bills which staff would seek direction from the WCBC would be posted online on the County's website after 6:00 p.m. on Friday before the Monday caucus meeting. Hard copies would be placed in the County Manager's office by 9 a.m. on Monday. The *Schmidt* Court stated that this factual issue was a close question. However it determined the WCBC's agenda item met the "clear and complete" standard, because the item noticed the public that WCBC and staff planned to discuss certain BDRs at its Caucus meeting or the following day's regular meeting and the Court found the WCBC had provided a list of specific BDR's on the County's website three days before the Caucus.

In an Attorney General opinion, this office reviewed the agenda item to determine whether it was clear and complete. The disputed agenda item stated: "5(C) Discussion regarding election of CEO to receive contractual bonus based upon FY 08 positive evaluation." The issue was whether it was legally sufficient to impart notice to the CEO that his character and professional competence would be considered by the Board. This office opined that the Board exceeded the scope of the agenda item. Among the matters impermissibly discussed and beyond the scope of the item were the person's "ongoing communication skills," discussion of an earlier professional evaluation, and discussion of his character traits for honesty and integrity. The person's general reputation was denigrated before the Board in a significant and substantive fashion so as to constitute a violation of both the OML's notice requirement and its "clear and complete" rule. See AG File No. 10-014 (February 25, 2010).

In another Attorney General Opinion, we reviewed a public body agenda "action" item which stated in part: "Consideration to Approve Advertisement of Irrigation Water Shares and to Set Time for Said Auction." After investigation, it was determined to be incomplete. This item was not clear and complete so as to indicate to the public that the advertisement was for the lease of irrigation water shares. Similarly, another agenda item from another meeting of the same public body did not disclose to the public body that a provision for the lease-back of water was a condition of sale. Because the issue of fair market value of water rights was of significant interest to the public body and the public, the absence of disclosure of a lease-back provision from the agenda item was a violation of the OML's requirement that agenda topics be expressed clearly and completely. NRS 241.020(2)(d)(1). AG File No. 09-014 (June 30, 2009); see also AG File No. 09-032 (December 3, 2009).

In AG File No. 09-044 (December 17, 2009), Complainant's allegation was that the text of agenda item 31 was not clear and complete because it did not inform the public that (in Complainant's view) it committed taxpayers to contingent liabilities beyond current taxing authority. The OML does not provide oversight to the decision-making process of public bodies. It does not allow this office to second guess decisions or actions by public bodies even if the decision might have been improvident. AG File No. 09-044 (December 17, 2009).

The Office of the Attorney General has written several opinions on agendas. *See* Op. Nev. Att'y Gen. No. 79-8 (March 26, 1979), and Op. Nev. Att'y Gen. No. 91-6 (May 23, 1991); OMLO 99-01 (January 5, 1999); OMLO 99-02 (January 15, 1999); OMLO 99-03 (January 11, 1999); OMLO 2003-09 (March 4, 2003); OMLO 2003-13 (March 21, 2003); and OMLO 2003-23 (June 24, 2003). AG File No. 08-007 (June 12, 2008).

The following guidelines are gleaned from these opinions regarding agenda items and the clear and complete rule:

- a. Merely indicating "Licensing Board" on an agenda without listing the names of the licensees who will be considered is not proper.
- b. An agenda item for consideration of business permits should include the name and, where appropriate, the address of the proposed business and/or applicants.
- c. Agenda items must be described with clear and complete detail so that the public will receive notice in fact of what is to be discussed by the public body.
- d. Use a standard of reasonableness in preparing the agenda and keep in mind the spirit and purpose of the Open Meeting Law.
- e. Always keep in mind that the purpose of the agenda is to give the public notice of what its government is doing, has done, or may do.
- f. The use of general or vague language as a mere subterfuge is to be avoided.
- g. Use of broad or unspecified categories in an agenda should be restricted only to those items in which it cannot be anticipated what specific matters will be considered.
- h. An agenda must never be drafted with the intent of creating confusion or uncertainty as to the items to be considered or for the purpose of concealing any matter from public notice.
- i. Agendas should be written in a manner that actually gives notice to the public of the items anticipated to be brought up at the meeting.
- j. Generic agenda items such as "President's Report," "Committee Reports," "New Business," and "Old Business" do not provide a clear and complete statement of the topics scheduled to be considered. Such items must not be listed as for possible action items as they do not adequately describe matters upon which action is to be taken. *See* OMLO 99-03 (January 11, 1999).
- k. Agendas for retreats should identify the event as a retreat, give the objectives to be accomplished, and include the specific topics for discussion. *See* OMLO 99-02 (January 15, 1999). *See* § 6.02 for items that must be included in the notice and agenda if not covered in the notice for the meeting.

Additionally, based on some of the complaints received by the Office of the Attorney General, the following suggestions are offered:

- a. Public bodies should not "approve" or take action on administrative reports by staff unless the agenda clearly denotes that the report is an item for possible action and specifically sets out the matter to be acted on from the report.
- b. Generic items such as "reports" or "general comments by board members" invite trouble because discussions spawned under them may be of great public interest and may lead to deliberations or actions without the benefit of public scrutiny or input. Generic items should be used sparingly and carefully, and actual discussions should be controlled tightly. Matters of public interest should be rescheduled for further discussion at later meetings.
- c. Agenda descriptions for resolutions, ordinances, regulations, statutes, rules, or other such items to be considered by public bodies, should describe to what the statute, ordinance, regulation, resolution, or rule relates, so that the public may determine if it is a subject in which they have an interest which might lead to their attendance at the public meeting. *See* OMLO 99-01 (January 5, 1999); OMLO 99-03 (January 11, 1999).

Below are synopses of three recent Attorney General Opinions which applied the "clear and complete" rule:

- Public body's use of phrase "and all matters related thereto" was a violation of the OML because use of the phrase allows the public body to stray into discussion on matters not specifically listed in the item. Use of the phrase "and all matters related thereto" does not comply with the statute's requirement that every agenda item contain a clear and complete statement of topics to be considered. AG File No. 10-049 (December 17, 2010); AG File No. 10-052 (December 21, 2010).
- Public body must recognize that a "higher degree of specificity [for agenda items] is needed when the subject to be debated is of special or significant interest to the public," Sandoval, 119 Nev. at 154-155, 67 P.3d at 906 (quoting Gardner v. Herring, 21 S.W.3d 767, 773 (Tex.App.2000)). Mandatory trash service and billing was and is an item of significance in the City of Fernley requiring greater agenda item specificity. A Council agenda item merely stated that "special provisions for inclusion of [sic] a new franchise agreement(s)" would be discussed at the meeting, but this generic description was too broad. The public was not alerted that mandatory billing and trash pickup was the special provision. AG File No. 09-003 (March 27, 2009).
- A public body rejected a staff recommendation for naming a new Las Vegas area Career and Technical Academy. Agenda item 7.01: "NAMING OF DISTRICT FACILITIES, VETERANS MEMORIAL CENTRAL CAREER AND TECHNICAL ACADEMY. Discussion and possible action on approval to name a school the Veterans Memorial Central Career and Technical Academy, is recommended." Item 7.01 was not in violation of the "clear and complete" rule. Nothing in the OML prohibits a public body from rejecting or amending staff's recommendation regarding a school name, or that requires the public body to vote up or down on exact wording of any proposal brought before it.

This is too narrow an interpretation of NRS 241.020(2)(d)(1)—the "clear and complete" rule. AG File No. 09-006 (February 2, 2009).

# § 6.03 Stick to the agenda

As discussed in § 7.02, *supra*, *Sandoval v. Board of Regents*, 119 Nev. 148, 67 P.3d 902 (2003) provided analysis of a public body's failure to discuss only matters within the scope of its agenda. In that case, the Campus Environment Committee (Committee) held a meeting on September 7, 2000. The agenda item stated: "Review of UCCSN Policies on Reporting." It further described the item's scope as:

"Review UCCSN, state and federal statutes, regulations, case law, and policies that govern the release of materials, documents, and reports to the public."

119 Nev. at 151, 67 P.3d at 903–904.

At this meeting, the Committee discussed a controversial NDI report regarding a dormitory raid by UNLV police. Regent Hill discussed the details of the raid, criticized the UNLV police department, and recommended that the police department be disarmed. This discussion occurred against the advice of legal counsel. The Office of the Attorney General sued the Regents for exceeding the scope of the agenda item. The district court granted summary judgment for the Committee after applying a "germane standard" to the discussion, concluding the discussion was germane to the agenda item. The Office of the Attorney General appealed.

The Supreme Court stated that the agenda statement was "clear and complete" under NRS 241.020(2)(d)(1), and, in the abstract, the Committee could have discussed the NDI report. However, the Court held, "[t]he plain language of NRS 241.020(2)(c)(1) [now NRS 241.020(2)(d)(1)] requires that discussion at a public meeting cannot exceed the scope of a clearly and completely stated agenda topic." *Id*, 119 Nev. at 154, 67 P.3d at 905. Here, the Committee violated the Open Meeting Law by exceeding the scope of the agenda statement "when it discussed the details of the report, criticized the UNLV police department, and commented on the impact of drug use on the campus." The Court said the Committee's agenda statement did not inform the public that these matters would be a topic of discussion. *Id.*, 119 Nev. at 155, 67 P.3d at 906.

Many other complaints received by the Office of the Attorney General have to do with public bodies wandering off their agendas. Discussions may start on an agenda item but then drift off into other matters. (See AG File No. 10-014 (February 25, 2010) for an example of a deliberate discussion of a person's character without notice and beyond the scope of the agenda item.) The chair for a public meeting or its counsel should be vigilant to stop the discussion from drifting in order to prevent Open Meeting Law violations. See OMLO 98-03 (July 7, 1998) for an example of how a public body can violate the Open Meeting Law by wandering off its meeting agenda. See also OMLO 99-09 (July 28, 1999) for an example of how a budget workshop designated for discussion and review of a proposed budget resulted in several

violations of the Open Meeting Law, when members of the public body made decisions on various items within the proposed budget.

Deviating from the agenda by commencing a meeting prior to its noticed meeting time violates the spirit and intent of the Open Meeting Law and nullifies the purpose of the notice requirements set forth in NRS 241.020(2). See OMLO 99-13 (December 13, 1999).

In this Open Meeting law opinion, the public body's Chairman brought up new subjects unrelated to agenda item. A Commissioner interjected a call for a parliamentary point-of-order. Even though the Chair's remarks strayed beyond the agenda item, which was "review and discussion of written items sent or received by the Commission since the last regular meeting and to send correspondence copies for the exhibit file," the Chair ignored the point of order. His refusal to acknowledge the point-of-order and return to the subject matter of the agenda was a violation of the OML. The OML does not permit a public body to discuss a matter not on the agenda as long as no action is taken. The OML clearly states that each agenda item must be "clearly and completely" set forth. It is not conditional on whether it is an informational item or an action item. AG File No. 09-031 (October 22, 2009)

#### § 6.04 Matters brought up during public comment; meeting continued to another date

The Open Meeting law requires multiple periods of public comment on each public body agenda. No action may be taken upon a matter raised in public comment or anywhere else on the agenda, until the matter itself has been included specifically on a future agenda as an item upon which action may be taken.

Restrictions on public comment must be reasonable and must be noticed on the agenda, i.e., time limitations. NRS 241.020(2)(d)(7), see § 8.04, infra. Restrictions must be viewpoint neutral. At least one of the multiple periods of public comment must allow the public to speak about any matter within the public body's jurisdiction, control, or advisory power. See § 8.04 for the requirements for conducting the public comment period. The Open Meeting Law does not limit a public body's discretion to refuse to place on the agenda an item requested by a member of the public. Any limits are a matter of general administrative law. See AG File No. 00-047 (April 27, 2001).

Where a meeting is continued to a future date, the reconvened meeting must have the same agenda or portion thereof at the later date. The new date is a second, separate meeting for purposes of notice and public comment, and a member of the public is entitled to make public comment on the same subject at both meetings. [For explanation of the public comment requirement, *see* AG File No. 01-012 (May 21, 2001).]

#### § 6.05 Meeting that must be continued to a future date

A meeting which is continued to a future date where the continuation date does not appear on the original agenda must be re-noticed as a new meeting. The agenda must be posted according to NRS 241.020(2) (three working days before the noticed meeting) whether the new agenda carries over items from the prior agenda or whether it adds new items. The new date is a

second, separate meeting for purposes of notice and public comment, and a member of the public is entitled to make public comment on the same subject at both meetings.

A meeting may be recessed and reconvened on the same date it was noticed without violation of the notice provisions of the OML.

# Part 7 WHAT ARE THE REQUIREMENTS FOR CONDUCTING AN OPEN MEETING?

### § 7.01 General

In conducting meetings, one always should remember the message in NRS 241.010: "In enacting this chapter, the Legislature finds and declares that all public bodies exist to aid in the conduct of the people's business. It is the intent of the law that their actions be taken openly and that their deliberations be conducted openly." In interpreting a similar provision in California's open meeting law, the court of appeals delivered a humbling message when it said:

"The people of this state do not yield their sovereignty to the agencies which serve them. The people, in delegating authority, do not give their public servants the right to decide what is good for the people to know and what is not good for them to know. The people insist on remaining informed so that they may retain control over instruments they have created."

Stockton Newspapers, Inc. v. Redevelopment Agency, 214 Cal.Rptr. 561, 63 (Ct. App. 1985) (quoting Cal. Gov't Code § 54950 (West 1985).

Accordingly, NRS 241.020 requires that, except as otherwise provided by statute, all meetings of public bodies must be open and public, and all persons must be permitted to attend any meeting of these bodies; NRS 241.040 makes the wrongful exclusion of any person from a meeting a misdemeanor.

#### § 7.02 Facilities

Public meetings should be held in facilities that are reasonably large enough to accommodate anticipated attendance by members of the public.

Sometimes controversial public issues generate a larger-than-expected crowd and a change of location or other methods (e.g., video transmission in adjoining rooms or areas) may have to be employed in order to accommodate those persons seeking to attend a particular meeting. But even if reasonable efforts like these prove inadequate to accommodate everyone, the meeting still would qualify as a public meeting for purposes of the Open Meeting Law. *Gutierrez v. City of Albuquerque*, 631 P.2d 304 (N.M. 1981).

Public bodies should avoid holding public meetings in places to which the general public does not feel free to enter, such as a restaurant, private home, or club. While perhaps not in violation of the letter of the Open Meeting Law, a meeting in such a location may be in violation of the law's spirit and intent. *Cf. Crist v. True*, 314 N.E.2d 186 (Ohio Ct. App. 1973). It is unlawful to start a meeting before the public is allowed into the room. The public body must wait until the public has been admitted to the meeting facility before commencing the meeting. *See* AG File No. 01-002 (April 5, 2001).

#### § 7.03 Accommodations for physically handicapped persons

NRS 241.020(1) provides that public officers and employees must make "reasonable efforts to assist and accommodate physically handicapped persons desiring to attend" meetings of a public body. In order to comply with this statute, it is required that public meetings be held, whenever possible, only in buildings that are reasonably accessible to the physically handicapped, i.e., those having a wheelchair ramp, elevators, etc., as may be appropriate. *See Fenton v. Randolph*, 400 N.Y.S.2d 987 (N.Y. Sup. Ct. 1977).

#### § 7.04 Public comment: multiple periods of public comment

NRS 241.020(2)(d)(3) requires that public bodies adopt one of two alternative public comment agenda procedures:

- **First**, a public body may comply by agendizing one public comment period before any action items are heard by the public body <u>and later it must</u> hear another period of public comment before adjournment.
- The **second** alternative also involves multiple periods of public comment which must be heard after discussion of each agenda action item, <u>but</u> before the public body takes action on the item.
- **Finally**, regardless of which alternative is selected, the public body must allow the public some time, before adjournment, to comment on any matter within the public body's jurisdiction, control, or advisory power. This would include items not specifically included on the agenda as an action item.

Discussion of public comment is specifically allowed under NRS 241.020(2)(d)(3). This statute was amended in 1991. Now, it allows discussion of public comment with the public body.

NRS 241.020(2)(d)(3) provides that the public body must allow periods devoted to comments by the general public, if any, and **discussion of those comments**, if the public body chooses to engage the public in discussion. The statute does not mandate discussion with the public, but it does allow discussion.

A public body may not inform the public that it legally is prohibited from discussing public comments, either among themselves, or with speakers from the public. NRS 241.020(2)(d)(3) clearly allows discussion with members of the public. Of course, no matter raised in public comment may be the subject of either deliberation or action. AG File No. 10-037 (October 19, 2010); see § 5.01 for definition of "deliberation."

# § 7.05 Reasonable time, place, and manner restrictions apply to public meetings

Except during the public comment period required by NRS 241.020(2)(d)(3), the Open Meeting Law does not mandate that members of the public be allowed to speak during meetings;

however, once the right to speak has been granted by the Legislature (NRS 241.020(2)(3)), the full panoply of First Amendment rights attaches to the public's right to speak. The public's freedom of speech during public meetings vigorously is protected by both the U.S. Constitution and the Nevada Constitution. Freedom of expression upon public questions is secured by the First Amendment. *New York Times Co. v. Sullivan*, 376 U.S. 254, 269 (1964). This constitutional safeguard was fashioned to assure an unfettered interchange of ideas for bringing about political and social changes desired by the people.

The *New York Times* Court said that: "[a] rule compelling the critic of official conduct to guarantee the truth of all his factual assertions and to do so on pain of libel judgment . . . leads to . . . self-censorship and would deter protected speech." *See* AG File No. 11-024 (November 21. 2011) (chairman of public body may not forbid public comment based on his disagreement with the speaker about the truthfulness of his comment).

Both California and Nevada constitutional provisions (Nevada Constitution Article 1, section 9) regarding freedom of speech are identical. The California Supreme Court expressed the strength of these constitutional provisions, when in 1896, it observed that "Every person may freely speak, write and publish his or her sentiments on all subjects, being responsible for the abuse of this right . . . ." In *Dailey v. Superior Court*, 112 Cal. 94, 97, 44 P. 458 (1896), the court continued and said that "the wording of this section is terse and vigorous, and its meaning so plain that construction is not needed. It is patent that these rights to speak, write, and publish, cannot be abused until it is exercised, and before it is exercised there can be no responsibility."

It also is settled law that reasonable rules and regulations during public meetings ensure orderly conduct of a public meeting and ensure orderly behavior on the part of those persons attending the meeting. Public bodies may adopt reasonable restrictions, including time limits on individual comment, but NRS 241.020(2)(d)(7) requires all restrictions on public comment to be expressed clearly on each agenda.

See AG File No. 10-021 (July 6, 2010). The OML allows considerable discretion to the public body as to length of time allowed to speakers. There is no statutory or constitutional requirement that each speaker's time be correlated mathematically. However, any public comment limitation, including when public comment will be allowed and whether public comment will be allowed on current items on the agenda, clearly must be articulated on the public body's agenda. See § 8.03 above. OMLO 99-08 (July 8, 1999); see also AG File No. 07-019 (July 17, 2007) (Board put an "as time allows" restriction on the public's right to speak, this restriction was unreasonable); see also AG File No. 07-020 (October 25, 2007) (public body was advised that the absence of any statement of policy regarding public comment was a violation).

*See* OMLO 99-08 (July 8, 1999). Requiring prior approval of the use of electronic devices during public comment is reasonable and not in violation of the Open Meeting Law. *See* AG File No. 00-046 (December 11, 2000).

See OMLO 99-11 (August 26, 1999). The Office of the Attorney General believes that any practice or policy that discourages or prevents public comment, even if technically in compliance with the law, may violate the spirit of the Open Meeting Law, such as where a public body required members of the public to sign up three and one-half hours in advance to speak at a

public meeting. This practice can have the effect of unnecessarily restricting public comment and therefore does not comport with the spirit and intent of the Open Meeting Law.

A public body's restrictions must be neutral as to the viewpoint expressed, but the public body may prohibit comment if the content of the comments is a topic that is not relevant to, or within the authority of, the public body, or if the content of the comments is willfully disruptive of the meeting by being irrelevant, repetitious, slanderous, offensive, inflammatory, irrational, or amounting to personal attacks or interfering with the rights of other speakers. *See* AG File No. 00-047 (April 27, 2001).

See AG File No 11-035 (December 23, 2011). In fact, the Ninth Circuit has long recognized that First Amendment rights of expression are more limited during a meeting than in a public forum, such as, for example, a street corner. See Norse v. City of Santa Cruz, 586 F.3d 697, 699 (9th Cir. 2009), rev'd on other grounds, 629 F.3d 966 (9th Cir. 2010), cert. denied, City of Santa Cruz, Cal. v. Norse, 132 S.Ct. 112 (2011). Moreover, government officials performing discretionary functions are entitled to qualified immunity where they reasonably believe their actions to be lawful. Id. (citing Saucier v. Katz, 533 U.S. 194, 202 (2001)). The interpretation and the enforcement of rules during public meetings are highly discretionary functions. Id. (citing White v. City of Norwalk, 900 F.2d 1421, 1426 (9th Cir.1990) ("[T]he point at which speech becomes unduly repetitious or largely irrelevant is not mathematically determinable. The role of a moderator involves a great deal of discretion.")).

There is no First Amendment right to remain in a public meeting. "Citizens are not entitled to exercise their First Amendment rights whenever and wherever they wish." Kindt v. Santa Monica Rent Control Bd., 67 F.3d 266, 269 (9th Cir. 1995) (upholding a rent control board's action in ejecting a speaker several times because his conduct disrupted the orderly processes of meetings). The Court of Appeals for the Ninth Circuit has held that "limitations on speech at [city council and city board] meetings must be reasonable and viewpoint neutral, but that is all they need to be." *Id.* at 271. A public body may not, in effect, close an open meeting by declaring that the public has no First Amendment right whatsoever once the public comment period has closed. Norse v. City of Santa Cruz, 629 F.3d 966, 975 (9th Cir. 2010). As the court previously had explained in White v. City of Norwalk, 900 F.2d 1421, 1426 (9th Cir. 1990), the entire meeting held in public is a limited public forum, from beginning to the end, not just portions of it. The fact that a city may impose reasonable time, place, and manner limitations on speech does not mean that by doing so it can transform the nature of the forum, much less extinguish all First Amendment rights. In Santa Cruz, a provocative gesture that was made after the public comment period closed still was subject to a determination of whether it enjoyed First Amendment viewpoint protection.

Right to public comment was denied when the Chair made the individual choose between public comment at the meeting or possibly lose her promised chance to have a future agenda topic devoted to her issue. This choice meant the individual could speak only once about a matter within the body's jurisdiction and control. Public comment during a public meeting has been bestowed by statute but once bestowed only may be restricted or limited in a constitutional manner. An individual's right to comment is subject to reasonable time, place, and manner

restrictions, but the Chair's offer of a choice to this speaker was not based on constitutionally valid time, place, or manner restrictions. *See* AG File No. 10-012 (May 18, 2010).

A member of the public may not be excluded from a tour taken by a public body during a meeting, for example, where a jail advisory committee scheduled a tour of the county jail. While the sheriff may have authority to exclude persons, if persons are excluded, the public body violates the Open Meeting Law if the tour is taken without the excluded member of the public. *See* AG File No. 00-013 (March 30, 2001).

When public comment is allowed during the consideration of a specific topic, the chairperson may require public comment to be relevant to the topic, provided the restriction is viewpoint neutral. When public comment is not allowed during the consideration of a specific topic on the agenda, the public body must allow at least one general period of public comment during that meeting where the public may speak on any subject within the jurisdiction, control, or advisory authority of the public body. *See* AG File No. 01-022 (May 31, 2001) and AG File No. 00-047 (April 27, 2001).

# § 7.06 Excluding people who are disruptive

If a person willfully disrupts a meeting, to the extent that its orderly conduct is made impractical, the person may be removed from the meeting. NRS 241.030(4)(a). See AG File No. 10-006 (April 13, 2010). Complainant's removal from the room by security was justified based on an intentional disturbance generated by the volume of comments which were audible to the Board and which prevented orderly conduct of the meeting. The chair of the public body may, without a vote of the body, declare a recess to remove a person who is disrupting the meeting. See AG File No. 00-046 (December 11, 2000). See § 8.04 above, for further detailed discussion of reasonable restrictions during a public meeting.

### § 7.07 Excluding witnesses from testimony of other witnesses

Under NRS 241.030(4)(b), a witness may be removed from a public or private meeting during the testimony of other witnesses. This applies even if the witness is an employee of the state agency that is prosecuting the case. Unless otherwise stipulated, the witness may continue to be excluded after he/she testifies. *See* Op. Nev. Att'y Gen. No. 93 (November 21, 1963). The witness should be allowed entrance after all other witnesses have testified. Aside from these witness exclusion rules, remember that NRS 241.033(4) prohibits the public body from excluding the person being considered under NRS 241.030 at any time during the closed meeting, as well as his/her representative or attorney.

# § 7.08 Votes by secret ballot forbidden; voting requirements for elected public bodies voting requirements for appointed public bodies (NRS 241.0355)

Since a secret ballot defeats the accountability of public servants, vote by secret ballot is not permitted under the Open Meeting Law. *Cf. News & Observer Publ'g Co. v. Interim Bd. of Educ.*, 223 S.E.2d 580 (N.C. Ct. App. 1976); *Olathe Hosp. Found., Inc. v. Extendicare, Inc.*, 539

P.2d 1 (Kan. 1975); State ex rel. Wineholt v. Laporte Super. Ct. No. 2, 230 N.E.2d 92 (Ind. 1967).

But that does not mean all votes must be by roll call. The Open Meeting Law is satisfied if a vote is by roll call, show of hands, or any other method so that the vote of a public official is made known to the public at the time the vote is cast. *Esperance v. Chesterfield Twp. of Macomb County*, 280 N.W.2d 559 (Mich. Ct. App. 1979).

A public body that is required to be composed only of elected officials may not take action by vote unless at least a majority of all members of the public body vote in favor of the action. A public body may not count an abstention as a vote in favor of an action. NRS 241.0355(1).

In a letter opinion construing public body voting requirements set out in NRS 241.0355, this office determined that the Regional Transportation Commission of Southern Nevada (RTC) was composed of elected officials from statutorily designated public bodies in Clark County; therefore, it is an elected public body subject to the voting requirements of NRS 241.0355. Before action can be taken by RTC, NRS 241.0355 requires a majority of the RTC members to vote affirmatively. There can be no reduction in quorum due to the absence of one or more commissioners where the public body is required to be composed of elected officials, even if they are appointed to the RTC by the membership of another elected public body. Letter opinion to Chairman Larry Brown, Regional Transportation Commission of Southern Nevada, July 8, 2011.

#### "Action" means:

- (a) If a public body has a member who is not an elected official, an affirmative vote taken by a majority of the members present, whether in person or by means of electronic communication, during a meeting of the public body, but;
- (b) If all the members of a public body must be elected officials, an affirmative vote taken by a majority of all the members of the public body. *See* NRS 241.015(1).

For example, if only three members of a five person county commission (elected body) are present at a meeting, the three cannot take action by a 2-to-1 vote; the vote must be 3 to 0, since a majority (3) must be in favor of the action.

The Open Meeting Law never can force a public body to take action on any agenda topic. *See* AG File No. 00-018 (June 8, 2000). NRS 241.020(2)(d)(6)(III) (public body may remove an item from the agenda at any time or delay its discussion at any time).

The Legislature encourages appointed or elected members of public bodies to vote—not abstain. NRS 281A.420(4)(b) states: "Because abstention by a public officer disrupts the normal course of representative government and deprives the public and the public officer's constituents of a voice in governmental affairs, the provisions of NRS 281A.420 are intended to require abstention only in clear cases where the independence of

judgment of a reasonable person in the public officer's situation would be materially affected by the public officer's acceptance of a gift or loan, significant pecuniary interest, or commitment in a private capacity to the interests of another person."

# § 7.09 Audio and/or video recordings of public meetings by members of the public

Under NRS 241.035(3), members of the public may be allowed to record on audio tape or any other means of sound or video reproduction if it is a public meeting and the recording in no way interferes with the conduct of the meeting.

# § 7.10 Telephone conferences

See § 5.05 for a discussion of the proper way to conduct telephone conferences.

# § 8.01 General

This part discusses when closed meetings (sometimes referred to as "executive sessions" or "personnel sessions") may be held and how they should be conducted.

The opening clause in NRS 241.020(1) provides that all meetings must be open and public "except as otherwise provided by specific statute." The words "specific statute" are important ones. The Nevada Supreme Court is reluctant to imply exceptions to the rule of open meetings and looks for a specific statute mandating the exception or exemption. See McKay v. Board of County Commissioners, 103 Nev. 490, 746 P.2d 124 (1987). See also Op. Nev. Att'y Gen. No. 150 (November 8, 1973). In 2015, the Legislature amended NRS 241.016(3). Any provision of law, including NRS 91.270, 239C.140, 281A.350, 281A.440, 281A.550, 284.3629, 286.150, 287.0415, 288.220, 289.387, 295.121, 360.247, 385.555, 386.585, 392.147, 392.467, 392.656, 392A.105, 394.1699, 396.3295, 433.534, 435.610, 463.110, 622.320, 622.340, 630.311, 630.336, 639.050, 642.518, 642.557, 686B.170, 696B.550, 703.196, and 706.1725, which provides that any meeting, hearing, or other proceeding is not subject to the OML or otherwise authorizes or requires a closed meeting, hearing, or proceeding, prevails over the OML.

NRS 241.020(1) was amended in 2009 with additional clarifying language. The 2009 amendment not only emphasized the importance of statutory authority before a meeting may be closed, but it also requires strict adherence to the statutory limits imposed on scope of the meeting. The Open Meeting Law is entitled to a broad interpretation to promote openness in government and any exceptions thereto should be construed strictly. *McKay v. Board of Supervisors*, 102 Nev. 644, 730 P.2d 438 (1986). Thus, closed sessions should be allowed only when specifically authorized and their scope must be tightly controlled.

#### § 8.02 When closed sessions may be held

Closed sessions may be held:

- (1) By any public body to consider character, alleged misconduct, professional competence, or the physical or mental health of a person, with some exceptions, or to prepare, revise, administer, or grade examinations administered on behalf of the public body, or to consider an appeal by a person of the results of an examination administered on behalf of the public body. *See* NRS 241.030 and § 9.04.
- (2) By the Public Employees Retirement Board: (1) to meet with investment counsel, provided the closed session is limited to planning future investments or the establishment of investment objectives and policies, and (2) to meet with legal counsel provided the closed session is limited to advice on claims or suits by or against the system. NRS 286.150(2).

- (3) By the State Board of Pharmacy to deliberate on the decision in an administrative action (subsequent to a public evidentiary hearing) or to prepare, grade, or administer examinations. *See* NRS 639.050(3) and Op. Nev. Att'y Gen. No. 81-C (June 25, 1981).
- (4) By any public body to take up matters or conduct activities that are exempt under the Open Meeting Law. See Part 4 of this manual. If the public body has other matters that must be considered in an open meeting, the Office of the Attorney General believes that a public body may take up an exempt matter during the open meeting if it desires. However, by virtue of the exemption, none of the open meeting requirements will apply to the exempt activity, although it is recommended that a motion or announcement be made identifying the activity as an exempt activity to avoid confusion between an exempt activity and a closed session to which certain open meeting requirements may otherwise apply.
- (5) By public housing authorities when negotiating the sale and purchase of property, but the formal acceptance of the negotiated settlement should be made in an open meeting. *See* Op. Nev. Att'y Gen. No. 372 (December 29, 1966).
- (6) As authorized by a specific statute. NRS 241.020(1).

#### § 8.03 When closed sessions may not be held

Closed sessions may not be held:

- (1) To discuss the appointment of any person to public office or as a member of a public body. NRS 241.030(4)(d). See discussion in § 9.04.
- (2) To consider the character, alleged misconduct, or professional competence of an elected member of a public body, or a person who is an appointed public officer or who serves at the pleasure of a public body as a chief executive or administrative officer or in a comparable position, including, without limitation, a president of a university, state college, or community college within the Nevada System of Higher Education, a superintendent of a county school district, a county manager, and a city manager. *See* NRS 241.031(1)(a) and (1)(b) and *cf.* Op. Nev. Att'y Gen. 81-A (February 23, 1981), written before NRS 241.031 was enacted.

[Note: The above prohibition does not apply if the consideration of the character, alleged misconduct, or professional competence of the person does not pertain to his or her role as an elected member of a public body or an appointed public officer or other officer described above. NRS 241.031(2).]

(3) When a request to open the meeting is made by the person whose character, alleged misconduct or professional competence, or physical or mental health is being considered, the public body must open the meeting at that time unless the consideration of the character, alleged misconduct, professional competence, or physical or mental health of

the requester involves the appearance before the public body of another person who does not desire that the meeting or relevant portion thereof be open to the public. The request to open the meeting may be made at any time during the hearing. NRS 241.030(2). If a necessary witness requests that the meeting remain closed, the public body must close that portion of the meeting, and open subsequent portions at the request of the person being considered. NRS 241.030.

- (4) To conduct attorney-client communications, unless the communications fall under the exemption in NRS 241.015(3)(b)(2). *See* discussion in § 4.05 of this manual.
- (5) To select possible recipients for awards. To the extent that a public body is considering the character, alleged misconduct, professional competence, or physical or mental health of a person under consideration for receipt of a public award, a public body may meet in closed session to discuss such matters. However, any vote taken with respect to granting the award must be in a public meeting. NRS 241.030.
- (6) To consider indebtedness of individuals to a hospital. The Office of the Attorney General has determined that county hospital board meetings that relate to indebtedness of individuals to the hospital are required to be open and public. *See* Op. Nev. Att'y Gen. No. 148 (October 2, 1973).
- (7) By a local ethics board to discuss past conduct of a public official. *See* Op. Nev. Att'y Gen. No. 94-21 (July 29, 1994).
- (8) Where not authorized by law.

# § 8.04 Closed meeting; definition of "character" and "competence"; employment interviews and performance evaluations; notice requirements

NRS 241.030(1) states: "Except as otherwise provided in this section and NRS 241.031 and 241.033, a public body may hold a closed meeting to consider the character, alleged misconduct, professional competence, or physical or mental health of a person." The Open Meeting Law does not *require* a public body to close a meeting to the public. *See* NRS 241.030(4)(c).

It is important to remember that NRS 241.033 requires personal notice be provided to the person being considered before closing a meeting, pursuant to NRS 241.030, and as a practical matter, a notice pursuant to NRS 241.033 should contain the informational statement regarding administrative action under NRS 241.033(2)(b). *See* § 6.09 and § 6.10 *supra*.

A public body must start its public meeting in the open and then it may close the meeting after passing a motion specifying the nature of the business to be considered in closed session and the statutory authority pursuant to which the public body is authorized to close the meeting. In 2009, the Legislature added an important emphasis to the scope of a closed meeting, putting parameters on the business that can be considered in closed session. NRS 241.020(1) was

amended emphasizing that a meeting must not exceed the scope of the statutory authorization for closure. A public body may not stray from the statutory authorization to close a meeting. A public body may not set the parameters of the meeting; it must follow and obey statutory parameters.

The exceptions to closed meetings under NRS 241.030 are discussed *supra* in § 9.03.

The word **"character"** was defined in *Miglionico v. Birmingham News. Co.*, 378 So. 2d 677 (Ala. 1979) to include one's general reputation. It also might include such personal traits as honesty, loyalty, integrity, reliability, and such other characteristics, good or bad, which make up one's individual personality.

In Op. Nev. Att'y Gen. No. 81-A (February 23, 1981), the Office of the Attorney General, citing Black's Law Dictionary, opined that *character* encompassed "[t]hat moral predisposition or habit or aggregate of ethical qualities, which is believed to attach to a person on the strength of the common opinion and report concerning him. A person's fixed disposition or tendency, as evidenced to others by his habits of life, through the manifestation of which his general reputation for the possession of a character, good or otherwise, is obtained." Op. Nev. Att'y Gen No. 81-A further opined that the word *competence* included being "[d]uly qualified; answering all requirements; having sufficient ability or authority; possessing the natural or legal qualifications; able; adequate; suitable; sufficient; capable; legally fit.

Closed sessions may be held only to *consider* the character, alleged misconduct, professional competence, or physical or mental health of a person. The Open Meeting Law does not permit taking *action* in closed session on such matters. This distinction was drawn in *McKay v. Bd. of Supervisors*, 102 Nev. 644, 730 P.2d 438 (1986), where it was held the board did not violate the Open Meeting Law when it went into closed session to discuss the character, alleged misconduct, and professional competence of the city manager, but terminating the city manager in closed session violated the law. *See also* Op. Nev. Att'y Gen. No. 81-A (February 23, 1981) and Op. Nev. Att'y Gen. No. 81-C (June 25, 1981).

The *McKay* decision has important implications for employment interviews and performance evaluations. (*See* § 4.05, *infra*). While the delineated attributes of individual employment candidates may be discussed in closed session, the public body may not use the closed session to narrow down candidates or begin the selection process. *See Brown v. East Baton Rouge Parish School Bd.*, 405 So. 2d 1148 (La. Ct. App. 1981). Similarly, while the delineated attributes of existing employees may be discussed in closed session, evaluation forms may not be filled out during the closed session, nor may the public body form recommendations or decisions about a rating or an action to take. Those tasks must be done in an open meeting or delegated to a member to handle. The closed session must be limited to specific discussions about the specific person. General discussions about general policies or practices may not be held during a closed session. *See Hudson v. Sch. Dist. of Kansas City*, 578 S.W.2d 301 (Mo. Ct. App. 1979).

While it can be difficult to properly describe an action item relating to a closed personnel session, because one cannot anticipate the outcome of the closed session, one can describe, on the agenda, the parameters of allowable action by stating "possible action including, but not limited to, termination, suspension, demotion, reduction in pay, reprimand, promotion, endorsement, engagement, retention, or 'no action'." *See* AG File No. 00-007 (June 1, 2000).

The statutes do not authorize closure for general "personnel sessions." Closed sessions are authorized only for discussion of the matters specifically listed in NRS 241.030 or in another specific statute elsewhere in the NRS. See § 4.02, Statutory exemptions infra; see AG File No. 00-043 (January 24, 2001). It is not adequate to vaguely state that the closed session is regarding an individual (such as a manager). The agenda description must specifically state the nature of the business to be considered and the statutory authority authorizing the closed session. If a person's character, professional competence, alleged misconduct, or physical or mental health is the topic of the discussion, the person's name must appear on the agenda. NRS 241.020(2)(d)(4); see AG File No. 00-050 (March 28, 2001).

See AG File No. 08-037 (February 26, 2009). Board members and the public engaged in a discussion of a county employee's character and professional competence without providing the employee notice as required under NRS 241.033.

See OMLO 2004-01 (January 13, 2004) where the Office of the Nevada Attorney General opined that *deliberations* as defined in §5.01 *supra*, are not allowed in a closed meeting pursuant to NRS 241.030.

### § 8.05 The appointment to "public office" closed meeting prohibition

Under NRS 241.030(4)(d), closed sessions may not be held "for the discussion of the appointment of any person to public office or as a member of a public body." This prohibition was discussed in *City Council of City of Reno v. Reno Newspapers, Inc.*, 105 Nev. 886, 784 P.2d 974 (1989). In that case, the city council conducted employment interviews for the city clerk position in the open and then held a brief, closed meeting to discuss the character and professional competence of candidates. The council went back into open session to make the selection, but it was held that the closed session was still a violation of the Open Meeting Law. The Nevada Supreme Court construed the prohibited "discussion of the appointment" to include "all consideration, discussion, deliberation and selection done by a public body in the appointment of a public officer." The ruling seems to cover all aspects of the appointment process.

The Open Meeting Law does not define "public officer," but the Nevada Supreme Court (see below) has approved the use of the definition of public officer found in NRS 281.005. NRS 281A.160 also provides a definition of public officer and it also construes the meaning of "the exercise of a public power, trust or duty." In Op. Nev. Att'y Gen. No. 193 (September 3, 1975), the Office of the Attorney General opined that NRS 241.030(4)(d) [formerly NRS 241.030(3)(e)] encompasses: (1) all elected public officers, and (2) all persons appointed to positions created by law whose duties are specifically set forth in law and who are made responsible by law for the direction, supervision, and control of their agencies. See also OMLO

2004-01 (January 14, 2004). In *City Council v. Reno Newspapers, Inc.*, 105 Nev. 886, 784 P.2d 974 (1989), NRS 281.005 was used by stipulation of the parties to define public officer.

## § 8.06 How to handle closed sessions to consider character, allegations of misconduct, professional competence, or physical and mental health of a person

For closed sessions under NRS 241.030(1), the following procedures are required or recommended:

Start with a duly noticed open meeting. Closed meetings are still "meetings" within the definition and ambit of the Open Meeting Law.

To assure compliance with the spirit of NRS 241.020(2)(d)(1), it is recommended the matter be indicated on the agenda as a closed session under NRS 241.030(1), and the person's name being considered must be included on the agenda pursuant to NRS 241.020(c)(4). An agenda item of "Executive Session" does not adequately describe a closed session. *See* AG File No. 00-021 (September 7, 2000).

The closed session should not be listed as an "action" item on the agenda because action cannot be taken during the closed session. *See* discussion in § 9.04.

If action might be taken on the matter, be sure to include a separate item on the agenda for action to be taken during open session. *See* discussion in § 9.04.

Give notice to the subject person as required by NRS 241.033(1). See § 6.09.

At the meeting, a motion must be made to go into closed session, and the motion must specify the business to be considered during the closed session and the statutory authority pursuant to which the public body is authorized to close the meeting. NRS 241.030(3). *See* AG File No. 01-021 (May 14, 2001), which was drafted prior to the 2005 Legislative Session. Only the business identified in the motion may be discussed. As stated in Op. Nev. Att'y Gen. No. 81-A (February 23, 1981), the purpose of the motion is two-fold: (1) so members of the public body understand the parameters of what can be discussed in closed session so as not to deviate from the strict requirements of the law, and (2) to assure that notice is given to the person being discussed so he/she can obtain a copy of the minutes.

The public body must permit the person being considered and his/her representative to attend the closed meeting. NRS 241.033(4). It is up to the chairperson to decide who else shall be included in the closed session, or the chairperson can determine who may attend through a majority vote of the public body, which occurs in an open meeting. NRS 241.033(5).

Before proceeding with the discussion, make sure that proof of service of the notice to the person has been received. If not, the closed session may not proceed, absent waiver. *See* NRS 241.033(1) and § 6.09.

The closed session must be tape-recorded. NRS 241.035(4). As the recordings of closed sessions are treated differently than those of open sessions, NRS 241.035(2), it is recommended the closed session be recorded on a separate tape.

The person being considered must be permitted to present written evidence, testimony and present witnesses relating to his character, alleged misconduct, professional competence or physical or mental health to the public body. NRS 241.033(4).

If the subject desires to record the closed session, the Office of the Attorney General recommends that he or she be permitted to do so. NRS 241.035(3).

Minutes must be kept of the closed session, and they must be prepared with the same detail as minutes of the open session. NRS 241.035(2).

Op. Nev. Att'y Gen. No. 81-A (February 23, 1981) contains a lengthy discussion about the improper use and conduct of an executive session, and the possible remedy.

## Part 9 WHAT RECORDS MUST BE KEPT AND MADE AVAILABLE TO THE PUBLIC? (See Sample Form 2)

### § 9.01 General

This part discusses the requirements for preparing, preserving, and disclosing minutes of meetings.

### § 9.02 Requirement for and content of written minutes (See Sample Form 2)

NRS 241.035 requires that written minutes be kept by all public bodies of each meeting they hold regardless of whether the meeting was open or closed to the public. The minutes must include:

- a. The date, time, and place of the meeting;
- b. The names of the members of the public body who were present, whether in person or by means of electronic communication, and those who were absent;
- c. The substance of all matters proposed, discussed, or decided and, at the request of any member, a record of each member's vote on any matter decided by vote;
- d. The substance of remarks made by any member of the general public who addresses the body if he/she requests that the minutes reflect his or her remarks, or if he/she has prepared written remarks, a copy of his/her written remarks if he/she submits a copy for inclusion; and
- e. Any other information that any member of the body requests be included or reflected in the minutes.

See OMLO 98-03 (July 7, 1998) for an example of how a public body may violate the Open Meeting Law by failing to reflect, in its meeting minutes, the substance of the discussion by the members of the public body of certain relevant matters.

Verbatim minutes are not required by OML. There is no requirement in NRS 241.035(1) that verbatim remarks be included in the minutes at the request of any person. NRS 241.035(1) use of the phrase "any other information" does not include the right to have the public body insert verbatim remarks in the text of the minutes. Appending prepared written remarks to the minutes is an accommodation which serves the public interest just as efficiently as the insertion of verbatim remarks into the text of the public body's minutes and it also furthers the goal of openness in government. OMLO 2008-03; see AG File No. 08-011 (June 9, 2008)

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### § 9.03 Retention and disclosure of minutes

Minutes or audio recordings of public meetings are declared by the Open Meeting Law to be public records and must be available for inspection by the public within 30 working days after the meeting is adjourned. *See* NRS 241.035(2) and OMLO 99-06 (March 19, 1999).

In the case of a public body that meets infrequently, formal approval of the minutes of a previous meeting may be delayed several months. NRS 241.035(1) states that unless good cause is shown, a public body shall approve the minutes of a meeting within 45 days after the meeting or at the next meeting of the public body, whichever occurs later. The unapproved minutes must be made available within the time specified in NRS 241.035(2) to any person who requests them, together with a written statement that such minutes have not yet been approved and are subject to revision at the next meeting.

The minutes are deemed to have permanent value and must be retained by the public body for at least five years (NRS 241.035(2)), after which they may be transferred for archival preservation in accordance with NRS 239.080-239.125.

Minutes of meetings closed pursuant to NRS 241.030(1)(a) and (1)(c) become public records whenever the public body determines that the matters discussed no longer require confidentiality *and* the person whose character, conduct, competence, or health was discussed has consented to their disclosure. NRS 241.035(2)(a)-(c).

Under NRS 241.033(6), the subject person always is entitled to a copy of the minutes of the closed session upon request, whether or not they ever become public records. In *Davis v. Churchill County Sch. Bd.*, 616 F. Supp. 1310, 1314 (D. Nev. 1985), *remanded*, 823 F.2d 554 (9th Cir. 1987), the court suggested that a student who was the subject of closed hearings may release "any information he or she chooses," which presumably includes minutes or tapes of closed sessions.

### § 9.04 Making and retaining audiotapes or video recordings of meetings

It is a requirement of the Open Meeting Law that each public meeting is audio- or videotaped or transcribed by a reporter who is certified pursuant to Chapter 656 of NRS. NRS 241.035(4). A public body must make a good faith effort to comply with this provision, and if the public body makes a good faith effort to comply, but, for some reason beyond the control of the public body fails to comply, the public body's failure to comply with the provision does not result in a violation of the Open Meeting Law. NRS 241.035(7).

See OMLO 99-09 (July 28, 1999) for an example of the pitfalls associated with using a tape recorder as the sole source for the record of the meeting.

Recordings of closed sessions made by public bodies also must be retained for at least one year but are given the same protection from public disclosure as minutes of closed sessions set out in NRS 241.035(2). The tapes must be made available to the subject of the closed session,

and under NRS 241.035(6), also must be made available to the Office of the Attorney General upon request.

### § 9.05 Fees for inspecting or copying minutes and tapes

The Open Meeting Law requires that minutes and tapes be made available "for inspection" once prepared following a public meeting and does not authorize charging a fee for inspection, since fees for inspection are not authorized by statute. In 2013, the Legislature amended NRS 241.035 to require that a copy of the minutes or audio recording must be made available to a member of the public upon request at no charge. NRS 241.035(2). Court reporters, who report meetings or transcribe recordings of meetings, are exempt from the requirement to provide a copy of the transcription he/she prepares to a member of the public at no charge; court reporters also are not prohibited from charging a fee to the public body for any services relating to the transcription of a meeting. NRS 241.035(5).

### § 10.01 General

When a violation of the Open Meeting Law occurs or is alleged, the Office of the Attorney General recommends that the public body make every effort to immediately correct the apparent violation. Although it may not completely eliminate a violation, corrective action can mitigate the severity of the violation and further ensure that the business of government is accomplished in the open.

The following sections discuss the possible remedies available to the public body for apparent violations of the Open Meeting Law, and a requirement that public bodies include any Attorney General opinion finding an OML violation by the public body on the public body's next agenda. NRS 241.0395.

### § 10.02 Correcting a violation

Some examples of ways to stop, contain, and take corrective action for apparent violations follow. Of course, as circumstances vary, so may the remedies.

a. Improper notice given for meeting.

If proper notice has not been given for a meeting, the meeting must be stopped. *See* OMLO 99-06 (March 19, 1999). To remedy the violation, the Office of the Attorney General believes that the meeting may be convened or continued solely for the purpose of rescheduling a meeting and adjourning. To otherwise continue a meeting after it is discovered that the meeting was not properly noticed could be viewed as evidence of a willful violation of the Open Meeting Law. Discussions of any public significance which were held before the discovery of the improper notice should be repeated at a later meeting. All actions taken before adjournment are void, but may be taken again at a subsequent meeting as discussed below.

b. Discussion of items not stated clearly on agenda.

If a public body begins discussion on an item that is not stated clearly on the agenda, it is recommended that the public body stop the discussion and schedule it for a future meeting under a more comprehensive agenda. At the subsequent meeting, it would be advisable to summarize or repeat the conversations that occurred at the previous meeting.

c. Taking action on items listed as discussion items only.

Remembering the expanded definition of "action" in NRS 241.015(1), if a public body takes action on an item which has not been identified on the agenda as an action item, the action is void but may be taken up again at a future duly-noticed meeting,

where the former action may be rescinded to indicate that the public body understands that the prior action was void. At the subsequent meeting, the rationale for the action should be discussed again or at least the record of the previous meeting be made available.

d. No proof of service on the subject of a meeting to consider character, alleged misconduct, competence, or health.

If there is no proof of service of notice on a person whose misconduct, character, professional competence, or mental or physical health is being considered, and the person is not present, the item must be postponed to another meeting, and the subject must be notified again about the new meeting. If the person is present, he/she may be asked if he or she would be willing to waive the notice requirements. The right to notice must be explained thoroughly to the person, and the person should be given the opportunity, free of threat or pressure, to postpone consideration of the matter or to waive the right to notice. As explained in § 6.09 of this manual, any waiver of the right to notice must be knowing and voluntary. A complete record should be made to resolve allegations that may arise later.

e. Public body voted to rescind earlier votes on items that had not been agendized. Multiple matters were rescinded in a public vote.

Since any action taken on an item that is not properly agendized is void as a matter of law, a public body may vote to rescind the prior vote on an illegal action during the same meeting or in another future public meeting. Otherwise, the public may be confused about the legal status of the prior illegal action. See § 11.03 below. Following rescission items that were the subject of illegal action then may be placed on a future agenda for lawful consideration and possible action. AG File No. 08-002 (May 12, 2008).

f. Effective corrective action can be taken at a meeting even when a serious but inadvertent violation occurs.

Our opinion in OMLO 2008-02: AG File No. 07-051 (February 7, 2008) is an example of how a public body may correct even a serious violation. The Douglas County Board of County Commissioners quickly corrected a violation of the OML during its public meeting. A quorum of the Board had gathered in an unscheduled non-noticed meeting during the Board's recess while Counsel was absent researching a legal issue. A member of the public brought the violation to the attention of the Board at the end of the recess. There had been no recording or minutes taken of this gathering. Board Counsel immediately asked members to explain what had occurred during the recess. In response to questions from counsel, it became clear that the gathering of a quorum to discuss a matter on the agenda was inadvertent. No promises or decisions had been given or made during the recess. To the extent there was deliberation among the quorum, it was corrected by immediate disclosure of what had been discussed during the inadvertent meeting. When the Board reconvened

and disclosure had been made, the Chairman reopened public comment to allow anyone to comment about the violation or anything else. Public comment was not restricted. This prompt action satisfied the legislative mandate found in NRS 241.010. The Douglas County Planning Commission took effective remedial action to correct an acknowledged violation.

In 2013, the Nevada Legislature enacted NRS 241.0365 that allows corrective action by the public body when violations of the OML occur or are alleged. Voluntary corrective action may be taken prior to adjournment of the meeting at which the apparent violation occurs. Otherwise, corrective action of an apparent violation may be taken at a future meeting if the following steps are taken:

- 1. Notice of corrective action must be included as an agenda item for a subsequent meeting at which the public body intends to take correction action; and
  - 2. The public body must take corrective action within 30 days of the apparent violation.

If the public body takes corrective action within 30 days after posting notice of the intent to take corrective action on its agenda, the Attorney General may forego prosecution of the alleged violation if it appears that forbearance is in the best interests of the public.

If the public body takes corrective action within 30 days of the alleged violation, the statutory limitations' period applicable to the time for bring suit by the Attorney General or a private party, pursuant to NRS 241.037, is tolled for 30 days.

Any corrective action taken by the public body to correct an alleged violation is effective only prospectively.

Efforts to correct a violation can mitigate the severity of the violation and may reduce the degree of culpability of the violators. However, even though a violation may have been mitigated by corrective action, the violation still may be the subject of the sanctions detailed below. *See* OMLO 2015-01: AG File No. 13897-141 (January 12, 2016) for an example of how a public body that voluntarily and unanimously takes prompt corrective action as soon as an alleged violation becomes apparent can effectively mitigate the severity of the earlier violation.

### § 10.03 Actions taken in violation are void

The action of any public body taken in violation of any provision of the Open Meeting Law is void, i.e., the action has no legal force or binding effect. NRS 241.036.

However, lawsuits to obtain a judicial declaration that an action is void must be commenced within 60 days after the offending action occurred. NRS 241.037(3).

It appears that only those actions defined in NRS 241.015(1) (decisions, commitments, or affirmative votes by a majority of the members) are voided by NRS 241.036.

### § 10.04 Reconsidering an action that is void

A public body that takes action in violation of the Open Meeting Law, which action is null and void, is not forever precluded from taking the same action at another legally called meeting. *Valencia v. Cota*, 617 P.2d 63 (Ariz. Ct. App. 1980); *Cooper v. Arizona W. Coll. Dist. Governing Bd.*, 610 P.2d 465 (Ariz. Ct. App. 1980); *Spokane Education Ass'n v. Barnes*, 517 P.2d 1362 (Wash. 1974). However, mere perfunctory approval at an open meeting of a decision made in an illegally closed meeting does not cure any defect of the earlier meeting or relieve any person from criminal prosecution for the same violation. *Scott v. Town of Bloomfield*, 229 A.2d 667 (N.J. Super. Ct. Law Div. 1967). The matter should be put on an agenda for an open meeting, and reheard.

The following examples illustrate a few methods used by public bodies to correct OML violations:

- A public body corrected a violation almost two months following the violation. The trustee subcommittee had met in private without notice or agenda to summarize the superintendent's evaluation and backup materials for formal presentation to the trustees at a later meeting. At the later meeting, trustees voted to approve the superintendent's evaluation. Complainant said that the earlier private non-noticed meeting had constituted a subcommittee under the OML and should have been subject to public oversight. Corrective action (despite denial by the chair that a violation had occurred) was taken 55 days later when the subcommittee met for a special meeting prior to the trustee's regular meeting, during which the subcommittee formally approved the evaluation materials and compilation process in a publicly noticed meeting, and it again voted on the superintendent's evaluation, so as to remove any conflict with the OML. AG File No. 09-024 (October 13, 2009).
- A private attorney filed a petition on behalf of a public body. The petition had not been approved or voted on by the public body in open session before it was filed. The public body then agendized the petition for public meeting and voted to ratify the earlier filing of the petition. Even if the complainant's charge that the filing of the petition was an illegal act on behalf of the public body, the OML does not forbid corrective action to either ratify the action complained of, or to reject the action. AG File No. 10-038 (August 24, 2010).
- A public body took immediate corrective action prior to an OML complaint when it redrafted and revised possibly defective agenda items and re-agendized them to a future meeting agenda. AG File No. 10-045 (November 2, 2010).
- An allegation was made that a city council's process to fill a vacancy within its own membership kept the public in the dark as to its deliberations and assessments of the various candidates and that it violated the letter and spirit of the Open Meeting Law. The Henderson City Council took corrective action after this office contacted the city attorney. It released to the public recertified ballots cast by the Council members, each with the signature of the corresponding voting member. The Council's selection process

had been defective because it failed to make known the identity of each member's ballot at the time it was cast or at some time during the meeting. But, failure to verbally deliberate and/or assess the candidates before each ballot was cast was not a violation of the OML. AG File No. 09-029 (November 4, 2009).

### § 10.05 Any person denied a right under the law may bring a civil suit

Under NRS 241.037(2), any person denied a right conferred by the Open Meeting Law may bring a civil suit:

- a. To have an action taken by the public body declared void;
- b. To require compliance with or prevent violations of the Open Meeting Law; or
- c. To determine the applicability of the law to discussions or decisions of the public body.

Additionally, it may be possible for an aggrieved person to seek injunctive relief, as explained in *City Council of City of Reno v. Reno Newspapers, Inc.*, 105 Nev. 886, 784 P.2d 974, 976 (1989).

If the plaintiff prevails, the court may award him/her reasonable attorney's fees and court costs. NRS 241.037(2).

### § 10.06 The Office of the Attorney General may bring a civil suit

The Office of the Attorney General also may bring suit:

- a. To have an action taken by a public body declared void, or
- b. To seek injunctive relief against a public body or person to require compliance with or prevent violations of the Open Meeting Law. The injunction may issue without proof of actual damage or other irreparable harm sustained by any person. NRS 241.037(1).
- c. To seek a monetary civil fine not to exceed \$500.00 in a court of competent jurisdiction for a violation of the OML where the person(s) participated (took affirmative action) in a knowing violation of the OML. NRS 241.040.

If an injunction is obtained, it does not relieve any person from criminal prosecution for the same violation. NRS 241.037(1). *See* §11.07 for further discussion of the A.G.'s policy of enforcement of the OML.

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### § 10.07 Time limits for filing lawsuit; policy for enforcement of complaints

Any suit which seeks to void an action, and/or to require compliance with the provisions of the Open Meeting Law, and/or to seek injunctive relief must be brought within the statutory 60/120 day limitations' periods after the action objected to, is taken. NRS 241.037(3). There are two limitations periods—60 days and 120 days. They run concurrently from the date of an alleged OML violation. If the Attorney General has not brought a suit to void a public body's action within 60 days of the alleged violation, thereafter, the Attorney General is barred from seeking to void the action. But the Attorney General still has jurisdiction under the 120-day limitations' period which continues to run for 60 more days. Should a suit be brought during this period of time, the Attorney General may seek injunctive relief to force compliance with the OML.

Any suit brought to have an action declared void must be commenced within 60 action objected taken to. is by the days NRS 241.037(3). In Kennedy v. Powell, 401 So. 2d 453 (La. Ct. App. 1981), the court observed that the legislature limited suits to challenge actions of public bodies for violation of the open meeting law to a short period of 60 days to ensure a degree of certainty in the actions of public bodies. The 60-day limitation is absolute and is in no way dependent upon knowledge of a violation. According to the court, running of the 60-day time period destroys the cause of action completely. A complaint brought in a court of competent jurisdiction beyond the running of the OML's concurrent 60/120 day limitations' periods, as expressed in NRS 241.037, is subject to dismissal. NRS 11.010.

A suit by the Attorney General seeking monetary civil penalties (NRS 241.040(4)) is subject to a one-year limitations' period following the date of the action taken in violation of this chapter.

The Attorney General's policy for enforcement of Open Meeting Law complaints is:

- The Attorney General may proceed with an appropriate legal action, issue an Open Meeting Law Opinion pursuant to its prosecutorial discretion, or choose not to prosecute an Open Meeting issue prior to the running of the 120-day statute of limitations.
- The Attorney General will not investigate or act upon a complaint alleging an Open Meeting Law violation received after the 120-day statute of limitations unless it is relevant to an existing action or the attorney is commencing a criminal prosecution pursuant to NRS 241.040.
- The Attorney General will not issue an Open Meeting Law Opinion pursuant to his/her prosecutorial discretion after the 120-day statute of limitations.

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### § 10.08 Jurisdiction and venue for suits

A suit may be brought by an aggrieved citizen in the district court in the district in which the public body ordinarily holds its meetings or in which the plaintiff resides. NRS 241.037(2).

A suit brought by the Office of the Attorney General may be brought "in any court of competent jurisdiction." NRS 241.037(1).

However, even though a court has jurisdiction, a defendant may raise objections as to proper venue. *Board of County Comm'rs v. Del Papa*, 108 Nev. 170, 825 P.2d 1231 (1992).

### § 10.09 Standards for injunctions and enforcing injunctions

For a discussion of the standards for imposing injunctions and enforcing them, see City Council v. Reno Newspapers, Inc., 105 Nev. 886, 784 P.2d 974 (1989).

### § 10.10 Criminal sanctions

Each member of a public body who attends a meeting of that body where action is taken in violation of any provision of the Open Meeting Law, with knowledge of the fact that the meeting is in violation thereof, is guilty of a misdemeanor. NRS 241.040(1).

Further, wrongful exclusion of any person or persons from a meeting is a misdemeanor. NRS 241.040(2).

However, a member of a public body who attends a meeting of that public body at which action is taken in violation of the Open Meeting Law is not the accomplice of any other member so attending. NRS 241.040(3).

Upon conviction, punishment may include a jail term of up to six months, a fine not to exceed \$1,000, or both.

In Op. Nev. Att'y Gen. No. 81-A (February 23, 1981), the Office of the Attorney General opined there are two requirements before a criminal prosecution may be commenced under the Open Meeting Law. Those requirements are:

1) Attendance of a member of a public body at a meeting of that public body where action is taken in violation of any provision of the Open Meeting Law. The opinion recognized the distinction in the Open Meeting Law between actions and deliberations and concluded that criminal sanctions may be appropriate when actions are taken in violation of the Open Meeting Law, but where procedural violations occur involving a meeting where no action is taken, civil remedies are made available to compel compliance or prevent such violations in the future.

2) *Knowledge by a member of a public body* that the meeting is in violation of the Open Meeting Law. The opinion held that, when members of a public body rely on advice of counsel, they should not be held to know that a violation occurred.

While the Open Meeting Law does not require the attorney for the public body to be present at a meeting (AG File No. 00-013 (April 21, 2000)), the presence of the attorney may allow the member to receive advice upon which a member can rely as to whether the member knows that the meeting is in violation of the Open Meeting Law.

### § 10.11 Public officers may be removed from office

Under NRS 283.040(1)(d), a person's office becomes vacant upon a conviction of a violation of NRS 241.040, which is discussed in § 10.10 above.

## § 10.12 Filing a complaint; procedure; Attorney General subpoena power; public records

**FILING A COMPLAINT**: A person alleging that the OML has been violated by a public body or that his/her public comment right has been denied, may seek redress in the courts as explained above. That person also may complain to the Office of the Attorney General, but filing a complaint with the Office of the Attorney General does not toll the time periods for the person to take his own action

Under NRS 241.040(4), the Office of the Attorney General must investigate and prosecute alleged violations of the Open Meeting Law. The Office of the Attorney General believes that any person may file a complaint with the Office of the Attorney General even if that person is not aggrieved directly by the offense. See §10.07 above, for an explanation of the Attorney General's policy regarding enforcement of the OML.

All such complaints must be in writing, signed by the complaining person, and contain a full description of the facts known to the complainant. The Office of the Attorney General considers all such complaints to be public records and may release them accordingly. Complaints must be sent to:

Open Meeting Law Coordinator Office of the Attorney General 100 North Carson Street Carson City, Nevada 89701-4717

Complaints may be sent by facsimile to (775) 684-1108.

**INVESTIGATION PROCESS**: Complaints which allege a cognizable violation of the OML will be investigated. The complaint is sent to the public body along with any supporting documents attached to the complaint. The public body is given time to respond to the allegation(s) by written statements, copies of the agenda, minutes, (even if in draft form), video or audio recordings of the meeting, and the Attorney General may subpoena additional relevant documents, records, or materials for purposes of the investigation. After review of the complaint

and the public body's response, the Attorney General may issue a written opinion that resolves the matter, or he/she may initiate a civil or criminal suit seeking compliance with the OML.

Considering the time limits for bringing lawsuits, it is important that complaints be promptly filed with the Office of the Attorney General to allow sufficient time for investigation and evaluation. Investigation of an OML complaint must occur within the 60/120 day limitations periods described in §11.07.

**SUBPOENA POWER:** The Legislature authorized the Attorney General to issue subpoenas when conducting an investigation. NRS 241.039(4) and (5) state: "In any investigation conducted pursuant to subsection 2, the Attorney General may issue subpoenas for the production of any relevant documents, records, or materials. A person who willfully fails or refuses to comply with a subpoena issued pursuant to this section is guilty of a misdemeanor."

Records, relevant documents, or other materials now subject to discovery may include e-mails among members of a public body; records of their phone calls; and other electronic communications made by a member of a public body while engaged in the public body's public business. NRS 241.039.

It is important to remind a public body of the Open Meeting Law's prohibition against "walking quorums" or "constructive quorums" that can be created through conversations with other members or through electronic communication shared among a quorum of a public body. NRS 241.015(3)(a)(2). Subpoena of relevant records may reveal e-mails or phone calls among members which could have to be explained or justified to avoid a violation of the Open Meeting Law.

**PUBLIC RECORDS**: While the complaints themselves are considered public records, investigative files will be held confidential until the investigation is complete, and then the file will become a public record. NRS 241.039(3). Records of closed sessions which are obtained as a part of the investigation will remain confidential until made a public record through the process in NRS 241.035(2)(a)–(c).

## § 10.13 Public notice of Attorney General Opinion finding violation by public body

The 2011 Legislature amended the Open Meeting Law with a new requirement for public bodies designed to provide information and transparency to all members of the public.

NRS 241.0395(1) requires public notice of an Attorney General opinion if the Attorney General makes findings of fact and conclusions of law that a public body has taken action in violation of any provision of NRS 241. The public body must include an item on its next agenda which acknowledges the Attorney General's findings of fact and conclusions of law. The opinion of the Attorney General must be treated as supporting material for the item on the agenda for the purposes of NRS 241.020.

The inclusion of an item on the agenda for a meeting of a public body pursuant to subsection 1 is not an admission of wrongdoing for the purposes of a civil action, criminal prosecution, or injunctive relief. NRS 241.0395(2).

NRS 241.0395 serves the OML's central tenet—transparency. Public notice of the opinion simply is an acknowledgment of a finding by the Attorney General that the public body has taken an action in violation of the OML. The opinion of the Attorney General must be included in supporting materials for that agenda item. The item may be an informational item as there is no statutory requirement that any action be taken. The underlying reason for this change is to provide notice to the public of the Attorney General's opinion and to provide a forum for discussion, if any, between the public and the public body.

### § 10.14 Monetary penalty for willful violation; one-year limitations period

NRS 241.040(4) provides that each member of a public body is subject to a civil penalty not to exceed \$500.00 for participation in a willful violation of the OML. It states:

In addition to any criminal penalty imposed pursuant to this section, each member of a public body who attends a meeting of that public body where action is taken in violation of any provision of this chapter, and who participates in such action with knowledge of the violation, is subject to a civil penalty in an amount not to exceed \$500. The Attorney General may recover the penalty in a civil action brought in the name of the State of Nevada in any court of competent jurisdiction. . . .

Such an action must be commenced within one year after the date of the action taken in violation of this chapter. A civil penalty is applicable only when a member of a public body, who attends a meeting of that public body where action is taken in violation of any provision of the OML, participates in such action with knowledge of the violation.

The key to understanding how this penalty will be enforced depends on an understanding of the act of "participation," a requirement of the statute. Enforcement against a member of a public body based on "participation" only may occur when the member makes a commitment, promise, or casts an affirmative vote to take action on a matter under the public body's jurisdiction or control when the member knew his/her commitment, promise, or vote was taken in violation of the OML.

The civil penalty requires that a public body take action in order for the civil penalty to be potentially applicable. "Action" is defined in NRS 241.015(1) as an affirmative act; mere silence or inaction by members is not sufficient to rise to the level requiring enforcement.

This office would not seek to punish individual members who attempt to comply with the OML, only those who actually violate it. Even then, enforcement under NRS 241 requires discretion based on investigation and review of the facts. Evidence in the record that an individual attempted to comply and/or sought to avoid violating the OML would put them outside the scope of liability for the civil penalty, even if the other members of their public body proceeded to knowingly violate the OML.

### § 11.01 General

As with any statute, courts use many principles of statutory construction to construe the Open Meeting Law and apply it to circumstances before them. Discussion of those principles is beyond the scope of this manual, but the Office of the Attorney General has some observations that may be useful in determining how to comply with the Open Meeting Law.

### § 11.02 Legislative declaration and intent

The Legislature declared in NRS 241.010, "In enacting this chapter, the legislature finds and declares that all public bodies exist to aid in the conduct of the people's business. It is the intent of the law that their actions be taken openly and that their deliberations be conducted openly." This spirit was a guiding consideration in several cases. See McKay v. Board of Supervisors, 102 Nev. 644, 647, 730 P.2d 438, 441 (1986); McKay v. Board of County Comm'rs, 103 Nev. 490, 493, 746 P.2d 124, 125 (1987); Del Papa v. Board of Regents, 114 Nev. 388, 393, 956 P.2d 770, 774 (1998); Sandoval v. Board of Regents, 119 Nev. 148, 67 P.3d 902 (2003); Dewey v. Redevelopment Agency, 119 Nev. 87, 94, 64 P.3d 1070, 1075 (2003).

### § 11.03 Standards of interpretation

A statute enacted for the public benefit, such as a sunshine or public meeting law, should be construed liberally in favor of the public, even though it contains a penal provision. Wolfson v. State, 344 So. 2d 611 (Fla. Dist. Ct. App. 1977); City of Miami Beach v. Berns, 245 So. 2d 38 (Fla. 1971); Laman v. McCord, 432 S.W.2d 753 (Ark. 1968). The Open Meeting Law is entitled to a broad interpretation to promote openness in government, while any exceptions thereto should be construed strictly. McKay v. Bd. of Supervisors, 102 Nev. 644, 730 P.2d 438 (1986); Wexford County Prosecuting Attorney v. Pranger, 268 N.W.2d 344 (Mich. Ct. App. 1978). A construction which frustrates all evasive devices is preferred for an open meeting law. Florida Parole & Prob. Comm'n v. Thomas, 364 So. 2d 480 (Fla. Dist. Ct. App. 1978). See also Op. Nev. Att'y Gen. No. 85-19 (December 17, 1985).

#### § 11.04 Use of standard of reasonableness

In circumstances where the Open Meeting Law provides no clear standards or guidelines, public bodies must consider themselves as being governed by a standard of reasonableness. *See* Op. Nev. Att'y Gen. No. 79-8 (March 26, 1979).

### § 11.05 Attorney General Opinions

While Attorney General Opinions are intended to be helpful in fashioning compliance with the Open Meeting Law, they are not binding on the courts even though the Office of the Attorney General is given the duty of investigating and prosecuting Open Meeting Law complaints. See Tahoe Reg'l Planning Agency v. McKay, 590 F. Supp. 1071, 1074 (D. Nev.

1984), aff'd, Tahoe Reg'l Planning Agency v. McKay, 769 F.2d 534, 539 (9th Cir. 1985). However, the Nevada Supreme Court in Del Papa v. Board of Regents, 114 Nev. 388, 956 P.2d 770 (1998), stated that the opinions of the Office of the Attorney General will receive the same deference as an administrative body interpreting a law that it is responsible for enforcing. Thus, where the Legislature has had reasonable time to amend the law to reverse the opinion of the Attorney General, but does not do so, it is presumed the Legislature has acquiesced to the opinion of the Attorney General. Hughes Properties, Inc. v. State, 100 Nev. 295, 298, 680 P.2d 970, 972 (1984).

In addition, the Office of the Attorney General has a long-standing policy of reserving opinions regarding Open Meeting Law complaints that are in litigation, even though NRS 241.040(4) gives the Office of the Attorney General investigative and prosecutorial powers. *See* OMLO 98-05 (September 21, 1998).

## Part 12 WHAT ELSE DO I NEED TO KNOW ABOUT THE OPEN MEETING LAW?

### § 12.01 General

This part covers special questions or topics not discussed elsewhere in this manual.

## § 12.02 Relationship of Open Meeting Law to Administrative Procedure Act, NRS Chapter 233B

The 2009 Legislature made changes to the method of adopting regulations by agencies that are subject to Nevada's Administrative Procedures Act (APA). Each workshop and public hearing must be conducted in accordance with NRS 241. NRS 233B.061(5). In addition, workshops or hearings may be held only after the Legislative Counsel has returned the proposed regulation to the agency. NRS 233B.060.

All workshops and public hearings must be conducted in accordance with the OML. NRS 233B.061 now applies the OML to **all** executive branch agencies subject to the APA, whether the agencies adopt regulations by board, commission, or other public body, or by an individual. Agencies headed by a single person, such as the Insurance Commissioner, are included.

The notice requirements for both NRS 233B and NRS 241.020 may be met in the same notice document so that duplication of notices at different times may be avoided. The OML's minimum notice requirement is before 9:00 a.m., three working days before the meeting.

The Nevada Administrative Procedure Act (APA), Chapter 233B of NRS, requires some agencies to give notice and conduct public hearings before adopting rules and regulations. The 2011 Legislature amended the rules of conduct of some bodies which meet or operate under NRS 233B. NRS 241.016(1) subjects all meetings of public bodies, when meeting as a quasi-judicial body, to the OML. See § 3.10 above.

If the agency is a "public body" (see Part 3 of this manual), both the Open Meeting Law and the APA will apply, and it will be necessary to coordinate the proceedings. The Office of the Attorney General recommends that the APA notice be prepared and distributed as required by the APA, that a meeting of the public body be noticed and put on the agenda under the Open Meeting Law, and that the hearings be included as an action item on the agenda.

The APA also governs the hearings of "contested cases" before administrative agencies and, again, if the agency is a "public body," the Open Meeting Law also will apply to the hearings. Public comment must be conducted to satisfy both the OML and the requirement in NRS 233B. Prior to the commencement and conclusion of a contested case or a quasi-judicial proceeding that may affect the due process rights of an individual, the public body may refuse to consider public comment. See NRS 233B.126. Once the board or commission has rendered a

decision on the contested case, it may entertain public comment on the proceeding at that time. The specific statute governing the activities of the agency may have to be considered as well.

If the Open Meeting Law applies to a contested case hearing, a question arises whether a closed session may be held. Absent a specific statute to the contrary, the contested case must be heard in an open meeting context, and the public body may go into closed session under NRS 241.030 only to consider the character, alleged misconduct, professional competence, or mental or physical health of a person, as discussed in Part 9 of this manual. *See* Op. Nev. Att'y Gen. No. 81-C (June 25, 1981). If the public body is going to conduct a closed session under NRS 241.030(1), the notice requirements of NRS 241.033(1) must be met. If the notice of hearing prepared under NRS Chapter 233B or other relevant statute provides for timing and notice requirements equivalent to NRS 241.033(1), the notices may be coordinated.

## § 12.03 Relationship of Open Meeting Law to the First Amendment to the Constitution of the United States

The full panoply of First Amendment rights attaches to the public's right to speak at a meeting pursuant to NRS 241.020(2)(d)(3). The public's freedom of speech during public meetings is vigorously protected by both the U.S. Constitution and the Nevada Constitution. Freedom of expression upon public questions is secured by the First Amendment. *New York Times Co. v. Sullivan*, 376 U.S. 254, 269 (1964). This constitutional safeguard was fashioned to assure an unfettered interchange of ideas for bringing about political and social changes desired by the people. *See* §§ 8.04 and 8.05 above, for a detailed discussion of the scope of public comment.

In Sandoval, 119 Nev. at 156, 67 P. 3d at 906-07 (2003), the Board of Regents alleged that limiting the discussion of the Regents to the topics on the agenda unlawfully limited the Regents' right to free speech. The Supreme Court denied this argument and stated that the Open Meeting Law was not overly burdensome on the Regents' right to free speech because the Regents could discuss what they wanted, whenever they wanted, just not at a meeting governed by the Open Meeting Law at which the issue for discussion was not agendized.

### § 12.04 Relationship of Open Meeting Law and defamation

In 2005, the Legislature amended the OML to provide immunity from an action alleging defamation to members of a public body for statements made during the meeting and the Legislature also provided immunity to witnesses testifying before a public body. NRS 241.0353 states:

- 1. Any statement which is made by a member of a public body during the course of a public meeting is absolutely privileged and does not impose liability for defamation or constitute a ground for recovery in any civil action.
- 2. A witness who is testifying before a public body is absolutely privileged to publish defamatory matter as part of a public

meeting, except that it is unlawful to misrepresent any fact knowingly when testifying before a public body.

## **SAMPLE FORM 1:** Notice and Agenda of Public Meeting (With Comments)

Comments	Sample Form
See Parts 6 and 7 of the NEVADA OPEN MEETING LAW MANUAL, Twelfth Edition, 2015, for details.	(This only is a sample. Other formats may be used.)
	NOTICE OF PUBLIC MEETING
Name of public body	of the COMMISSION FOR OPEN GOVERNMENT
Must state the time, place, and location of meeting.	The Commission for Open Government will conduct a public meeting on November 14, 1997, beginning at 9 a.m. at the following locations:
This shows how a meeting, to be held at multiple locations, may be noticed. Sites should be connected by speaker	at its principal office at 1801 North Carson Street, Suite 104, Carson City, Nevada, and
phone or other device where all persons at all locations may hear all persons at all other locations.	at its Las Vegas office in the Grant Sawyer Building, 2501 Washington Street, Suite 401, Las Vegas, Nevada.
	The sites will be connected by speaker telephones. The public is invited to attend at either location.
Notification pursuant to	NOTICE
NRS 241.020(2)(d)(6) and (7)	1. Items may be taken out of order;
	2. Two or more items may be combined;
	3. Items may be removed from agenda or delayed at any time;
	4. Any restrictions on public comment must be set out and this notice must state that comment can't be restricted based on viewpoint.
See NRS 241.020(1). Giving the	Reasonable efforts will be made to assist and
name and telephone number of a	accommodate physically handicapped persons
contact person is not required, but	desiring to attend the meeting. Please call number
may avoid time delays or embarrassment.	listed in advance so that arrangements for attendance may be made.
Reasonable restrictions on public	Public comment is limited to (set out the allowed
comment must be set out in notice	time) minutes per person.

form on the agenda.

#### **AGENDA**

Agenda must consist of a clear and complete statement of the topics scheduled to be considered during the meeting.

Agenda must include a list describing the items on which action may be taken and clearly denote "for possible action" on those items.

See Part 9 of the Nevada Open Meeting Law Manual for discussion of when closed sessions are authorized and how they are to be handled.

No action may be taken in a closed session. These are examples of how to notice an item where the public body may go into closed session. Okay to list only the attributes before taking action in open session (i.e., character, professional competence, health, etc.) that will be considered

Action may be taken only on those items denoted "For possible action."

- 1. Call to Order and Roll Call.
- 2. Public comment and discussion. (Discussion)

  No action may be taken on a matter raised under this item of the agenda until the matter itself has been included specifically on an agenda as an item upon which action will be taken
- 3. Approval of minutes of previous meeting. (For possible action)
- 4. Report by Committee on Abuse of Open Meeting Laws. (Discussion)
- 5. Closed session to consider the character, alleged misconduct, or professional competence of John Doe, a staff employee of the Commission. (Discussion). Before closing a meeting, the public body must approve a member's motion to close the meeting which specifies the nature of the business to be considered and the statutory authority on which the meeting will be closed. If closure is pursuant to NRS 241.030(3) the name of the person to be considered must appear on the agenda.
- 6. Performance Evaluation of Sue Smith including, but not limited to, termination, suspension, demotion, reduction in pay, reprimand, promotion, endorsement, engagement, retention, or "no action." (For possible action) (Closed session may be held to consider character, alleged misconduct, professional competence, and physical or mental health pursuant to NRS 241.030.)

  But see § 6.09: Notice provisions of NRS 241.033 do not apply to applicants for employment with a public body. NRS 241.033(7)(a) exempts public meetings held to consider applicants for employment from the provisions of NRS 241.033.

If action is to be taken, it must be in an open session, and the names of the subject persons should be listed

Public Body may take administrative action against the following persons which might include employment termination, suspension, demotion, reduction in pay, reprimand, promotion, retention, or no action. a. Sam Smith

If there are topics of known public interest upon which the public body may deliberate, it should be identified. If action might be taken (including approval of a report), this should be listed as "for possible action" and must contain a description of the items on which action will be taken

b. Harry Brown

8. Report by Executive Officer (Discussion) including: (formal approval of Report: for possible action; all other matters in this item are informational only)

7. Disciplinary Hearings (For possible action)

- a. Salary of executive director
- b. Legislative audit of Division

Multiple periods of public comment are mandatory. There are now two alternatives for public comment available to a public body. The alternatives may be combined for even more transparency. NRS 41.020(2)(d)(3).

Public comment and discussion. (Discussion) No action may be taken on a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action will be taken.

10. Adjournment. (Action)

Supporting material is available from [name] at [physical address]. Anyone desiring supporting documentation or additional information is invited to call [phone number] or email [address].

Notice and agenda must be posted not later than 9 a.m. on the third working day before the meeting. Do not count the day of the meeting as one of the three working days.

This notice and agenda has been posted on or before 9 a.m. on the third working day before the meeting at [website] and at the following locations:

Notice and Agenda must be posted at the principal office of (1) The Commission's principal office at 1801 North Carson Street, Suite 104, Carson City, Nevada

the public body, or if it has no principal office, then at the building where the meeting will be held, and at least three other separate, prominent places within the jurisdiction of the public body. Notice also must be posted on (1) the State's official website, <a href="https://notice.nv.gov">https://notice.nv.gov</a> and (2) the public body's website, if it maintains a website.

- (2) Grant Sawyer Building, 2501 Washington Street, Las Vegas, Nevada
- (3) Las Vegas City Hall, 1401 Main Street, Las Vegas, Nevada
- (4) Reno City Hall, 490 South Center Street, Reno, Nevada

### **SAMPLE FORM 2:** MINUTES

Other formats or styles may be used. This is not intended to be a complete set of minutes, only to show how certain matters listed on Sample Form 1 might be handled in the minutes in order to comply with the Open Meeting Law. The public body must take into account other statutory, procedural, or record keeping requirements.

#### **MINUTES**

of the meeting of the

### COMMISSION FOR OPEN GOVERNMENT

(Date of the Meeting)

The Commission for Open Government held a public meeting on (date), beginning at (time) a.m. at the following locations:

at its principal office at 1801 North Carson Street, Suite 104, Carson City, Nevada, and at its Las Vegas office in the Grant Sawyer Building, 2501 Washington Street, Suite 401, Las Vegas, Nevada.

The sites were connected by speaker telephones.<sup>1</sup>

### 1. Call to order, roll call

The meeting was called to order by Chairman Shirley Brown. Present were commissioners Harry Smith, Peter Knowitall, Roger Dodger, Mike Brown, and Sue Doe. Absent was Commissioner Henry.

Also present were Executive Director Sue Smith and various staff members of the commission. Members of the public were asked to sign in, and the sign-in-sheet is attached to the original minutes as Exhibit A.

## 2. Public comment (1st period)

However, if the public body chooses the second alternative set forth in NRS 241.020 and if it allows public comment for each "for possible action" agenda item, it still must allow a period of general public comment before adjournment for any and all matters within the jurisdiction or control of the public body, i.e., non-agenda items.

<sup>&</sup>lt;sup>1</sup> The date, time, and place of meeting, as well as the members of the public body who were present and absent, is required. NRS 241.035(1). Listing others present is not required by the Open Meeting Law but may be helpful in resolving Open Meeting Law and other complaints regarding the proceeding.

### 2. Approval of minutes of previous meeting

The minutes of the October 10 meeting were approved with changes.<sup>2</sup>

### 3. Report by the Committee on Abuse of Open Meeting Laws

Mr. Rodgers reported that the Committee had completed its report on abuse of Open Meeting Laws. A copy of the report is attached to the original minutes as Exhibit B.

Commissioner Dodger asked about the incident involving Mayor Smith in Little Town on August 17 and wanted the Commission to file litigation. He was reminded that the report was listed on the agenda as a discussion item, and action may not be taken. Further, Mayor Smith would have to be notified if the Commission was going to discuss his misconduct.

Commissioner Knowitall thanked the Committee for its fine work.<sup>3</sup>

## 4. Closed session to discuss the character, alleged misconduct, and professional competence of a staff employee of the Commission

On motion by Commissioner Dodger, seconded by Commissioner Brown, and approved with a unanimous vote, a closed session was conducted to discuss the character, alleged misconduct, and professional competence of a staff employee of the Commission. The Commission received proof that the employee was notified as required by law. Separate minutes of the session have been prepared.<sup>4</sup> No action was taken.

### 5. Performance Evaluation of Sue Smith

The Commission received proof that Mrs. Smith was notified as required by law.<sup>5</sup>

Mrs. Smith objected to comments regarding her professional competence, indicating that she was new on the job and shouldn't be held to the standards of an experienced employee.

A member of the public addressed the Commission and asked that her remarks be included in the record. A copy of her remarks is attached to the original of these minutes as Exhibit C.<sup>6</sup>

<sup>&</sup>lt;sup>2</sup> If requested by a member, the minutes must record each member's vote. NRS 241.035(1)(c). Otherwise, for Open Meeting Law purposes, a matter like this may be handled this way. For other purposes, it may be advisable to give details about who made and seconded motions and how votes were cast. Consult with counsel.

<sup>&</sup>lt;sup>3</sup> The substance of the discussion must be reported. NRS 241.035(1)(c).

<sup>&</sup>lt;sup>4</sup> The minutes should reflect that all the procedural requirements and limitations of a closed session have been followed. See § 9 for a discussion.

<sup>&</sup>lt;sup>5</sup> The agenda suggested that the Commission may go into closed session, but in this instance, it handled the whole matter in an open session. Even if it does so in an open meeting, the Commission still must receive proof of service required by NRS 241.033(1).

On motion by Commissioner Dodger, seconded by Commissioner Brown, and approved with a unanimous vote, the evaluation attached to the original of these minutes as Exhibit D was approved.

### 6. Disciplinary Hearing re: Harry Brown

A disciplinary hearing was held regarding alleged misconduct by Harry Brown. Opening remarks were made by Deputy Attorney General Joe Smith and by counsel for Mr. Brown, Gerry Spence.

Six witnesses testified and were cross-examined. Fifteen exhibits were received into evidence. A record of the proceeding was made by a court reporter and a transcript is available.<sup>7</sup>

On motion by Commissioner Dodger, seconded by Commissioner Brown, and approved with a unanimous vote, a closed session was conducted to discuss the character, alleged misconduct, and professional competence of Mr. Brown. The Commission received proof that the employee was notified as required by law. Separate minutes of the session have been prepared.

Following the closed session, the Commission went back into open session to take action. On motion by Commissioner Dodger, seconded by Commissioner Doe, and upon a vote of 4-2, the Commission found that Mr. Brown had violated various provisions of the Open Meeting Law as alleged in the complaint. Mr. Brown was ordered to pay a \$1,000 fine. Counsel for the Commission was instructed to prepare Findings of Fact, Conclusions of Law, and Order to be approved and signed by Chairman Brown, and it will be filed with the original of these minutes.

## 7. 2<sup>nd</sup> period of Public Comment and Discussion

Mrs. Henrietta Cobb addressed the Commission, indicating there is a serious flaw in the Open Meeting Law regarding serial communications and asked the Commission to propose legislation to plug up the gap. She gave an example of Brown County, where the County Manager approved a contract with Henry's Construction Company after discussing it with each Commissioner, one at a time. At the meeting, the County Commission voted to ratify the contract without any discussion or input from the community. Commissioner Brown said he would consider having the matter put on an agenda for a future meeting, and Mrs. Cobb would be invited to participate.

<sup>&</sup>lt;sup>6</sup> See NRS 241.035(1)(d). If the commentator does not have written remarks, then his/her oral remarks must be reflected.

<sup>&</sup>lt;sup>7</sup> More detail may be required by the law that governs hearings by the body. For Open Meeting Law purposes, this shows what happened in the open and closed sessions and that a separate record has been made.

Commissioner Dodge presented to the Commission a report by the Greenpeace organization regarding the massacre of thousands of people in Uganda. He commented that something should be done about it and asked that the report and his remarks be included in the record of this meeting. The report is attached to these minutes but was not read by other Commissioners, and there was no discussion about his remarks.<sup>8</sup>

8. Adjournment was unanimously approved at nine p.m.

<sup>&</sup>lt;sup>8</sup> Any other information that is requested to be included or reflected in the minutes by any member of the body must be included, even if not relevant or discussed. NRS 241.035(1)(e).

## SAMPLE FORM 3: NOTICE OF INTENT TO CONSIDER, CHARACTER,

MISCONDUCT, COMPETENCE OR HEALTH OF A PERSON.

NRS 241.033

## COMMISSION FOR OPEN GOVERNMENT 1801 North Carson Street, Suite 104 Carson City, Nevada 89701

December 10, 2005

Ms. Sue Smith 1102 Center Street Reno, Nevada 89504

Re: Notice of meeting of the Commission to consider your character,

alleged misconduct, professional competence, or health.

Dear Ms. Smith:

In connection with your performance evaluation, the Commission may consider your character, alleged misconduct, professional competence or health at its meeting on January 14, 2005. The meeting will begin at 9 a.m. at 1801 North Carson Street, Suite 104, in Carson City, Nevada. The meeting is a public meeting, and you are welcome to attend. The Commission may go into closed session to consider the following general topics: your performance as administrative assistant to the executive director, your job description, your job duties, and matters properly related thereto. You are welcome to attend the closed session, have an attorney or other representative of your choosing present during the closed meeting, present written evidence, provide testimony, and present witnesses relating to your character, alleged misconduct, professional competence, or physical or mental health.

If the Commission determines it necessary after considering your character, alleged misconduct, professional competence, or physical or mental health whether in a closed meeting

<sup>&</sup>lt;sup>1</sup> If requested by a member, the minutes must record each member's vote. NRS 241.035(1)(c). Otherwise, for Open Meeting Law purposes, a matter like this may be handled this way. For other purposes, it may be advisable to give details about who made and seconded motions and how votes were cast. Consult with counsel.

<sup>&</sup>lt;sup>2</sup> The list of general topics should be as inclusive as possible. NRS 241.033(2)(c).

<sup>&</sup>lt;sup>3</sup> The substance of the discussion must be reported. NRS 241.035(1)(c). The minutes should reflect that all the procedural requirements and limitations of a closed session have been followed. *See* §§ 6.09 and 9 for a discussion. This sentence meets the requirements of NRS 241.033(4).

or open meeting, it may also take administrative action against you at this meeting.<sup>4</sup> This informational statement is in lieu of any notice that may be required pursuant to NRS 241.034.<sup>5</sup>

This notice is provided to you under NRS 241.033.6

<sup>&</sup>lt;sup>4</sup> NRS 241.020 requires agenda statement both for the closed meeting consideration and the administrative action item, which must occur in an open meeting. *See* NRS 241.010. For informational statement, see NRS 241.033(2)(b).

<sup>&</sup>lt;sup>5</sup> See NRS 241.034(3).

<sup>&</sup>lt;sup>6</sup> See NRS 241.035(1)(d). If the commentator does not have written remarks, then his or her oral remarks must be reflected.

### PROOF OF SERVICE

I,, hereby swear or affirm under penalty of perjury, that in
accordance with NRS 241.033, I served the foregoing Notice of Meeting of the Commission to consider character, alleged misconduct, competence, or health
By personally serving it on Sue Smith at
By depositing it in the United States Mail, postage prepaid, certified mail  #, addressed to Sue Smith at on this day of, 1997.
on this day of, 1997.
Signature of person making service
State of Nevada ) ) ss:County )
Signed and sworn to (or affirmed) before me by
on (name)  (date)
Notary Public
Commission Expires
Notes

This only is a sample format. Other formats, styles, or preprinted forms may be used as long as they contain all the information required by NRS 241.033. This document must be entered into the record before a public body may proceed with the meeting, pursuant to NRS 241.033(1)(b).

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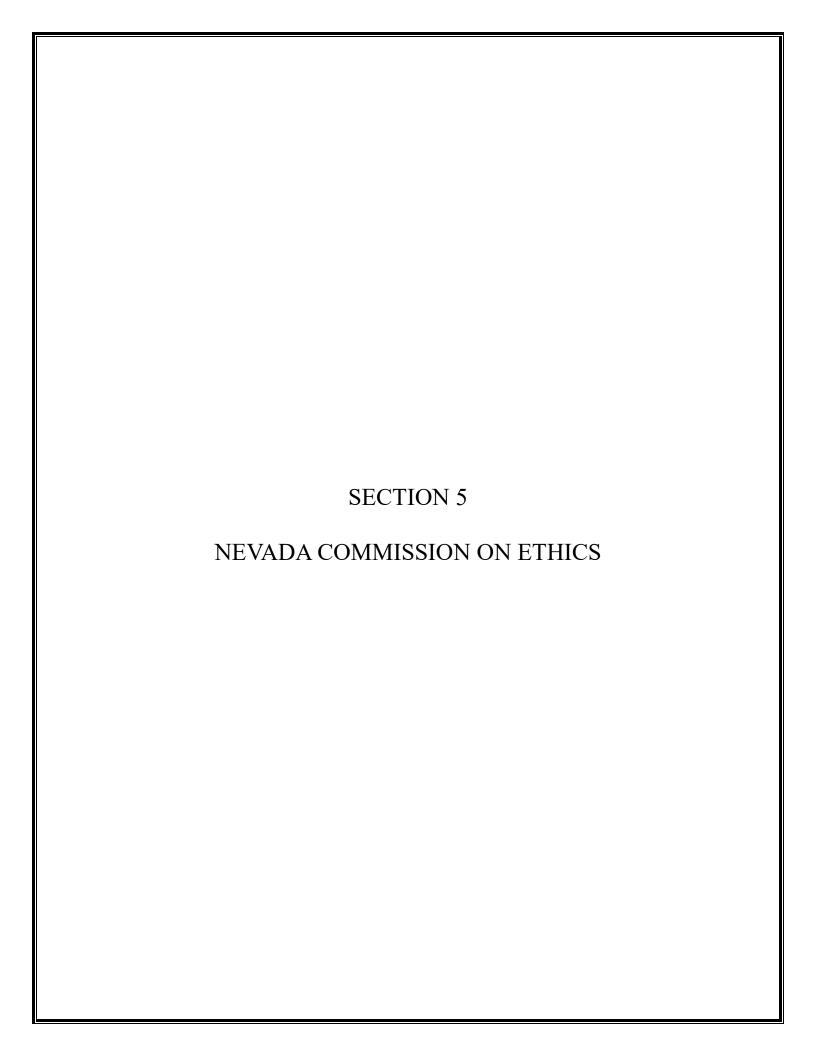
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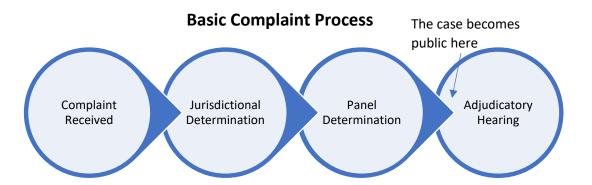


## **SECTION 5**

Nevada Commission on Ethics Quick Reference Guide					
Topic	Answer	Legal Citation*			
Basics					
ؽ۠۩ؙؽٛ؇ؠؙٛ	Public Officers (position in Nevada Constitution, Nevada Law, local government charter or ordinance, or listed in NRS 281A.182)	NRS 281A.160			
ШШШШ Individuals Covered	Public Employees	NRS 281A.150			
	Some cases – former public officers/employees	NRS 281A.180			
Statute of Limitations	Jurisdiction is limited to acts that occurred within last two years. Some exceptions for unknown or concealed activity.	NRS 281A.280			
Specifically Outside Jurisdiction	Allegations of harassment or other activity covered by Equal Employment Opportunity Commission or Nevada Equal Rights Commission  Other employment related grievances  Activity not specifically covered by NRS 281A	NRS 281A.280			
"Commitment in a Private Capacity"	<ul> <li>Spouse/domestic partner</li> <li>Member of household</li> <li>Related by third degree of consanguinity</li> <li>Employer of individual or their spouse/partner/household member</li> <li>Substantial and continuing business interest</li> <li>"Substantially similar" to any of the above</li> </ul>	NRS 281A.065			
"Pecuniary interest"	Any beneficial or detrimental interest in a matter that consists or is measured in money or otherwise related to money including  • Anything of economic value  • Payments or other money which a person is owed	NRS 281A.139			
"Unwarranted"	Without justification of reason	NRS 281A.400			

<sup>\*</sup>Descriptions of statutes are summaries and do not necessarily include all legal elements nor should this document be viewed as legal advice.

Nevada Commission on Ethics Quick Reference Guide					
Statutory Prohibitions the Commission Can Enforce*					
Improper Benefit - General					
Gifts, services, favor, engagements that "tend improperly to influence a reasonable person to depart from the faithful and impartial discharge of duties	NRS 281A.400(1)				
No unwarranted privileges, preferences, exemptions, or advantages using public officer's position	NRS 281A.400(2)				
Negotiating a contract for self or others with current agency	NRS 281A.400(3)				
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<sup>\*</sup>Descriptions of statutes are summaries and do not necessarily include all legal elements nor should this document be viewed as legal advice.

## **SECTION 5**

[Rev. 5/1/2022 7:52:34 PM--2021]

## CHAPTER 281A - ETHICS IN GOVERNMENT

### **GENERAL PROVISIONS**

NRS 281A.010	Short title.
NRS 281A.020	Legislative findings and declarations.
NRS 281A.030	Definitions.
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NRS 281A.033	"Advisory opinion" defined.
NRS 281A.035	"Agency" defined.
NRS 281A.040	"Business entity" defined.
NRS 281A.050	"Candidate" defined.
NRS 281A.060	"Commission" defined.
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NRS 281A.070	"Compensation" defined.
NRS 281A.080	"Decision" defined.
NRS 281A.081	"Declaration of candidacy" defined.
NRS 281A.082	"Deferral agreement" defined.
NRS 281A.085	"Domestic partner" defined.
NRS 281A.086	"Domestic partnership" defined.
NRS 281A.088	"Ethics complaint" defined.
NRS 281A.090	"Executive Director" defined.
NRS 281A.100	"Household" defined.
NRS 281A.105	"Intentionally" defined.
NRS 281A.115	"Knowingly" defined.
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NRS 281A.135	"Opinion" defined.
NRS 281A.139	"Pecuniary interest" defined.
NRS 281A.145	"Political subdivision" defined.
NRS 281A.150	"Public employee" defined.
NRS 281A.160	"Public officer" defined.
NRS 281A.161	"Request for an advisory opinion" defined.
NRS 281A.162	"Review panel" defined.
NRS 281A.163	"State agency" defined.
NRS 281A.165	"State Legislator" or "Legislator" defined.
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NRS 281A.260	Commission Counsel: Duties; legal advice; appointment or employment of other counsel by Commission under certain circumstances.
NRS 281A.265 NRS 281A.270	Discretionary-function immunity for members and employees of Commission.  Assessment for administrative costs: Determination; payment by certain cities and counties; use of proceeds; collection.

NRS 281A.275 NRS 281A.280 NRS 281A.290	Authority to apply for and accept grants, contributions, services and money. Jurisdiction; statute of limitations. Duties of Commission; inclusion of annotations of opinions of Commission in Nevada Revised Statutes.
NRS 281A.300	Oaths; written requests and subpoenas for participation, attendance and production of books and papers; enforcement by court for noncompliance.
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NRS 281A.410	Limitations on representing or counseling private persons before public agencies; request for relief from strict application of certain provisions.
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#### **GENERAL PROVISIONS**

NRS 281A.010 Short title. This chapter may be cited as the Nevada Ethics in Government Law. (Added to NRS by 1977, 1103; A 1995, 2443; 2003, 2662, 3019)—(Substituted in revision for NRS 281.411)

#### NRS 281A.020 Legislative findings and declarations.

- 1. It is hereby declared to be the public policy of this State that:
- (a) A public office is a public trust and shall be held for the sole benefit of the people.
- (b) A public officer or employee must commit himself or herself to avoid conflicts between the private interests of the public officer or employee and those of the general public whom the public officer or employee serves.
  - 2. The Legislature finds and declares that:
- (a) The increasing complexity of state and local government, more and more closely related to private life and enterprise, enlarges the potentiality for conflict of interests.
- (b) To enhance the people's faith in the integrity and impartiality of public officers and employees, adequate guidelines are required to show the appropriate separation between the roles of persons who are both public servants and private citizens.
- (c) In interpreting and applying the provisions of this chapter that are applicable to State Legislators, the Commission must give appropriate weight and proper deference to the public policy of this State under which State Legislators serve as "citizen Legislators" who have other occupations and business interests, who are expected to have particular philosophies and perspectives that are necessarily influenced by the life experiences of the Legislator, including, without limitation, professional, family and business experiences, and who are expected to contribute those philosophies and perspectives to the debate over issues with which the Legislature is confronted.
- (d) The provisions of this chapter do not, under any circumstances, allow the Commission to exercise jurisdiction or authority over or inquire into, intrude upon or interfere with the functions of a State Legislator that

are protected by legislative privilege and immunity pursuant to the Constitution of the State of Nevada or NRS

(Added to NRS by 1977, 1103; A 1999, 2730; 2009, 1046)—(Substituted in revision for NRS 281.421)

NRS 281A.030 Definitions. As used in this chapter, unless the context otherwise requires, the words and terms defined in NRS 281A.032 to 281A.170, inclusive, have the meanings ascribed to them in those sections. (Added to NRS by 1977, 1103; A 1985, 1216, 2122; 1987, 385; 1991, 1594; 1997, 256; 1999, 2731; 2003, 926, 3385; 2003, 20th Special Session, 263; 2005, 2556; 2009, 1047; 2013, 3765; 2017, 2488; 2019, 3419)

NRS 281A.032 "Adjudicatory hearing" defined. "Adjudicatory hearing" means a hearing held by the Commission pursuant to NRS 281A.745 to receive evidence concerning an ethics complaint and render an opinion in the matter.

(Added to NRS by <u>2017, 2478</u>)

NRS 281A.033 "Advisory opinion" defined. "Advisory opinion" means an advisory opinion rendered by the Commission pursuant to NRS 281A.670 to 281A.690, inclusive. (Added to NRS by 2017, 2478)

NRS 281A.035 "Agency" defined. "Agency" means any state agency or local agency. (Added to NRS by 2013, 3763)

NRS 281A.040 "Business entity" defined. "Business entity" means an organization or enterprise operated for economic gain, including, without limitation, a proprietorship, partnership, firm, business, company, trust, joint venture, syndicate, corporation or association.

(Added to NRS by 1985, 2120; A 2009, 1047)—(Substituted in revision for NRS 281.432)

## NRS 281A.050 "Candidate" defined. "Candidate" means any person:

- 1. Who files a declaration of candidacy; or
- 2. Whose name appears on an official ballot at any election. (Added to NRS by <u>1991, 1591</u>; A <u>1993, 265</u>; <u>2001, 1955</u>; <u>2019, 3419</u>)

NRS 281A.060 "Commission" defined. "Commission" means the Commission on Ethics. (Added to NRS by 1985, 2120)—(Substituted in revision for NRS 281.4325)

NRS 281A.065 "Commitment in a private capacity" defined. "Commitment in a private capacity," with respect to the interests of another person, means a commitment, interest or relationship of a public officer or employee to a person:

- 1. Who is the spouse or domestic partner of the public officer or employee;
- 2. Who is a member of the household of the public officer or employee;
- 3. Who is related to the public officer or employee, or to the spouse or domestic partner of the public officer or employee, by blood, adoption, marriage or domestic partnership within the third degree of consanguinity or affinity;
- 4. Who employs the public officer or employee, the spouse or domestic partner of the public officer or employee or a member of the household of the public officer or employee;
- 5. With whom the public officer or employee has a substantial and continuing business relationship; or
  6. With whom the public officer or employee has any other commitment, interest or relationship that is substantially similar to a commitment, interest or relationship described in subsections 1 to 5, inclusive. (Added to NRS by <u>2013</u>, <u>3763</u>)

NRS 281A.070 "Compensation" defined. "Compensation" means any money, thing of value or economic benefit conferred on or received by any person in return for services rendered, personally or by another. (Added to NRS by 1991, 1591)—(Substituted in revision for NRS 281.4327)

#### NRS 281A.080 "Decision" defined.

- 1. The making of a "decision" is the exercise of governmental power to adopt laws, regulations or standards, render quasi-judicial decisions, establish executive policy or determine questions involving substantial discretion.
  - 2. The term does not include:
  - (a) The functions of the judiciary.
- (b) The functions of a State Legislator that are protected by legislative privilege and immunity pursuant to the Constitution of the State of Nevada or NRS 41.071.

(Added to NRS by <u>1985, 2121</u>; A <u>2009, 1047</u>)—(Substituted in revision for NRS 281.433)

NRS 281A.081 "Declaration of candidacy" defined. "Declaration of candidacy" has the meaning ascribed to it in  $\underline{NRS}$  293.0455.

(Added to NRS by <u>2019</u>, <u>3419</u>)

NRS 281A.082 "Deferral agreement" defined. "Deferral agreement" means an agreement entered into between the Executive Director and the subject of an ethics complaint pursuant to NRS 281A.740. (Added to NRS by 2017, 2478)

NRS 281A.085 "Domestic partner" defined. "Domestic partner" means a person in a domestic partnership.

(Added to NRS by <u>2013</u>, <u>3764</u>)

NRS 281A.086 "Domestic partnership" defined. "Domestic partnership" means a domestic partnership as defined in NRS 122A.040.

(Added to NRS by 2013, 3764; A 2017, 295)

**NRS 281A.088 "Ethics complaint" defined.** "Ethics complaint" means a request for an opinion which is filed with the Commission or initiated by the Commission on its own motion pursuant to <u>NRS 281A.710</u> regarding the propriety of the conduct of a public officer or employee under the statutory ethical standards set forth in this chapter.

(Added to NRS by 2017, 2478)

NRS 281A.090 "Executive Director" defined. "Executive Director" means the Executive Director appointed by the Commission pursuant to NRS 281A.230.

(Added to NRS by 1999, 2728)—(Substituted in revision for NRS 281.4333)

NRS 281A.100 "Household" defined. "Household" means an association of persons who live in the same home or dwelling and who are related by blood, adoption, marriage or domestic partnership. (Added to NRS by 1985, 2121; A 2013, 3765)—(Substituted in revision for NRS 281.434)

NRS 281A.105 "Intentionally" defined. "Intentionally" means voluntarily or deliberately, rather than accidentally or inadvertently. The term does not require proof of bad faith, ill will, evil intent or malice. (Added to NRS by 2009, 1043)

NRS 281A.115 "Knowingly" defined. "Knowingly" imports a knowledge that the facts exist which constitute the act or omission, and does not require knowledge of the prohibition against the act or omission. Knowledge of any particular fact may be inferred from the knowledge of such other facts as should put an ordinarily prudent person upon inquiry.

(Added to NRS by <u>2009</u>, <u>1043</u>)

NRS 281A.119 "Local agency" defined. "Local agency" means any local legislative body, agency, bureau, board, commission, department, division, office or other unit of any county, city or other political subdivision.

(Added to NRS by <u>2013</u>, <u>3764</u>)

NRS 281A.125 "Member of a local legislative body" defined. "Member of a local legislative body" means a member of a board of county commissioners, a governing body of a city or a governing body of any other political subdivision who performs any function that involves introducing, voting upon or otherwise acting upon any matter of a permanent or general character which may reflect public policy.

(Added to NRS by 2009, 1043; A 2013, 3765)

#### NRS 281A.135 "Opinion" defined.

- 1. "Opinion" means an opinion rendered by the Commission in accordance with the provisions of this chapter.
- 2. The term includes, without limitation, the disposition of an ethics complaint by stipulation, agreed settlement, consent order or default as authorized by NRS 233B.121.

(Added to NRS by 2009, 1043; 2017, 2488)

- NRS 281A.139 "Pecuniary interest" defined. "Pecuniary interest" means any beneficial or detrimental interest in a matter that consists of or is measured in money or is otherwise related to money, including, without limitation:
  - 1. Anything of economic value; and
- 2. Payments or other money which a person is owed or otherwise entitled to by virtue of any statute, regulation, code, ordinance or contract or other agreement.

(Added to NRS by <u>2013</u>, <u>3764</u>)

NRS 281A.145 "Political subdivision" defined. "Political subdivision" means any county, city or other local government as defined in NRS 354.474. (Added to NRS by <u>2009</u>, <u>1043</u>)

NRS 281A.150 "Public employee" defined. "Public employee" means any person who:

- 1. Performs public duties under the direction and control of a public officer for compensation paid by the State or any county, city or other political subdivision; or
  - 2. Is designated as a public employee for the purposes of this chapter pursuant to NRS 281A.182. (Added to NRS by <u>1985, 2121</u>; A <u>2009, 1047</u>; <u>2017, 2488</u>)

#### NRS 281A.160 "Public officer" defined.

- 1. "Public officer" means a person who is:
- (a) Elected or appointed to a position which:
- (1) Is established by the Constitution of the State of Nevada, a statute of this State or a charter or ordinance of any county, city or other political subdivision; and
  - (2) Involves the exercise of a public power, trust or duty; or
  - (b) Designated as a public officer for the purposes of this chapter pursuant to NRS 281A.182.
  - 2. As used in this section, "the exercise of a public power, trust or duty" means:
- (a) Actions taken in an official capacity which involve a substantial and material exercise of administrative discretion in the formulation of public policy;
  - (b) The expenditure of public money; and
  - (c) The administration of laws and rules of the State or any county, city or other political subdivision.
  - 3. "Public officer" does not include:
  - (a) Any justice, judge or other officer of the court system;
  - (b) Any member of a board, commission or other body whose function is advisory;
- (c) Any member of a special district whose official duties do not include the formulation of a budget for the district or the authorization of the expenditure of the district's money; or
  - (d) A county health officer appointed pursuant to NRS 439.290.
  - 4. "Public office" does not include an office held by:
  - (a) Any justice, judge or other officer of the court system;
  - (b) Any member of a board, commission or other body whose function is advisory;
- (c) Any member of a special district whose official duties do not include the formulation of a budget for the district or the authorization of the expenditure of the district's money; or
  - (d) A county health officer appointed pursuant to NRS 439.290.
- (Added to NRS by 1985, 2121; A 1987, 2093; 1999, 883; 2001, 658, 1955, 2288; 2003, 116; 2005, 2302; 2009, 1047; 2013, 3765)—(Substituted in revision for NRS 281.4365)
- NRS 281A.161 "Request for an advisory opinion" defined. "Request for an advisory opinion" means a request for an advisory opinion which is filed with the Commission pursuant to NRS 281A.675 by a public officer or employee who is:
- 1. Seeking guidance on matters which directly relate to the propriety of his or her own past, present or future conduct as a public officer or employee under the statutory ethical standards set forth in this chapter; or
  - 2. Requesting relief pursuant to <u>NRS 281A.410</u>, <u>281A.430</u> or <u>281A.550</u>. (Added to NRS by <u>2017</u>, <u>2478</u>)
- NRS 281A.162 "Review panel" defined. "Review panel" means a review panel appointed pursuant to NRS 281A.220

(Added to NRS by <u>2017</u>, <u>2478</u>)

- NRS 281A.163 "State agency" defined. "State agency" means any agency, bureau, board, commission, department, division, office or other unit of the Executive Department of the State Government. (Added to NRS by 2013, 3764)
- NRS 281A.165 "State Legislator" or "Legislator" defined. "State Legislator" or "Legislator" means a member of the Senate or Assembly of the State of Nevada. (Added to NRS by <u>2009</u>, <u>1043</u>)

- NRS 281A.170 "Willful violation" defined. "Willful violation" means a violation where the public officer or employee:
  - 1. Acted intentionally and knowingly; or
- 2. Was in a situation where this chapter imposed a duty to act and the public officer or employee intentionally and knowingly failed to act in the manner required by this chapter,

→ unless the Commission determines, after applying the factors set forth in NRS 281A.775, that the public officer's or employee's act or failure to act has not resulted in a sanctionable violation of this chapter.

(Added to NRS by 1999, 2728; A 2009, 1048; 2013, 3766; 2015, 917)—(Substituted in revision for NRS 281.4375)

NRS 281A.180 Terms "public officer" and "public employee" include former public officer or employee; exceptions. In applying the provisions of this chapter to an alleged violation by a former public officer or employee, the use of the term "public officer" or "public employee" in this chapter must be interpreted to include the former public officer or employee, unless the commencement of proceedings against the former public officer or employee concerning the alleged violation is time-barred by the statute of limitations pursuant to NRS 281A.280.

(Added to NRS by <u>2009</u>, <u>1044</u>)

#### NRS 281A.182 Persons serving in certain positions designated as public officers or employees; applicability.

- 1. Any person who serves in one of the following positions is designated as a public officer solely and exclusively for the purposes of this chapter:
- (a) A president of a university, state college or community college within the Nevada System of Higher Education.
  - (b) A superintendent of a county school district.
  - (c) A county manager or a city manager.
- 2. The provisions of subsection 1 apply to such a person regardless of whether the person serves in the position:
  - (a) By appointment, contract or employment;
  - (b) With or without compensation; or
  - (c) On a temporary, interim or acting basis.
- 3. A person who is not otherwise a public officer is designated as a public officer solely and exclusively for the purposes of this chapter if the person:
  - (a) Enters into a contract with any state or local agency;
  - (b) Is paid compensation with public money; and
- (c) Serves in a position which involves the exercise of a public power, trust or duty and which ordinarily would be held or filled by a public officer.
- 4. A person who is not otherwise a public employee is designated as a public employee solely and exclusively for the purposes of this chapter if:
  - (a) The person enters into a contract with any state or local agency;(b) The person is paid compensation with public money;
- (c) The person serves in a position which involves the performance of public duties under the substantial and continuing direction and control of a public officer or supervisory public employee;
- (d) The position ordinarily would be held or filled by a public employee and would require the public employee to hold a valid professional or occupational license or similar type of authorization issued by a state or local agency to perform the public duties of the position, other than a general business license or similar type of authorization;
- (e) The position is entrusted with public duties of a substantial and continuing nature which ordinarily would require a public employee to avoid conflicts between the private interests of the public employee and those of the general public whom the public employee serves; and
- (f) The person occupies the position on a full-time basis or its equivalent for a substantial and continuing period of time.
- 5. The provisions of subsections 3 and 4 must be interpreted and applied to ensure that a person does not evade the provisions of this chapter because a state or local agency elects to use a contractual relationship instead of an employment relationship for a position which ordinarily would be held or filled by a public officer or employee.
- 6. If, pursuant to this section, any person is designated as a public officer or employee for the purposes of this chapter, that designation:
- (a) Does not make the person a public officer or employee for the purposes of any other law or for any other purposes; and
- (b) Must not be used, interpreted or applied in any manner to establish, suggest or prove that the person is a public officer or employee for the purposes of any other law or for any other purposes.

(Added to NRS by 2013, 3764; A 2017, 2488)

#### NRS 281A.185 Abrogation of common-law privileges and immunities; exceptions.

1. In any proceeding commenced against a public officer or employee pursuant to the authority of this chapter, including any judicial review thereof, the public officer or employee who is the subject of the proceeding may not assert, claim or raise any common-law privilege or immunity as an affirmative defense, for testimonial or evidentiary purposes or for any other purpose.

- 2. The provisions of this chapter are intended to abrogate common-law privileges and immunities only in a proceeding commenced pursuant to the authority of this chapter and only for the public officer or employee who is the subject of the proceeding. This abrogation of common-law privileges and immunities does not apply to or affect:
- (a) Any privilege or immunity granted by the Constitution of the United States or of the State of Nevada or by NRS 41.071, chapter 49 of NRS or any other statute;
  - (b) Any person who is not the subject of the proceeding; or
  - (c) Any other proceeding that is not commenced pursuant to the authority of this chapter.

(Added to NRS by 2009, 1044)

#### NRS 281A.190 Computation of time. In computing any period prescribed or allowed by this chapter:

- 1. If the period begins to run on the occurrence of an act or event, the day on which the act or event begins is excluded from the computation.
- 2. The last day of the period is included in the computation, except that if the last day falls on a Saturday, Sunday, legal holiday or holiday proclaimed by the Governor or on a day on which the office of the Commission is not open for the conduct of business, the period is extended to the close of business on the next business day. (Added to NRS by 2013, 3764)

#### COMMISSION ON ETHICS

## NRS 281A.200 Creation; appointment, terms and qualifications of members; prohibited activities by members; vacancies.

- 1. The Commission on Ethics, consisting of eight members, is hereby created.
- 2. The Legislative Commission shall appoint to the Commission four residents of the State, at least two of whom must be former public officers or employees, and at least one of whom must be an attorney licensed to practice law in this State.
- 3. The Governor shall appoint to the Commission four residents of the State, at least two of whom must be former public officers or employees, and at least one of whom must be an attorney licensed to practice law in this State.
- 4. Not more than four members of the Commission may be members of the same political party. Not more than four members of the Commission may be residents of the same county.
  - 5. None of the members of the Commission may, while the member is serving on the Commission:
  - (a) Hold another public office;
  - (b) Be actively involved in the work of any political party or political campaign; or
- (c) Communicate directly with a State Legislator or a member of a local legislative body on behalf of someone other than himself or herself or the Commission, for compensation, to influence:
- (1) The State Legislator with regard to introducing or voting upon any matter or taking other legislative action; or
- (2) The member of the local legislative body with regard to introducing or voting upon any ordinance or resolution, taking other legislative action or voting upon:
  - (I) The appropriation of public money;
  - (II) The issuance of a license or permit; or
  - (III) Any proposed subdivision of land or special exception or variance from zoning regulations.
- 6. After the initial terms, the terms of the members are 4 years. Any vacancy in the membership must be filled by the appropriate appointing authority for the unexpired term. Each member may serve no more than two consecutive full terms.
- (Added to NRS by <u>1985, 2121</u>; A <u>1991, 1594</u>; <u>1999, 2731</u>; <u>2009, 1048</u>; <u>2013, 3766</u>)—(Substituted in revision for NRS 281.455)

#### NRS 281A.210 Chair; meetings; compensation; facilities.

- 1. The Commission shall:
- (a) At its first meeting and annually thereafter elect a Chair and Vice Chair from among its members.
- (b) Meet regularly at least once in each calendar quarter, unless there are no ethics complaints or requests for advisory opinions pursuant to this chapter, and at other times upon the call of the Chair.
- 2. Members of the Commission are entitled to receive a salary of not more than \$80 per day, as fixed by the Commission, while engaged in the business of the Commission.
- 3. While engaged in the business of the Commission, each member and employee of the Commission is entitled to receive the per diem allowance and travel expenses provided for state officers and employees generally.
- 4. The Commission may, within the limits of legislative appropriation, maintain such facilities as are required to carry out its functions.
- (Added to NRS by 1977, 1105; A 1981, 1979; 1983, 1440; 1985, 391, 2123; 1987, 2094; 1989, 1709; 1991, 1594; 1997, 256; 1999, 2732; 2005, 2278; 2017, 2489)

#### NRS 281A.220 Review panels: Appointment; composition; functions; disqualification of members from participation in further proceedings in matter.

- 1. The Chair shall appoint one or more review panels of three members of the Commission on a rotating basis to perform the functions assigned to such review panels pursuant to this chapter.
  - 2. The Chair and Vice Chair of the Commission may not serve together on a review panel.
  - 3. Not more than two members of a review panel may be members of the same political party.
- 4. If a review panel determines that there is just and sufficient cause for the Commission to render an opinion in a matter, the members of the review panel shall not participate in any further proceedings of the Commission relating to that matter.

(Added to NRS by 1999, 2730; A 2009, 1049; 2017, 2489)

#### NRS 281A.230 Executive Director: Appointment; qualifications; classification; prohibited activities and other employment.

- 1. The Commission shall appoint, within the limits of legislative appropriation, an Executive Director who shall perform the duties set forth in this chapter and such other duties as may be prescribed by the Commission.
  - The Executive Director must have experience in administration, investigations and law.
     The Executive Director is in the unclassified service of the State.
- 4. The Executive Director shall devote the Executive Director's entire time and attention to the business of the Commission and shall not pursue any other business or occupation or hold any other office of profit that detracts from the full and timely performance of the Executive Director's duties.
  - 5. The Executive Director may not:
  - (a) Be actively involved in the work of any political party or political campaign; or
- (b) Except in pursuit of the business of the Commission, communicate directly or indirectly with a State Legislator or a member of a local legislative body on behalf of someone other than the Executive Director to influence:
- (1) The State Legislator with regard to introducing or voting upon any matter or taking other legislative action: or
- (2) The member of the local legislative body with regard to introducing or voting upon any ordinance or resolution, taking other legislative action or voting upon:
  - (I) The appropriation of public money;
  - (II) The issuance of a license or permit; or
  - (III) Any proposed subdivision of land or special exception or variance from zoning regulations.

(Added to NRS by 1999, 2728; A 2009, 1049)—(Substituted in revision for NRS 281.463)

#### NRS 281A.240 Executive Director: Duties; employment of staff; designation of qualified person to perform duties when Executive Director unable to act on matter.

- 1. In addition to any other duties imposed upon the Executive Director, the Executive Director shall:
- (a) Maintain complete and accurate records of all transactions and proceedings of the Commission.
- (b) Receive ethics complaints and requests for advisory opinions pursuant to this chapter.
- (c) Gather information and conduct investigations regarding ethics complaints and requests for advisory opinions pursuant to this chapter.
- (d) Submit recommendations to the review panel regarding whether there is just and sufficient cause for the Commission to render an opinion in a matter.
- (e) Recommend to the Commission any regulations or legislation that the Executive Director considers desirable or necessary to improve the operation of the Commission and maintain high standards of ethical conduct in government.
- (f) Upon the request of any public officer or the employer of a public employee, conduct training on the requirements of this chapter, the rules and regulations adopted by the Commission and previous opinions of the Commission. In any such training, the Executive Director shall emphasize that the Executive Director is not a member of the Commission and that only the Commission may issue opinions concerning the application of the statutory ethical standards to any given set of facts and circumstances. The Commission may charge a reasonable fee to cover the costs of training provided by the Executive Director pursuant to this paragraph.
  - (g) Perform such other duties, not inconsistent with law, as may be required by the Commission.
- The Executive Director shall, within the limits of legislative appropriation, employ such persons as are necessary to carry out any of the Executive Director's duties relating to:
  - (a) The administration of the affairs of the Commission; and
  - (b) The investigation of matters under the jurisdiction of the Commission.
- 3. If the Executive Director is prohibited from acting on a particular matter or is otherwise unable to act on a particular matter, the Chair of the Commission shall designate a qualified person to perform the duties of the Executive Director with regard to that particular matter.

(Added to NRS by 1999, 2729; A 2003, 3385; 2005, 2278; 2009, 1050; 2011, 1726; 2013, 3767; 2017, 2490)

#### NRS 281A,250 Commission Counsel: Appointment; qualifications; classification; prohibited activities and other employment.

- 1. The Commission shall appoint, within the limits of legislative appropriation, a Commission Counsel who shall perform the duties set forth in this chapter and such other duties as may be prescribed by the Commission.
  - 2. The Commission Counsel must be an attorney who is licensed to practice law in this State.
  - 3. The Commission Counsel is in the unclassified service of the State.
- 4. The Commission Counsel shall devote the Commission Counsel's entire time and attention to the business of the Commission and shall not pursue any other business or occupation or hold any other office of profit that detracts from the full and timely performance of the Commission Counsel's duties.
  - 5. The Commission Counsel may not:
  - (a) Be actively involved in the work of any political party or political campaign; or
- (b) Except in pursuit of the business of the Commission, communicate directly or indirectly with a State Legislator or a member of a local legislative body on behalf of someone other than the Commission Counsel to influence:
- (1) The State Legislator with regard to introducing or voting upon any matter or taking other legislative action; or
- (2) The member of the local legislative body with regard to introducing or voting upon any ordinance or resolution, taking other legislative action or voting upon:
  - (I) The appropriation of public money;
  - (II) The issuance of a license or permit; or
  - (III) Any proposed subdivision of land or special exception or variance from zoning regulations. (Added to NRS by 1999, 2729; A 2001, 568; 2009, 1050)—(Substituted in revision for NRS 281.464)

## NRS 281A.260 Commission Counsel: Duties; legal advice; appointment or employment of other counsel by Commission under certain circumstances.

- 1. The Commission Counsel is the legal adviser to the Commission. For each opinion of the Commission, the Commission Counsel shall prepare, at the direction of the Commission, the appropriate findings of fact and conclusions as to relevant standards and the propriety of particular conduct. The Commission Counsel shall not issue written opinions concerning the applicability of the statutory ethical standards to a given set of facts and circumstances except as directed by the Commission.
- 2. The Commission may rely upon the legal advice of the Commission Counsel in conducting its daily operations.
- 3. If the Commission Counsel is prohibited from acting on a particular matter or is otherwise unable to act on a particular matter, the Commission may:
  - (a) Request that the Attorney General appoint a deputy to act in the place of the Commission Counsel; or
  - (b) Employ outside legal counsel.
- (Added to NRS by 1977, 1107; A 1985, 2126; 1999, 2743; 2005, 1577; 2009, 1051; 2013, 3768)—(Substituted in revision for NRS 281.4645)
- NRS 281A.265 Discretionary-function immunity for members and employees of Commission. For the purposes of NRS 41.032, the members of the Commission and employees of the Commission shall be deemed to be exercising or performing a discretionary function or duty in taking any action pursuant to the provisions of this chapter.

(Added to NRS by <u>2017</u>, <u>2487</u>)

# NRS 281A.270 Assessment for administrative costs: Determination; payment by certain cities and counties; use of proceeds; collection.

- 1. Each county whose population is 10,000 or more and each city whose population is 15,000 or more and that is located within such a county shall pay an assessment for the costs incurred by the Commission each biennium in carrying out its functions pursuant to this chapter. The total amount of money to be derived from assessments paid pursuant to this subsection for a biennium must be determined by the Legislature in the legislatively approved budget of the Commission for that biennium. The assessments must be apportioned among each such city and county based on the proportion that the total population of the city or the total population of the unincorporated area of the county bears to the total population of all such cities and the unincorporated areas of all such counties in this State.
- 2. On or before July 1 of each odd-numbered year, the Executive Director shall, in consultation with the Budget Division of the Office of Finance and the Fiscal Analysis Division of the Legislative Counsel Bureau, determine for the next ensuing biennium the amount of the assessments due for each city and county that is required to pay an assessment pursuant to subsection 1. The assessments must be paid to the Commission in semiannual installments that are due on or before August 1 and February 1 of each year of the biennium. The Executive Director shall send out a billing statement to each such city or county which states the amount of the semiannual installment payment due from the city or county.
  - 3. Any money that the Commission receives pursuant to subsection 2:
- (a) Must be deposited in the State Treasury, accounted for separately in the State General Fund and credited to the budget account for the Commission;

- (b) May only be used to carry out the provisions of this chapter and only to the extent authorized for expenditure by the Legislature;
  - (c) Does not revert to the State General Fund at the end of any fiscal year; and
  - (d) Does not revert to a city or county if:
- (1) The actual expenditures by the Commission are less than the amount of the assessments approved by the Legislature pursuant to subsection 1 and the city or county has already remitted its semiannual installment to the Commission for the billing period; or
- (2) The budget of the Commission is modified after the amount of the assessments has been approved by the Legislature pursuant to subsection 1 and the city or county has already remitted its semiannual installment to the Commission for the billing period.
- 4. If any installment payment is not paid on or before the date on which it is due, the Executive Director shall make reasonable efforts to collect the delinquent payment. If the Executive Director is not able to collect the arrearage, the Executive Director shall submit a claim for the amount of the unpaid installment payment to the Department of Taxation. If the Department of Taxation receives such a claim, the Department shall deduct the amount of the claim from money that would otherwise be allocated from the Local Government Tax Distribution Account to the city or county that owes the installment payment and shall transfer that amount to the Commission.
- 5. As used in this section, "population" means the current population estimate for that city or county as determined and published by the Department of Taxation and the demographer employed pursuant to NRS 360.283.

(Added to NRS by 2003, 2661; A 2011, 1206; 2013, 3768)—(Substituted in revision for NRS 281.4647)

NRS 281A.275 Authority to apply for and accept grants, contributions, services and money. The Commission may apply for and accept grants, contributions, services or money for the purposes of carrying out the provisions of this chapter only if the action is approved by a majority vote in an open public meeting of the Commission and the Commission complies with the provisions of the State Budget Act.

(Added to NRS by <u>2013</u>, <u>3764</u>)

#### NRS 281A.280 Jurisdiction; statute of limitations.

- 1. Except as otherwise provided in this section, the Commission has jurisdiction to investigate and take appropriate action regarding an alleged violation of this chapter by a public officer or employee or former public officer or employee in any proceeding commenced by an ethics complaint, which is filed with the Commission or initiated by the Commission on its own motion, within 2 years after the alleged violation or reasonable discovery of the alleged violation.
- 2. The Commission does not have jurisdiction regarding alleged conduct by a public officer or employee or former public officer or employee for which:
- (a) A complaint may be filed or, if the applicable limitations period has expired, could have been filed with the United States Equal Employment Opportunity Commission or the Nevada Equal Rights Commission; or
- (b) A complaint or employment-related grievance may be filed or, if the applicable limitations period has expired, could have been filed with another appropriate agency with jurisdiction to redress alleged discrimination or harassment, including, without limitation, a state or local employee-management relations board or similar state or local agency,
- but any bar on the Commission's jurisdiction imposed by this subsection applies only to the extent that it pertains to the alleged discrimination or harassment, and this subsection does not deprive the Commission of jurisdiction regarding the alleged conduct if such conduct is sanctionable separately or concurrently under the provisions of this chapter, irrespective of the alleged discrimination or harassment.
  - 3. For the purposes of this section, a proceeding is commenced:
- (a) On the date on which an ethics complaint is filed in the proper form with the Commission in accordance with the regulations of the Commission; or
- (b) If the ethics complaint is initiated by the Commission on its own motion, on the date on which the Commission serves the public officer or employee or former public officer or employee with notice of the ethics complaint in accordance with the regulations of the Commission.

(Added to NRS by 1995, 2443; Ā 1997, 256; 1999, 2732; 2005, 2279; 2009, 1051; 2017, 2490)

## NRS 281A.290 Duties of Commission; inclusion of annotations of opinions of Commission in Nevada Revised Statutes. The Commission shall:

- 1. Adopt procedural regulations that are necessary and proper to carry out the provisions of this chapter, including, without limitation:
  - (a) To facilitate the receipt of inquiries by the Commission;
  - (b) For the filing of an ethics complaint or a request for an advisory opinion with the Commission;
- (c) For the withdrawal of an ethics complaint or a request for an advisory opinion by the person who filed the ethics complaint or request;
  - (d) To facilitate the prompt rendition of opinions by the Commission; and

- (e) For proceedings concerning an ethics complaint, to facilitate written discovery requests submitted pursuant to NRS 281A.750 and 281A.755 and the disclosure of evidence in the manner required by those sections, including, without limitation, the disclosure of evidence obtained by or on behalf of the Executive Director during the course of the investigation that affirmatively and substantively disproves any alleged violation of this chapter that is related to the ethics complaint and has been referred to the Commission for an adjudicatory hearing.
- 2. Prescribe, by regulation, forms and procedures for the submission of statements of acknowledgment filed by public officers pursuant to NRS 281A.500, maintain files of such statements and make the statements available for public inspection.
- 3. Cause the making of such investigations as are reasonable and necessary for the rendition of its opinions pursuant to this chapter.
- 4. Inform the Attorney General or district attorney of all cases of noncompliance with the requirements of this chapter.
- 5. Recommend to the Legislature such further legislation as the Commission considers desirable or necessary to promote and maintain high standards of ethical conduct in government.
- 6. Publish a manual for the use of public officers and employees that explains the requirements of this
- → The Legislative Counsel shall prepare annotations to this chapter for inclusion in the Nevada Revised Statutes based on the published opinions of the Commission.

(Added to NRS by 1977, 1105; A 1985, 2124; 1991, 1595; 1999, 2732; 2003, 3019, 3386; 2003, 20th Special Session, 265; 2011, 1726; 2013, 3769; 2017, 2491)

## NRS 281A.300 Oaths; written requests and subpoenas for participation, attendance and production of books and papers; enforcement by court for noncompliance. The Chair and Vice Chair of the Commission may administer oaths. The Commission upon majority water.

The Commission, upon majority vote, may issue a subpoena to compel the attendance of a witness and the production of any books and papers for any hearing before the Commission.

3. Upon the request of the Executive Director, the Chair or, in the Chair's absence, the Vice Chair, may issue a subpoena to compel the participation of a potential witness and the production of any books and papers

during the course of any investigation.

- 4. Upon the request of the Executive Director or the public officer or employee who is the subject of an ethics complaint, the Chair or, in the Chair's absence, the Vice Chair, may issue a subpoena to compel the attendance of a witness and the production of any books and papers for any hearing before the Commission. A public officer or employee who requests the issuance of a subpoena pursuant to this subsection must serve the subpoena in the manner provided in the Nevada Rules of Civil Procedure for service of subpoenas in a civil action and must pay the costs of such service.
- 5. Before issuing a subpoena to a public officer or employee who is the subject of an ethics complaint to compel his or her participation in any investigation, his or her attendance as a witness or his or her production of any books and papers, the Executive Director shall submit a written request to the public officer or employee requesting:
  - (a) The voluntary participation of the public officer or employee in the investigation;
  - (b) The voluntary attendance of the public officer or employee as a witness; or
- (c) The voluntary production by the public officer or employee of any books and papers relating to the ethics complaint.
- 6. Each written request submitted by the Executive Director pursuant to subsection 5 must specify the time and place for the voluntary participation of the public officer or employee in the investigation, attendance of the public officer or employee as a witness or production of any books and papers, and designate with certainty the books and papers requested, if any.
- 7. If the public officer or employee fails or refuses to respond to the Executive Director's written request pursuant to subsection 5 to voluntarily participate or attend at the time and place specified or produce the books and papers requested by the Executive Director within 5 business days after receipt of the written request, the Chair or, in the Chair's absence, the Vice Chair, may issue the subpoena. Failure of the public officer or employee to comply with the written request of the Executive Director shall be deemed a waiver by the public officer or employee of the time limits set forth in NRS 281A.700 to 281A.790, inclusive, that apply to proceedings concerning the ethics complaint.
- 8. If any witness fails or refuses to participate, attend, testify or produce any books and papers as required by the subpoena, the Chair or, in the Chair's absence, the Vice Chair, may report to the district court by petition,
- (a) Due notice has been given of the time and place of the participation or attendance of the witness or the production of the books and papers;
  - (b) The witness has been subpoenaed pursuant to this section; and
- (c) The witness has failed or refused to participate, attend, testify or produce the books and papers as required by the subpoena, or has failed or refused to answer questions propounded to the witness,

→ and asking for an order of the court compelling the witness to participate, attend, testify or produce the books and papers as required by the subpoena.

9. Upon such a petition, the court shall enter an order directing the witness to appear before the court at a time and place to be fixed by the court in its order, the time to be not more than 10 days after the date of the order, and then and there show cause why the witness has not participated, attended, testified or produced the books or papers as required by the subpoena. A certified copy of the order must be served upon the witness.

10. If it appears to the court that the subpoena was regularly issued pursuant to this section, the court shall enter an order that the witness comply with the subpoena, at the time and place fixed in the order, and participate, attend, testify or produce the required books and papers. Upon failure to obey the order, the witness must be dealt with as for contempt of court.

(Added to NRS by 1991, 1591; A 1997, 257; 1999, 2733; 2003, 3387; 2005, 2279; 2009, 1052; 2013, 3769; 2017, 2492)

#### SPECIALIZED OR LOCAL ETHICS COMMITTEE

#### NRS 281A.350 Establishment; functions; limitations on powers; confidentiality.

- 1. Any state agency or the governing body of a county or an incorporated city may establish a specialized or local ethics committee to complement the functions of the Commission. A specialized or local ethics committee may:
- (a) Establish a code of ethical standards suitable for the particular ethical problems encountered in its sphere of activity. The standards may not be less restrictive than the statutory ethical standards.
- (b) Render an opinion upon the request of any public officer or employee of its own organization or level seeking an interpretation of its ethical standards on questions directly related to the propriety of the public officer's or employee's own future official conduct or refer the request to the Commission. Any public officer or employee subject to the jurisdiction of the committee shall direct the public officer's or employee's inquiry to that committee instead of the Commission.
- (c) Require the filing of financial disclosure statements by public officers on forms prescribed by the committee or the city clerk if the form has been:
- (1) Submitted, at least 60 days before its anticipated distribution, to the Secretary of State for review; and
- (2) Upon review, approved by the Secretary of State. The Secretary of State shall not approve the form unless the form contains all the information required to be included in a financial disclosure statement pursuant to NRS 281.571.
- 2. The Secretary of State is not responsible for the costs of producing or distributing a form for filing a financial disclosure statement pursuant to the provisions of subsection 1.
- 3. A specialized or local ethics committee shall not attempt to interpret or render an opinion regarding the statutory ethical standards.
- 4. Each request for an opinion submitted to a specialized or local ethics committee, each hearing held to obtain information on which to base an opinion, all deliberations relating to an opinion, each opinion rendered by a committee and any motion relating to the opinion are confidential unless:
  - (a) The public officer or employee acts in contravention of the opinion; or
  - (b) The requester discloses the content of the opinion.

(Added to NRS by 1977, 1107; A 1985, 2126; 1991, 105; 1995, 2198, 2445; 1997, 640, 641; 2011, 1727; 2013, 3781; 2015, 1726)—(Substituted in revision for NRS 281A.470)

#### **CODE OF ETHICAL STANDARDS**

NRS 281A.400 General requirements; exceptions. A code of ethical standards is hereby established to govern the conduct of public officers and employees:

- 1. A public officer or employee shall not seek or accept any gift, service, favor, employment, engagement, emolument or economic opportunity, for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity, which would tend improperly to influence a reasonable person in the public officer's or employee's position to depart from the faithful and impartial discharge of the public officer's or employee's public duties.
- 2. A public officer or employee shall not use the public officer's or employee's position in government to secure or grant unwarranted privileges, preferences, exemptions or advantages for the public officer or employee, any business entity in which the public officer or employee has a significant pecuniary interest or any person to whom the public officer or employee has a commitment in a private capacity. As used in this subsection, "unwarranted" means without justification or adequate reason.
- 3. A public officer or employee shall not participate as an agent of government in the negotiation or execution of a contract between the government and the public officer or employee, any business entity in which the public officer or employee has a significant pecuniary interest or any person to whom the public officer or employee has a commitment in a private capacity.

4. A public officer or employee shall not accept any salary, retainer, augmentation, expense allowance or other compensation from any private source, for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity, for the performance of the public officer's or employee's duties as a public officer or employee.

5. If a public officer or employee acquires, through the public officer's or employee's public duties or relationships, any information which by law or practice is not at the time available to people generally, the public officer or employee shall not use the information to further a significant pecuniary interest of the public officer or

employee or any other person or business entity.

- 6. A public officer or employee shall not suppress any governmental report or other official document because it might tend to affect unfavorably a significant pecuniary interest of the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity.
- 7. Except for State Legislators who are subject to the restrictions set forth in subsection 8, a public officer or employee shall not use governmental time, property, equipment or other facility to benefit a significant personal or pecuniary interest of the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity. This subsection does not prohibit:

(a) A limited use of governmental property, equipment or other facility for personal purposes if:

- (1) The public officer or employee who is responsible for and has authority to authorize the use of such property, equipment or other facility has established a policy allowing the use or the use is necessary as a result of emergency circumstances;
  - (2) The use does not interfere with the performance of the public officer's or employee's public duties;

(3) The cost or value related to the use is nominal; and

(4) The use does not create the appearance of impropriety;

(b) The use of mailing lists, computer data or other information lawfully obtained from a governmental agency which is available to members of the general public for nongovernmental purposes; or

(c) The use of telephones or other means of communication if there is not a special charge for that use.

→ If a governmental agency incurs a cost as a result of a use that is authorized pursuant to this subsection or would ordinarily charge a member of the general public for the use, the public officer or employee shall promptly reimburse the cost or pay the charge to the governmental agency.

8. A State Legislator shall not:

(a) Use governmental time, property, equipment or other facility for a nongovernmental purpose or for the private benefit of the State Legislator or any other person. This paragraph does not prohibit:

(1) A limited use of state property and resources for personal purposes if:

(I) The use does not interfere with the performance of the State Legislator's public duties;

(II) The cost or value related to the use is nominal; and

(III) The use does not create the appearance of impropriety;

(2) The use of mailing lists, computer data or other information lawfully obtained from a governmental agency which is available to members of the general public for nongovernmental purposes; or

(3) The use of telephones or other means of communication if there is not a special charge for that use.

- (b) Require or authorize a legislative employee, while on duty, to perform personal services or assist in a private activity, except:
- (1) In unusual and infrequent situations where the employee's service is reasonably necessary to permit the State Legislator or legislative employee to perform that person's official duties; or

(2) Where such service has otherwise been established as legislative policy.

- 9. A public officer or employee shall not attempt to benefit a significant personal or pecuniary interest of the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity through the influence of a subordinate.
- 10. A public officer or employee shall not seek other employment or contracts for the public officer or employee or any person to whom the public officer or employee has a commitment in a private capacity through the use of the public officer's or employee's official position.

(Added to NRS by 1977, 1105; A 1987, 2094; 1991, 1595; 1993, 2243; 1997, 3324; 1999, 2736; 2003, 3388; 2009, 1053; 2013, 3771; 2017, 2493)

NRS 281A.410 Limitations on representing or counseling private persons before public agencies; request for relief from strict application of certain provisions. In addition to the requirements of the code of ethical standards and the other provisions of this chapter:

- 1. If a public officer or employee serves in a state agency of the Executive Department or an agency of any county, city or other political subdivision, the public officer or employee:
- (a) Shall not accept compensation from any private person to represent or counsel the private person on any issue pending before the agency in which that public officer or employee serves, if the agency makes decisions; and
- (b) If the public officer or employee leaves the service of the agency, shall not, for 1 year after leaving the service of the agency, represent or counsel for compensation a private person upon any issue which was under consideration by the agency during the public officer's or employee's service. As used in this paragraph, "issue"

includes a case, proceeding, application, contract or determination, but does not include the proposal or consideration of legislative measures or administrative regulations.

- 2. Except as otherwise provided in subsection 3, a State Legislator or a member of a local legislative body, or a public officer or employee whose public service requires less than half of his or her time, may represent or counsel a private person before an agency in which he or she does not serve.
- 3. A member of a local legislative body shall not represent or counsel a private person for compensation before another local agency if the territorial jurisdiction of the other local agency includes any part of the county in which the member serves. The Commission may relieve the member from the strict application of the provisions of this subsection if:
- (a) The member files a request for an advisory opinion from the Commission pursuant to NRS 281A.675; nd
  - (b) The Commission determines that such relief is not contrary to:
    - (1) The best interests of the public;
    - (2) The continued ethical integrity of each local agency affected by the matter; and
    - (3) The provisions of this chapter.
- 4. For the purposes of subsection 3, the request for an advisory opinion, the advisory opinion and all meetings, hearings and proceedings of the Commission in such a matter are governed by the provisions of NRS 281A.670 to 281A.690, inclusive.
- 5. Unless permitted by this section, a public officer or employee shall not represent or counsel a private person for compensation before any state agency of the Executive or Legislative Department.

(Added to NRS by 1977, 1106; A 1991, 1597; 2001, 2289; 2007, 638; 2009, 1054; 2013, 3772; 2017, 2495)

# NRS 281A.420 Requirements regarding disclosure of conflicts of interest and abstention from voting because of certain types of conflicts; effect of abstention on quorum and voting requirements; exceptions.

- 1. Except as otherwise provided in this section, a public officer or employee shall not approve, disapprove, vote, abstain from voting or otherwise act upon a matter:
  - (a) Regarding which the public officer or employee has accepted a gift or loan;
  - (b) In which the public officer or employee has a significant pecuniary interest;
- (c) Which would reasonably be affected by the public officer's or employee's commitment in a private capacity to the interests of another person; or
- (d) Which would reasonably be related to the nature of any representation or counseling that the public officer or employee provided to a private person for compensation before another agency within the immediately preceding year, provided such representation or counseling is permitted by NRS 281A.410,
- without disclosing information concerning the gift or loan, the significant pecuniary interest, the commitment in a private capacity to the interests of the other person or the nature of the representation or counseling of the private person that is sufficient to inform the public of the potential effect of the action or abstention upon the person who provided the gift or loan, upon the public officer's or employee's significant pecuniary interest, upon the person to whom the public officer or employee has a commitment in a private capacity or upon the private person who was represented or counseled by the public officer or employee. Such a disclosure must be made at the time the matter is considered. If the public officer or employee is a member of a body which makes decisions, the public officer or employee shall make the disclosure in public to the chair and other members of the body. If the public officer or employee is not a member of such a body and holds an appointive office, the public officer or employee shall make the disclosure to the supervisory head of the public officer's or employee's organization or, if the public officer holds an elective office, to the general public in the area from which the public officer is elected.
  - 2. The provisions of subsection 1 do not require a public officer to disclose:
- (a) Any campaign contributions that the public officer reported in a timely manner pursuant to <u>NRS</u> 294A.120 or 294A.125; or
- (b) Any contributions to a legal defense fund that the public officer reported in a timely manner pursuant to NRS 294A 286
- 3. Except as otherwise provided in this section, in addition to the requirements of subsection 1, a public officer shall not vote upon or advocate the passage or failure of, but may otherwise participate in the consideration of, a matter with respect to which the independence of judgment of a reasonable person in the public officer's situation would be materially affected by:
  - (a) The public officer's acceptance of a gift or loan;
  - (b) The public officer's significant pecuniary interest; or
  - (c) The public officer's commitment in a private capacity to the interests of another person.
  - 4. In interpreting and applying the provisions of subsection 3:
- (a) It must be presumed that the independence of judgment of a reasonable person in the public officer's situation would not be materially affected by the public officer's acceptance of a gift or loan, significant pecuniary interest or commitment in a private capacity to the interests of another person where the resulting benefit or detriment accruing to the public officer, or if the public officer has a commitment in a private capacity to the interests of another person, accruing to the other person, is not greater than that accruing to any other member of any general business, profession, occupation or group that is affected by the matter. The presumption

set forth in this paragraph does not affect the applicability of the requirements set forth in subsection 1 relating to the duty of the public officer to make a proper disclosure at the time the matter is considered and in the manner required by subsection 1.

- (b) The Commission must give appropriate weight and proper deference to the public policy of this State which favors the right of a public officer to perform the duties for which the public officer was elected or appointed and to vote or otherwise act upon a matter, provided the public officer makes a proper disclosure at the time the matter is considered and in the manner required by subsection 1. Because abstention by a public officer disrupts the normal course of representative government and deprives the public and the public officer's constituents of a voice in governmental affairs, the provisions of this section are intended to require abstention only in clear cases where the independence of judgment of a reasonable person in the public officer's situation would be materially affected by the public officer's acceptance of a gift or loan, significant pecuniary interest or commitment in a private capacity to the interests of another person.
- 5. Except as otherwise provided in NRS 241.0355, if a public officer declares to the body or committee in which the vote is to be taken that the public officer will abstain from voting because of the requirements of this section, the necessary quorum to act upon and the number of votes necessary to act upon the matter, as fixed by any statute, ordinance or rule, is reduced as though the member abstaining were not a member of the body or committee.
  - 6. The provisions of this section do not, under any circumstances:
  - (a) Prohibit a member of a local legislative body from requesting or introducing a legislative measure; or
- (b) Require a member of a local legislative body to take any particular action before or while requesting or introducing a legislative measure.
- 7. The provisions of this section do not, under any circumstances, apply to State Legislators or allow the Commission to exercise jurisdiction or authority over State Legislators. The responsibility of a State Legislator to make disclosures concerning gifts, loans, interests or commitments and the responsibility of a State Legislator to abstain from voting upon or advocating the passage or failure of a matter are governed by the Standing Rules of the Legislative Department of State Government which are adopted, administered and enforced exclusively by the appropriate bodies of the Legislative Department of State Government pursuant to Section 6 of Article 4 of the Nevada Constitution.
- 8. As used in this section, "public officer" and "public employee" do not include a State Legislator. (Added to NRS by 1977, 1106; A 1987, 2095; 1991, 1597; 1995, 1083; 1997, 3326; 1999, 2738; 2003, 818, 1735, 3389; 2007, 3372; 2009, 1055, 1057; 2013, 3774; 2017, 2496)

# NRS 281A.430 Contracts in which public officer or employee has interest prohibited; exceptions; request for relief from strict application of certain provisions.

- 1. Except as otherwise provided in this section and <u>NRS 218A.970</u> and <u>332.800</u>, a public officer or employee shall not bid on or enter into a contract between an agency and any business entity in which the public officer or employee has a significant pecuniary interest.
- 2. A member of any board, commission or similar body who is engaged in the profession, occupation or business regulated by such board, commission or body may, in the ordinary course of his or her business, bid on or enter into a contract with an agency, except the board, commission or body on which he or she is a member, if the member has not taken part in developing the contract plans or specifications and the member will not be personally involved in opening, considering or accepting offers.
- 3. A full- or part-time faculty member or employee of the Nevada System of Higher Education may bid on or enter into a contract with an agency, or may benefit financially or otherwise from a contract between an agency and a private entity, if the contract complies with the policies established by the Board of Regents of the University of Nevada pursuant to NRS 396.255.
- 4. Except as otherwise provided in subsection 2, 3 or 5, a public officer or employee may bid on or enter into a contract with an agency if:
- (a) The contracting process is controlled by the rules of open competitive bidding or the rules of open competitive bidding or for a solicitation are not employed as a result of the applicability of NRS 332.112 or 332.148;
  - (b) The sources of supply are limited;
  - (c) The public officer or employee has not taken part in developing the contract plans or specifications; and
- (d) The public officer or employee will not be personally involved in opening, considering or accepting offers.
- → If a public officer who is authorized to bid on or enter into a contract with an agency pursuant to this subsection is a member of the governing body of the agency, the public officer, pursuant to the requirements of NRS 281A.420, shall disclose the public officer's interest in the contract and shall not vote on or advocate the approval of the contract.
- 5. A member of a local legislative body shall not, either individually or through any business entity in which the member has a significant pecuniary interest, sell goods or services to the local agency governed by his or her local legislative body unless:
- (a) The member, or the business entity in which the member has a significant pecuniary interest, offers the sole source of supply of the goods or services within the territorial jurisdiction of the local agency governed by

his or her local legislative body;

- (b) The local legislative body includes in the public notice and agenda for the meeting at which it will consider the purchase of such goods or services a clear and conspicuous statement that it is considering purchasing such goods or services from one of its members, or from a business entity in which the member has a significant pecuniary interest;
- (c) At the meeting, the member discloses his or her significant pecuniary interest in the purchase of such goods or services and does not vote upon or advocate the approval of the matter pursuant to the requirements of NRS 281A.420; and
- (d) The local legislative body approves the purchase of such goods or services in accordance with all other applicable provisions of law.
- 6. The Commission may relieve a public officer or employee from the strict application of the provisions of this section if:
- (a) The public officer or employee files a request for an advisory opinion from the Commission pursuant to NRS <u>281A.675</u>; and
  - (b) The Commission determines that such relief is not contrary to:

    - The best interests of the public;
       The continued ethical integrity of each agency affected by the matter; and
    - (3) The provisions of this chapter.
- 7. For the purposes of subsection 6, the request for an advisory opinion, the advisory opinion and all meetings, hearings and proceedings of the Commission in such a matter are governed by the provisions of NRS 281A.670 to <u>281A.690</u>, inclusive.

(Added to NRS by 1993, 2241; A 1995, 689; 2001, 1629; 2003, 892; 2009, 1060; 2013, 3776; 2017, 2498; <u>2019, 786</u>)

#### ADDITIONAL REQUIREMENTS, ETHICAL STANDARDS, REMEDIES AND PENALTIES

NRS 281A.500 Notice and acknowledgment of statutory ethical standards: Distribution of information regarding standards; duty to file acknowledgment; contents; form; retention; penalty for willful refusal to file.

- 1. On or before the date on which a public officer swears or affirms the oath of office, the public officer must be informed of the statutory ethical standards and the duty to file an acknowledgment of the statutory ethical standards in accordance with this section by:
  - (a) For an appointed public officer, the appointing authority of the public officer; and
  - (b) For an elected public officer of:
    - (1) The county and other political subdivisions within the county except cities, the county clerk;
  - (2) The city, the city clerk;
- (3) The Legislative Department of the State Government, the Director of the Legislative Counsel Bureau; and
- (4) The Executive Department of the State Government, the Director of the Department of Administration, or his or her designee.
  - 2. Within 30 days after a public employee begins employment:
- (a) The Director of the Department of Administration, or his or her designee, shall provide each new public employee of a state agency with the information prepared by the Commission concerning the statutory ethical standards; and
- (b) The manager of each local agency, or his or her designee, shall provide each new public employee of the local agency with the information prepared by the Commission concerning the statutory ethical standards.
  - 3. Each public officer shall acknowledge that the public officer:
  - (a) Has received, read and understands the statutory ethical standards; and
- (b) Has a responsibility to inform himself or herself of any amendments to the statutory ethical standards as soon as reasonably practicable after each session of the Legislature.
- 4. The acknowledgment must be executed on a form prescribed by the Commission and must be filed with the Commission:
- (a) If the public officer is elected to office at the general election, on or before January 15 of the year following the public officer's election.
- (b) If the public officer is elected to office at an election other than the general election or is appointed to office, on or before the 30th day following the date on which the public officer swears or affirms the oath of office.
- 5. Except as otherwise provided in this subsection, a public officer shall execute and file the acknowledgment once for each term of office. If the public officer serves at the pleasure of the appointing authority and does not have a definite term of office, the public officer, in addition to executing and filing the acknowledgment after the public officer swears or affirms the oath of office in accordance with subsection 4, shall execute and file the acknowledgment on or before January 15 of each even-numbered year while the public officer holds that office.

- 6. For the purposes of this section, the acknowledgment is timely filed if, on or before the last day for filing, the acknowledgment is filed in one of the following ways:
  - (a) Delivered in person to the principal office of the Commission in Carson City.
- (b) Mailed to the Commission by first-class mail, or other class of mail that is at least as expeditious, postage prepaid. Filing by mail is complete upon timely depositing the acknowledgment with the United States Postal
- (c) Dispatched to a third-party commercial carrier for delivery to the Commission within 3 calendar days. Filing by third-party commercial carrier is complete upon timely depositing the acknowledgment with the thirdparty commercial carrier.
- (d) Transmitted to the Commission by facsimile machine or other electronic means authorized by the Commission. Filing by facsimile machine or other electronic means is complete upon receipt of the transmission by the Commission.
- 7. If a public officer is serving in a public office and executes and files the acknowledgment for that office as required by the applicable provisions of this section, the public officer shall be deemed to have satisfied the requirements of this section for any other office held concurrently by him or her.
  - 8. The form for making the acknowledgment must contain:
- (a) The address of the Internet website of the Commission where a public officer may view the statutory ethical standards and print a copy of the standards; and
- (b) The telephone number and mailing address of the Commission where a public officer may make a request to obtain a printed copy of the statutory ethical standards from the Commission.
- 9. Whenever the Commission, or any public officer or employee as part of the public officer's or employee's official duties, provides a public officer with a printed copy of the form for making the acknowledgment, a printed copy of the statutory ethical standards must be included with the form.
- 10. The Commission shall retain each acknowledgment filed pursuant to this section for 6 years after the date on which the acknowledgment was filed.
  - 11. Willful refusal to execute and file the acknowledgment required by this section shall be deemed to be:
  - (a) A willful violation of this chapter for the purposes of NRS 281A.785 and 281A.790; and
- (b) Nonfeasance in office for the purposes of NRS 283.440 and, if the public officer is removable from office pursuant to NRS 283.440, the Commission may file a complaint in the appropriate court for removal of the public officer pursuant to that section. This paragraph grants an exclusive right to the Commission, and no other person may file a complaint against the public officer pursuant to NRS 283.440 based on any violation of this section.
  - 12. As used in this section, "general election" has the meaning ascribed to it in NRS 293.060.

(Added to NRS by 1999, 2730; A 2001, 2289; 2003, 3020, 3396; 2003, 20th Special Session, 265; 2009, 1066; 2013, 3784; 2017, 2503)

#### NRS 281A.510 Public officer or employee prohibited from accepting or receiving honorarium; penalty.

- 1. A public officer or public employee shall not accept or receive an honorarium.
- 2. An honorarium paid on behalf of a public officer or public employee to a charitable organization from which the officer or employee does not derive any financial benefit is deemed not to be accepted or received by the officer or employee for the purposes of this section.
  - 3. This section does not prohibit:
- (a) The receipt of payment for work performed outside the normal course of a person's public office or employment if the performance of that work is consistent with the applicable policies of the person's public employer regarding supplemental employment.
- (b) The receipt of an honorarium by the spouse of a public officer or public employee if it is related to the spouse's profession or occupation.
- 4. As used in this section, "honorarium" means the payment of money or anything of value for an appearance or speech by the public officer or public employee in the officer's or employee's capacity as a public officer or public employee. The term does not include the payment of:
- (a) The actual and necessary costs incurred by the public officer or public employee, the officer's or employee's spouse or the officer's or employee's aid for transportation and for lodging and meals while the public officer or public employee is away from the officer's or employee's residence.
- (b) Compensation which would otherwise have been earned by the public officer or public employee in the normal course of the officer's or employee's public office or employment.
- (c) A fee for a speech related to the officer's or employee's profession or occupation outside of the officer's or employee's public office or employment if:
  - (1) Other members of the profession or occupation are ordinarily compensated for such a speech; and
- (2) The fee paid to the public officer or public employee is approximately the same as the fee that would be paid to a member of the private sector whose qualifications are similar to those of the officer or employee for a comparable speech.
  - (d) A fee for a speech delivered to an organization of legislatures, legislators or other elected officers.
- 5. In addition to any other penalties provided by law, a public officer or public employee who violates the provisions of this section shall forfeit the amount of the honorarium.

(Added to NRS by 1991, 1592; A 1999, 2745; 2007, 640; 2017, 2505)

NRS 281A.520 Public officer or employee prohibited from requesting or otherwise causing governmental entity to incur expense or make expenditure to support or oppose ballot question or candidate in certain circumstances.

- 1. Except as otherwise provided in subsections 4 and 5, a public officer or employee shall not request or otherwise cause a governmental entity to incur an expense or make an expenditure to support or oppose:
  - (a) A ballot question.
  - (b) A candidate.
- 2. For the purposes of paragraph (b) of subsection 1, an expense incurred or an expenditure made by a governmental entity shall be considered an expense incurred or an expenditure made in support of a candidate if:
- (a) The expense is incurred or the expenditure is made for the creation or dissemination of a pamphlet, brochure, publication, advertisement or television programming that prominently features the activities of a current public officer of the governmental entity who is a candidate for a state, local or federal elective office; and
- (b) The pamphlet, brochure, publication, advertisement or television programming described in paragraph (a) is created or disseminated during the period specified in subsection 3.
- 3. The period during which the provisions of subsection 2 apply to a particular governmental entity begins when a current public officer of that governmental entity files a declaration of candidacy and ends on the date of the general election, general city election or special election for the office for which the current public officer of the governmental entity is a candidate.
- 4. The provisions of this section do not prohibit the creation or dissemination of, or the appearance of a candidate in or on, as applicable, a pamphlet, brochure, publication, advertisement or television programming that:
  - (a) Is made available to the public on a regular basis and merely describes the functions of:
    - (1) The public office held by the public officer who is the candidate; or
    - (2) The governmental entity by which the public officer who is the candidate is employed; or
  - (b) Is created or disseminated in the course of carrying out a duty of:
    - (1) The public officer who is the candidate; or
    - (2) The governmental entity by which the public officer who is the candidate is employed.
- 5. The provisions of this section do not prohibit an expense or an expenditure incurred to create or disseminate a television program that provides a forum for discussion or debate regarding a ballot question, if persons both in support of and in opposition to the ballot question participate in the television program.
  - 6. As used in this section:
  - (a) "Governmental entity" means:
    - (1) The government of this State;
    - (2) An agency of the government of this State;
    - (3) A political subdivision of this State; and
    - (4) An agency of a political subdivision of this State.
- (b) "Pamphlet, brochure, publication, advertisement or television programming" includes, without limitation, a publication, a public service announcement and any programming on a television station created to provide community access to cable television. The term does not include:
  - (1) A press release issued to the media by a governmental entity; or
  - (2) The official website of a governmental entity.
  - (Added to NRS by 2003, 925; A 2009, 1067; 2019, 3419)

# NRS 281A.540 Governmental grant, contract or lease and certain actions taken in violation of chapter are voidable; prohibited contract is void; recovery of benefit received as result of violation.

- 1. In addition to any other penalties provided by law, a governmental grant, contract or lease entered into in violation of this chapter is voidable by the State, county, city or political subdivision. In a determination under this section of whether to void a grant, contract or lease, the interests of innocent third parties who could be damaged must be taken into account. The Attorney General, district attorney or city attorney must give notice of the intent to void a grant, contract or lease under this section no later than 30 days after the Commission has determined that there has been a related violation of this chapter.
- 2. In addition to any other penalties provided by law, a contract prohibited by NRS 281.230 which is knowingly entered into by a person designated in subsection 1 of NRS 281.230 is void.
- 3. Any action taken by the State in violation of this chapter is voidable, except that the interests of innocent third parties in the nature of the violation must be taken into account. The Attorney General may also pursue any other available legal or equitable remedies.
- 4. In addition to any other penalties provided by law, the Attorney General may recover any fee, compensation, gift or benefit received by a person as a result of a violation of this chapter by a public officer. An action to recover pursuant to this section must be brought within 2 years after the violation or reasonable discovery of the violation.

(Added to NRS by 1991, 1593; A 2009, 1068)—(Substituted in revision for NRS 281.557)

NRS 281A.550 Employment of certain former public officers and employees by regulated businesses prohibited; certain former public officers and employees prohibited from soliciting or accepting employment from certain persons contracting with State or local government; request for relief from strict application of certain provisions.

1. A former member of the Public Utilities Commission of Nevada shall not:

(a) Be employed by a public utility or parent organization or subsidiary of a public utility; or

- (b) Appear before the Public Utilities Commission of Nevada to testify on behalf of a public utility or parent organization or subsidiary of a public utility,
- → for 1 year after the termination of the member's service on the Public Utilities Commission of Nevada.
  - 2. A former member of the Nevada Gaming Control Board or the Nevada Gaming Commission shall not:
- (a) Appear before the Nevada Gaming Control Board or the Nevada Gaming Commission on behalf of a person who holds a license issued pursuant to chapter 463 or 464 of NRS or who is required to register with the Nevada Gaming Commission pursuant to chapter 463 of NRS; or

(b) Be employed by such a person,

- → for 1 year after the termination of the member's service on the Nevada Gaming Control Board or the Nevada
- Gaming Commission.
  3. In addition to the prohibitions set forth in subsections 1 and 2, and except as otherwise provided in subsections 4 and 6, a former public officer or employee of a board, commission, department, division or other agency of the Executive Department of State Government, except a clerical employee, shall not solicit or accept employment from a business or industry whose activities are governed by regulations adopted by the board, commission, department, division or other agency for 1 year after the termination of the former public officer's or employee's service or period of employment if:
- (a) The former public officer's or employee's principal duties included the formulation of policy contained in the regulations governing the business or industry;
- (b) During the immediately preceding year, the former public officer or employee directly performed activities, or controlled or influenced an audit, decision, investigation or other action, which significantly affected the business or industry which might, but for this section, employ the former public officer or employee; or
- (c) As a result of the former public officer's or employee's governmental service or employment, the former public officer or employee possesses knowledge of the trade secrets of a direct business competitor.
- 4. The provisions of subsection 3 do not apply to a former public officer who was a member of a board, commission or similar body of the State if:
- (a) The former public officer is engaged in the profession, occupation or business regulated by the board, commission or similar body;
  - (b) The former public officer holds a license issued by the board, commission or similar body; and
- (c) Holding a license issued by the board, commission or similar body is a requirement for membership on the board, commission or similar body.
- 5. Except as otherwise provided in subsection 6, a former public officer or employee of the State or a political subdivision, except a clerical employee, shall not solicit or accept employment from a person to whom a contract for supplies, materials, equipment or services was awarded by the State or political subdivision, as applicable, for 1 year after the termination of the officer's or employee's service or period of employment, if:
  - (a) The amount of the contract exceeded \$25,000;
- (b) The contract was awarded within the 12-month period immediately preceding the termination of the officer's or employee's service or period of employment; and
- (c) The position held by the former public officer or employee at the time the contract was awarded allowed the former public officer or employee to affect or influence the awarding of the contract.
- 6. A current or former public officer or employee may file a request for an advisory opinion pursuant to NRS 281A.675 concerning the application of the relevant facts in that person's case to the provisions of subsection 3 or 5, as applicable, and determine whether relief from the strict application of those provisions is proper. If the Commission determines that relief from the strict application of the provisions of subsection 3 or 5, as applicable, is not contrary to:
  - (a) The best interests of the public;
  - (b) The continued ethical integrity of the State Government or political subdivision, as applicable; and
- (c) The provisions of this chapter,
- it may issue an advisory opinion to that effect and grant such relief.
- 7. For the purposes of subsection 6, the request for an advisory opinion, the advisory opinion and all meetings, hearings and proceedings of the Commission in such a matter are governed by the provisions of NRS 281A.670 to 281A.690, inclusive.
- 8. The advisory opinion does not relieve the current or former public officer or employee from the strict application of any provision of NRS 281A.410.
  - 9. For the purposes of this section:
- (a) A former member of the Public Utilities Commission of Nevada, the Nevada Gaming Control Board or the Nevada Gaming Commission; or
  - (b) Any other former public officer or employee governed by this section,

- ⇒ is employed by or is soliciting or accepting employment from a business, industry or other person described in this section if any oral or written agreement is sought, negotiated or exists during the restricted period pursuant to which the personal services of the public officer or employee are provided or will be provided to the business, industry or other person, even if such an agreement does not or will not become effective until after the restricted period.
- 10. As used in this section, "regulation" has the meaning ascribed to it in NRS 233B.038 and also includes regulations adopted by a board, commission, department, division or other agency of the Executive Department of State Government that is exempted from the requirements of chapter 233B of NRS.

(Added to NRS by 2009, 1044; A 2015, 924; 2017, 2506)

#### **OPINIONS GENERALLY**

NRS 281A.665 Opinions of Commission may include guidance to public officer or employee. The Commission's opinions may include guidance to a public officer or employee on questions whether:

1. A conflict exists between the public officer's or employee's personal interest and the public officer's or employee's official duty.

2. The public officer's or employee's official duties involve the use of discretionary judgment whose exercise in the particular matter would have a significant effect upon the disposition of the matter.

3. The conflict would materially affect the independence of the judgment of a reasonable person in the public officer's or employee's situation.

4. The public officer or employee possesses special knowledge which is an indispensable asset of the public officer's or employee's public agency and is needed by it to reach a sound decision.

5. It would be appropriate for the public officer or employee to withdraw or abstain from participation, disclose the nature of the public officer's or employee's conflicting personal interest or pursue some other designated course of action in the matter.

(Added to NRS by <u>1977, 1107</u>; A <u>1985, 2126</u>; <u>1987, 2097</u>; <u>1997, 258</u>; <u>2005, 2280</u>)—(Substituted in revision for NRS 281A.460)

#### ADVISORY OPINIONS

NRS 281A.670 Applicability. The provisions of NRS 281A.670 to 281A.690, inclusive, apply to proceedings concerning a request for an advisory opinion.

(Added to NRS by 2017, 2479)

# NRS 281A.675 Initiation of request for advisory opinion; purpose of request; form and contents; Commission may decline to render advisory opinion under certain circumstances.

- 1. A public officer or employee may file with the Commission a request for an advisory opinion to:
- (a) Seek guidance on matters which directly relate to the propriety of his or her own past, present or future conduct as a public officer or employee under the statutory ethical standards set forth in this chapter; or
  - (b) Request relief pursuant to <u>NRS 281A.410</u>, <u>281A.430</u> or <u>281A.550</u>.
  - 2. The request for an advisory opinion must be:
  - (a) Filed on a form prescribed by the Commission; and
  - (b) Submitted with all necessary information for the Commission to render an advisory opinion in the matter.
  - 3. The Commission may decline to render an advisory opinion if the public officer or employee does not:
  - (a) Submit all necessary information for the Commission to render an advisory opinion in the matter; or
  - (b) Declare by oath or affirmation that he or she will testify truthfully regarding the matter.

(Added to NRS by 2017, 2479)

# NRS 281A.680 Rendering of advisory opinion by Commission; deadline and waiver; certain advisory opinions binding upon requester; judicial review; confidentiality and waiver.

- 1. If a public officer or employee properly files a request for an advisory opinion, the Commission shall render an advisory opinion that interprets the statutory ethical standards and applies those standards to the given set of facts and circumstances. The Commission shall render the advisory opinion within 45 days after receiving the request, unless the requester waives this time limit.
- 2. If the advisory opinion rendered by the Commission relates to the propriety of the present or future conduct of the requester, the advisory opinion is:
  - (a) Binding upon the requester with regard to the future conduct of the requester; and
  - (b) A final decision that is subject to judicial review pursuant to NRS 233B.130.
- 3. If the requester seeks judicial review pursuant to NRS 233B.130, any proceedings concerning such judicial review must be confidential and held in closed court without admittance of persons other than those necessary to the proceedings, unless the requester waives this right to confidential proceedings.

(Added to NRS by <u>2017</u>, <u>2479</u>)

## NRS 281A.685 Confidentiality of certain materials; no duty on Commission or staff to protect confidentiality of materials not in their possession; exceptions.

- 1. Except as otherwise provided in this section, the following materials are confidential and are not public records pursuant to <u>chapter 239</u> of NRS:
  - (a) A request for an advisory opinion;
  - (b) The advisory opinion rendered by the Commission in response to the request;
- (c) Any information, communications, records, documents or other materials in the possession of the Commission or its staff that are related to the request; and
- (d) Any information, communications, records, documents or other materials in the possession of the requester of the advisory opinion that are related to the request and, if disclosed by the requester, would reveal the existence, nature or content of the request or the advisory opinion.
- 2. The provisions of subsection 1 do not create or impose any duty on the Commission or its staff to protect or defend against the disclosure of any materials not in the possession of the Commission or its staff, regardless of whether the materials are related to the request.
- 3. The provisions of subsection 1 do not apply to any materials in the possession of the Commission or its staff that are related to the request if the requester of the advisory opinion:
- (a) Acts in contravention of the advisory opinion, in which case the Commission may disclose the request, the advisory opinion and any information, communications, records, documents or other materials in the possession of the Commission or its staff that are related to the request;
- (b) Authorizes the Commission, in writing, to make the request, the advisory opinion or any information, communications, records, documents or other materials in the possession of the Commission or its staff that are related to the request publicly available; or
- (c) Voluntarily discloses, in any manner, the request, the advisory opinion or any information, communications, records, documents or other materials in the possession of the Commission or its staff that are related to the request, except to:
  - (1) The public body, agency or employer of the requester or the legal counsel of the requester;
  - (2) Any person to whom the Commission authorizes the requester to make such a disclosure; or
- (3) Any person to whom the requester makes such a disclosure for the purposes of judicial review pursuant to NRS 281A.680.

(Added to NRS by <u>2017</u>, <u>2479</u>)

## NRS 281A.690 Inapplicability of Open Meeting Law to proceedings concerning request for advisory opinion; exceptions.

- 1. Except as otherwise provided in this section, the provisions of <u>chapter 241</u> of NRS do not apply to:
- (a) Any meeting or hearing held by the Commission to receive information or evidence concerning a request for an advisory opinion; and
  - (b) Any deliberations or actions of the Commission on such information or evidence.
- 2. The public officer or employee who files the request for an advisory opinion may also file a request with the Commission to hold a public meeting or hearing regarding the request for an advisory opinion.

(Added to NRS by <u>2017</u>, <u>2480</u>)

#### ETHICS COMPLAINTS AND OPINIONS

#### **General Provisions**

NRS 281A.700 Applicability. The provisions of NRS 281A.700 to 281A.790, inclusive, apply to proceedings concerning an ethics complaint. (Added to NRS by 2017, 2480)

#### NRS 281A.705 Legal defense of state officer or employee subject to ethics complaint.

- 1. If an ethics complaint is filed with or initiated by the Commission concerning a present or former state officer or employee, unless the state officer or employee retains his or her legal counsel or the Attorney General tenders the defense of the state officer or employee to an insurer who, pursuant to a contract of insurance, is authorized to defend the state officer or employee, the Attorney General shall defend the state officer or employee or employ special counsel to defend the state officer or employee in any proceeding relating to the ethics complaint if:
- (a) The state officer or employee submits a written request for defense in the manner provided in NRS 41.0339; and
- (b) Based on the facts and allegations known to the Attorney General, the Attorney General determines that the act or omission on which the alleged violation is based:
- (1) Appears to be within the course and scope of public duty or employment of the state officer or employee; and
  - (2) Appears to have been performed or omitted in good faith.

2. The Attorney General shall create a written record setting forth the basis for the Attorney General's determination of whether to defend the state officer or employee pursuant to paragraph (b) of subsection 1. The written record is not admissible in evidence at trial or in any other judicial or administrative proceeding in which the state officer or employee is a party, except in connection with an application to withdraw as the attorney of record.

(Added to NRS by 2005, 2556; A 2017, 2500)—(Substituted in revision for NRS 281A.450)

#### **Proceedings**

# NRS 281A.710 Initiation of ethics complaint; form and contents; Commission may decline to render opinion under certain circumstances.

- 1. Except as otherwise provided in this section and NRS 281A.280, the Commission may render an opinion that interprets the statutory ethical standards and applies those standards to a given set of facts and circumstances regarding the propriety of the conduct of a public officer or employee if an ethics complaint is:
  - (a) Filed by a specialized or local ethics committee established pursuant to NRS 281A.350.
- (b) Filed by any person, except a person who is incarcerated in a correctional facility in this State or any other jurisdiction.
- (c) Initiated by the Commission on its own motion, except the Commission shall not initiate such an ethics complaint based solely upon an anonymous complaint.
  - 2. An ethics complaint filed by a person must be:
  - (a) Verified under oath and filed on a form prescribed by the Commission; and
- (b) Submitted with sufficient evidence to support the allegations in order for the Commission to make a determination of whether it has jurisdiction in the matter and whether an investigation is warranted in the matter pursuant to NRS 281A.715 and 281A.720.
- 3. The Commission may decline to render an opinion if the person who files the ethics complaint does not submit all necessary evidence in the matter.

(Added to NRS by 2017, 2480)

# NRS 281A.715 Determination of jurisdiction and whether evidence warrants investigation; deadline and waiver; dismissal for lack of jurisdiction or insufficient evidence; initiation of investigation.

- 1. Based on the evidence submitted with an ethics complaint filed with the Commission pursuant to NRS 281A.710, the Commission shall determine whether it has jurisdiction in the matter and whether an investigation is warranted in the matter. The Commission shall make its determination within 45 days after receiving the ethics complaint, unless the public officer or employee who is the subject of the ethics complaint waives this time limit.
- 2. If the Commission determines that it does not have jurisdiction in the matter, the Commission shall dismiss the matter.
- 3. If the Commission determines that it has jurisdiction in the matter but the evidence submitted with the ethics complaint is not sufficient to warrant an investigation in the matter, the Commission shall dismiss the matter, with or without issuing a letter of caution or instruction to the public officer or employee pursuant to NRS 281A.780.
- 4. If the Commission determines that it has jurisdiction in the matter and the evidence submitted with the ethics complaint is sufficient to warrant an investigation in the matter, the Commission may direct the Executive Director to investigate the ethics complaint pursuant to NRS 281A.720.

(Added to NRS by <u>2017, 2481</u>)

# NRS 281A.720 Investigation by Executive Director; notice of investigation; opportunity to submit response; deadline and extension; purpose of response; preservation of objections and defenses.

- 1. If the Commission directs the Executive Director to investigate an ethics complaint pursuant to NRS 281A.715 or if the Commission initiates an ethics complaint on its own motion pursuant to NRS 281A.710, the Executive Director shall investigate the facts and circumstances relating to the ethics complaint to determine whether the Executive Director believes that there is just and sufficient cause for the Commission to render an opinion in the matter in order to present a written recommendation to the review panel pursuant to NRS 281A.725.
- 281A.725.

  2. The Executive Director shall provide notice of the investigation pursuant to this section to the public officer or employee who is the subject of the ethics complaint and provide the public officer or employee an opportunity to submit to the Executive Director a response to the allegations against the public officer or employee in the ethics complaint. The response must be submitted within 30 days after the date on which the public officer or employee receives the notice of the investigation pursuant to this section, unless the Executive Director grants an extension.
- 3. The purpose of the response submitted pursuant to this section is to provide the Executive Director and the review panel with any information relevant to the ethics complaint which the public officer or employee believes may assist:
- (a) The Executive Director in performing his or her investigation and other functions pursuant to this section and NRS 281A.725; and

- (b) The review panel in performing its review and other functions pursuant to NRS 281A.730.
- 4. The public officer of employee is not required in the response submitted pursuant to this section or in any proceedings before the review panel to assert, claim or raise any objection or defense, in law or fact, to the allegations against the public officer or employee, and no objection or defense, in law or fact, is waived, abandoned or barred by the failure to assert, claim or raise it in the response or in any proceedings before the review panel.

(Added to NRS by <u>2017, 2481</u>)

# NRS 281A.725 Completion of investigation by Executive Director; presentation of written recommendation to review panel; deadline and waiver; contents of recommendation.

- 1. Except as otherwise provided in this subsection, the Executive Director shall complete the investigation required by NRS 281A.720 and present a written recommendation to the review panel within 70 days after the Commission directs the Executive Director to investigate the ethics complaint or after the Commission initiates the ethics complaint on its own motion, as applicable. The public officer or employee who is the subject of the ethics complaint may waive this time limit.
  - 2. The recommendation must:
  - (a) Set forth the factual and legal basis for the recommendation;
- (b) State whether the Executive Director believes that there is just and sufficient cause for the Commission to render an opinion in the matter; and
- (c) If the Executive Director believes that a disposition of the matter without an adjudicatory hearing is appropriate under the facts and circumstances, state any suggested disposition that is consistent with the provisions of this chapter, including, without limitation, whether the Executive Director believes that the conduct at issue may be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement.

(Added to NRS by <u>2017</u>, <u>2482</u>)

# NRS 281A.730 Consideration of recommendation by review panel; determination of just and sufficient cause; deadline and waiver; record of proceedings; dismissal; approval of deferral agreement; referral to Commission for further proceedings.

- 1. Except as otherwise provided in this section, the review panel shall determine whether there is just and sufficient cause for the Commission to render an opinion in the matter within 15 days after the Executive Director provides the review panel with the recommendation required by NRS 281A.725. The public officer or employee who is the subject of the ethics complaint may waive this time limit.
  - 2. The review panel shall cause a record of its proceedings to be kept.
- 3. The review panel shall not determine that there is just and sufficient cause for the Commission to render an opinion in the matter unless the Executive Director has provided the public officer or employee an opportunity to respond to the allegations as required by NRS 281A.720.
- 4. If the review panel determines that there is not just and sufficient cause for the Commission to render an opinion in the matter, it shall dismiss the matter, with or without prejudice, and with or without issuing a letter of caution or instruction to the public officer or employee pursuant to NRS 281A.780.
- 5. If the review panel determines that there is just and sufficient cause for the Commission to render an opinion in the matter but reasonably believes that the conduct at issue may be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel may:
- (a) Approve a deferral agreement proposed by the Executive Director and the public officer or employee instead of referring the ethics complaint to the Commission for further proceedings in the matter; or
- (b) Authorize the Executive Director and the public officer or employee to develop such a deferral agreement and may thereafter approve such a deferral agreement instead of referring the ethics complaint to the Commission for further proceedings in the matter.
- 6. If the review panel does not approve a deferral agreement pursuant to subsection 5 or if the public officer or employee declines to enter into such a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.
- 7. If the review panel determines that there is just and sufficient cause for the Commission to render an opinion in the matter and reasonably believes that the conduct at issue may not be appropriately addressed through additional training or other corrective action under the terms and conditions of a deferral agreement, the review panel shall refer the ethics complaint to the Commission for further proceedings in the matter.

(Added to NRS by <u>2017</u>, <u>2482</u>)

## NRS 281A.735 Inapplicability of Open Meeting Law to proceedings of review panel. The provisions of <u>chapter 241</u> of NRS do not apply to:

- 1. Any meeting or hearing held by the review panel to receive information or evidence concerning an ethics complaint; and
  - 2. Any deliberations or actions of the review panel on such information or evidence. (Added to NRS by 2017, 2483)

# NRS 281A.740 Deferral agreements: Development; approval; enforcement; contents; terms and conditions; monitoring and documenting compliance; proceedings for noncompliance; dismissal of matter after satisfactory compliance.

1. In proceedings concerning an ethics complaint, the Executive Director and the public officer or employee who is the subject of the ethics complaint may develop a deferral agreement to defer further proceedings in the matter under the terms and conditions of the deferral agreement.

2. A deferral agreement does not become effective unless approved by the review panel pursuant to <u>NRS 281A.730</u>. If the review panel approves a deferral agreement, the Commission shall enforce the terms and conditions of the deferral agreement.

3. A deferral agreement must:

- (a) Specify the training or other corrective action to be completed by or imposed upon the public officer or employee;
- (b) Specify any other terms and conditions, consistent with the provisions of this chapter, to be imposed upon the public officer or employee; and
- (c) Provide that the Commission may vacate the deferral agreement and conduct further proceedings in the matter if the Commission finds that the public officer or employee has failed to comply with any terms and conditions of the deferral agreement.
- 4. The imposition of training or other corrective action and the imposition of any other terms and conditions in a deferral agreement is without prejudice to any other disposition of the matter, consistent with this chapter, that may be ordered by the Commission if it vacates the deferral agreement and conducts further proceedings in the matter and finds that the public officer or employee has violated any provision of this chapter.
- 5. The Executive Director shall monitor the compliance of the public officer or employee who is the subject of a deferral agreement and may require the public officer or employee to document his or her compliance with the deferral agreement.
  - 6. The Executive Director shall:
- (a) Inform the Commission of any alleged failure of the public officer or employee to comply with the deferral agreement;
- (b) Give the public officer or employee written notice of any alleged failure to comply with the deferral agreement; and
  - (c) Allow the public officer or employee not less than 15 days to respond to such a notice.
- 7. Within 60 days after the date on which the public officer or employee responds or was entitled to respond to the written notice of any alleged failure to comply with the deferral agreement, the Commission shall determine whether the public officer or employee failed to comply with the deferral agreement, unless the public officer or employee waives this time limit.
- 8. If the Commission determines that the public officer or employee failed to comply with the deferral agreement, the Commission may take any action it deems appropriate, consistent with the terms and conditions of the deferral agreement and the provisions of this chapter, including, without limitation, vacating the deferral agreement and conducting further proceedings in the matter.
- 9. If the public officer or employee who is the subject of the deferral agreement complies in a satisfactory manner with the deferral agreement, the Commission shall dismiss the matter.

(Added to NRS by <u>2017</u>, <u>2483</u>)

# NRS 281A.745 Adjudicatory hearings: Powers and duties of Commission; deadline and waiver; procedural rights; evidence; use of telephone or video conference.

- 1. If the review panel refers an ethics complaint to the Commission for further proceedings in the matter pursuant to NRS 281A.730 or if the Commission vacates a deferral agreement and conducts further proceedings in the matter pursuant to NRS 281A.740, the Commission shall hold an adjudicatory hearing and render an opinion in the matter within 60 days after the date on which the review panel refers the ethics complaint to the Commission or the Commission vacates the deferral agreement, as appropriate, unless the public officer or employee who is the subject of the ethics complaint waives this time limit.
- 2. If the Commission holds an adjudicatory hearing to receive evidence concerning an ethics complaint, the Commission shall:
- (a) Notify the public officer or employee who is the subject of the ethics complaint of the date, time and place of the hearing;
  - (b) Allow the public officer or employee to be represented by legal counsel; and
- (c) Allow the public officer or employee to hear the evidence presented to the Commission and to respond and present evidence on his or her own behalf.
- 3. Unless the public officer or employee agrees to a shorter time, an adjudicatory hearing may not be held less than 10 days after the date on which the notice of the hearing is given to the public officer or employee.
- 4. For good cause shown, the Commission may take testimony from a person by telephone or video conference at an adjudicatory hearing or at any other proceedings concerning the ethics complaint.

(Added to NRS by <u>2017</u>, <u>2484</u>)

#### NRS 281A.750 Confidentiality of certain materials; exceptions; confidentiality of identity of certain requesters; disclosure of identity under certain circumstances.

- 1. Except as otherwise provided in this section and NRS 281A.755, all information, communications, records, documents or other materials in the possession of the Commission, the review panel or their staff that are related to an ethics complaint are confidential and are not public records pursuant to chapter 239 of NRS until:
- (a) The review panel determines whether there is just and sufficient cause for the Commission to render an opinion in the matter and serves written notice of its determination on the public officer or employee who is the subject of the ethics complaint; or
- (b) The public officer or employee who is the subject of the ethics complaint authorizes the Commission, in writing, to make the information, communications, records, documents or other materials that are related to the ethics complaint publicly available,
- → whichever occurs first.
- 2. Except as otherwise provided in subsection 3, if a person who files an ethics complaint asks that his or her identity as the requester be kept confidential, the Commission:
- (a) Shall keep the identity of the requester confidential if he or she is a public officer or employee who works for the same public body, agency or employer as the public officer or employee who is the subject of the ethics complaint.
- (b) May keep the identity of the requester confidential if he or she offers sufficient facts and circumstances showing a reasonable likelihood that disclosure of his or her identity will subject the requester or a member of his or her household to a bona fide threat of physical force or violence.
- 3. If the Commission keeps the identity of the requester confidential, the Commission shall not render an opinion in the matter unless there is sufficient evidence without the testimony of the requester to consider the propriety of the conduct of the public officer or employee who is the subject of the ethics complaint. If the Executive Director intends to present the testimony of the requester as evidence for consideration by the Commission at the adjudicatory hearing or in rendering an opinion in the matter and the public officer or employee who is the subject of the ethics complaint submits a written discovery request to the Commission pursuant to NRS 281A.755, the Commission shall disclose the name of the requester only as a proposed witness within a reasonable time before the adjudicatory hearing on the matter.

(Added to NRS by <u>2017</u>, <u>2484</u>)

#### NRS 281A.755 Confidentiality of investigative file; exceptions; discovery request for list of proposed witnesses and certain portions of investigative file; contents of investigative file.

- 1. Except as otherwise provided in this section, the investigative file related to an ethics complaint is confidential and is not a public record pursuant to chapter 239 of NRS.
- 2. At any time after being served with written notice of the determination of the review panel regarding the existence of just and sufficient cause for the Commission to render an opinion in the matter, the public officer or employee who is the subject of the ethics complaint may submit a written discovery request to the Commission for a list of proposed witnesses and a copy of any portion of the investigative file that the Executive Director intends to present as evidence for consideration by the Commission at the adjudicatory hearing or in rendering an opinion in the matter.
- 3. Any portion of the investigative file which the Executive Director presents as evidence for consideration by the Commission at the adjudicatory hearing or in rendering an opinion in the matter becomes a public record and must be open for inspection pursuant to chapter 239 of NRS.
  - 4. For the purposes of this section:
  - (a) The investigative file includes, without limitation:
- (1) Any response concerning the ethics complaint prepared by the public officer or employee pursuant to NRS 281A.720 and submitted to the Executive Director and the review panel during the course of the investigation and any proceedings before the review panel;
- (2) Any recommendation concerning the ethics complaint prepared by the Executive Director pursuant to NRS 281A.725 and submitted to the review panel during the course of the investigation and any proceedings before the review panel; and
- (3) Any other information provided to or obtained by or on behalf of the Executive Director through any form of communication during the course of the investigation and any proceedings before the review panel and any records, documents or other materials created or maintained during the course of the investigation and any proceedings before the review panel which relate to the public officer or employee who is the subject of the ethics complaint, including, without limitation, a transcript, regardless of whether such information, records, documents or other materials are obtained pursuant to a subpoena.
  - (b) The investigative file does not include any deferral agreement.

(Added to NRS by 2017, 2485)

#### NRS 281A.760 Inapplicability of Open Meeting Law to certain proceedings of Commission. The provisions of chapter 241 of NRS do not apply to:

1. Any meeting or hearing held by the Commission to receive information or evidence concerning an ethics complaint; and

2. Any deliberations of the Commission on such information or evidence. (Added to NRS by 2017, 2486)

#### **Disposition; Remedies and Penalties**

## NRS 281A.765 Opinions must include findings of fact and conclusions of law; dismissal of matter if violation not proven; authorized actions if violation proven.

- 1. If the Commission renders an opinion in proceedings concerning an ethics complaint, the opinion must include findings of fact and conclusions of law.
- 2. If, in proceedings concerning an ethics complaint, the Commission determines that a violation of this chapter:
- (a) Has not been proven, the Commission shall dismiss the matter, with or without prejudice, and with or without issuing a letter of caution or instruction to the public officer or employee pursuant to <u>NRS 281A.780</u>.
  - (b) Has been proven, the Commission may take any action authorized by this chapter. (Added to NRS by 2017, 2486)

NRS 281A.770 General standards for resolving ethics complaints by stipulations, agreed settlements or consent orders and for approving deferral agreements. In any matter in which the Commission disposes of an ethics complaint by stipulation, agreed settlement or consent order or in which the review panel approves a deferral agreement, the Commission or the review panel, as appropriate, shall treat comparable situations in a comparable manner and shall ensure that the disposition of the matter bears a reasonable relationship to the severity of the violation or alleged violation.

(Added to NRS by 2013, 3764; A 2017, 2500)—(Substituted in revision for NRS 281A.465)

## NRS 281A.775 Additional standards for determining whether violation is willful violation and type of penalty imposed and for approving deferral agreements.

- 1. The Commission, in determining whether a violation of this chapter is a willful violation and, if so, the penalty to be imposed on a public officer or employee or former public officer or employee pursuant to NRS 281A.785 or 281A.790, or the review panel, in determining whether to approve a deferral agreement regarding an alleged violation, shall consider, without limitation:
- (a) The seriousness of the violation or alleged violation, including, without limitation, the nature, circumstances, extent and gravity of the violation or alleged violation;
- (b) The number and history of previous warnings, letters of caution or instruction, deferral agreements or violations or alleged violations of the provisions of this chapter relating to the public officer or employee;
- (c) The cost to conduct the investigation and any meetings, hearings or other proceedings relating to the violation or alleged violation;
- (d) Any mitigating factors, including, without limitation, any self-reporting, prompt correction of the violation or alleged violation, any attempts to rectify the violation or alleged violation before any ethics complaint is filed and any cooperation by the public officer or employee in resolving the ethics complaint;
  - (e) Any restitution or reimbursement paid to parties affected by the violation or alleged violation;
  - (f) The extent of any financial gain resulting from the violation or alleged violation; and
  - (g) Any other matter justice may require.
- 2. The factors set forth in this section are not exclusive or exhaustive, and the Commission or the review panel, as appropriate, may consider other factors in the disposition of the matter if they bear a reasonable relationship to the determination of the severity of the violation or alleged violation.
- 3. In applying the factors set forth in this section, the Commission or the review panel, as appropriate, shall treat comparable situations in a comparable manner and shall ensure that the disposition of the matter bears a reasonable relationship to the severity of the violation or alleged violation.

(Added to NRS by 2013, 3765; A 2015, 921; 2017, 2500)—(Substituted in revision for NRS 281A.475)

# NRS 281A.780 Letters of caution or instruction: Contents; confidentiality; effect on subsequent ethics complaints.

- 1. In proceedings concerning an ethics complaint, the Commission or the review panel, as appropriate, may issue a letter of caution or instruction to the public officer or employee who is the subject of the ethics complaint to caution or instruct the public officer or employee regarding the propriety of his or her conduct under the statutory ethical standards set forth in this chapter.
- 2. If the Commission or the review panel issues a letter of caution or instruction to the public officer or employee, the letter:
  - (a) Is confidential and is not a public record pursuant to chapter 239 of NRS.
- (b) May be considered in deciding the appropriate action to be taken on any subsequent ethics complaint involving the public officer or employee, unless the letter is not relevant to the issues presented by the subsequent ethics complaint.

(Added to NRS by 2017, 2486)

## NRS 281A.785 Types of remedies and penalties; judicial review of certain actions of Commission; limitations on judicial review of actions of review panel.

1. Except as otherwise provided in this section, in proceedings concerning an ethics complaint, the Commission, based on a finding that a violation of this chapter has been proven, or the review panel, as part of the terms and conditions of a deferral agreement, may, in addition to any other penalty provided by law and in accordance with the provisions of NRS 281A.775:

(a) Require the public officer or employee who is the subject of the ethics complaint to:

- (1) Comply in all respects with the provisions of this chapter for a specified period without being the subject of another ethics complaint arising from an alleged violation of this chapter by the public officer or employee which occurs during the specified period and for which the review panel determines that there is just and sufficient cause for the Commission to render an opinion in the matter.
  - (2) Attend and complete training.
  - (3) Follow a remedial course of action.
  - (4) Issue a public apology.
  - (5) Comply with conditions or limitations on future conduct.
  - (b) Publicly admonish, reprimand or censure the public officer or employee.
- (c) Take any combination of such actions or any other reasonable action that the Commission or the review panel, as appropriate, determines will remedy the violation or alleged violation or deter similar violations or conduct.
- 2. In carrying out the provisions of subsection 1, the Commission, based on a finding that a violation of this chapter has been proven, or the review panel, as part of the terms and conditions of a deferral agreement, may publicly:
- (a) Admonish a public officer or employee if it is determined that the public officer or employee has violated any provision of this chapter, but the violation is not willful, or if such an admonishment is imposed as part of the terms and conditions of a deferral agreement. An admonishment is a written expression of disapproval of the conduct of the public officer or employee.
- (b) Reprimand a public officer or employee if it is determined that the public officer or employee has willfully violated any provision of this chapter, but there is no evidence that the willful violation involved bad faith, malicious intent or knowing or reckless disregard of the law, or if such a reprimand is imposed as part of the terms and conditions of a deferral agreement. A reprimand is a severe written reproof for the conduct of the public officer or employee.
- (c) Censure a public officer or employee if it is determined that the public officer or employee has willfully violated any provision of this chapter and there is evidence that the willful violation involved bad faith, malicious intent or knowing or reckless disregard of the law or there are no substantial mitigating factors pursuant to NRS 281A.775 for the willful violation, or if such a censure is imposed as part of the terms and conditions of a deferral agreement. A censure is a formal written condemnation of the conduct of the public officer or employee.
- 3. Any action taken by the Commission pursuant to this section is a final decision for the purposes of judicial review pursuant to <u>NRS 233B.130</u>. Any action taken by the review panel pursuant to this chapter, including, without limitation, any action relating to a deferral agreement, is not a final decision for the purposes of judicial review pursuant to <u>NRS 233B.130</u>.

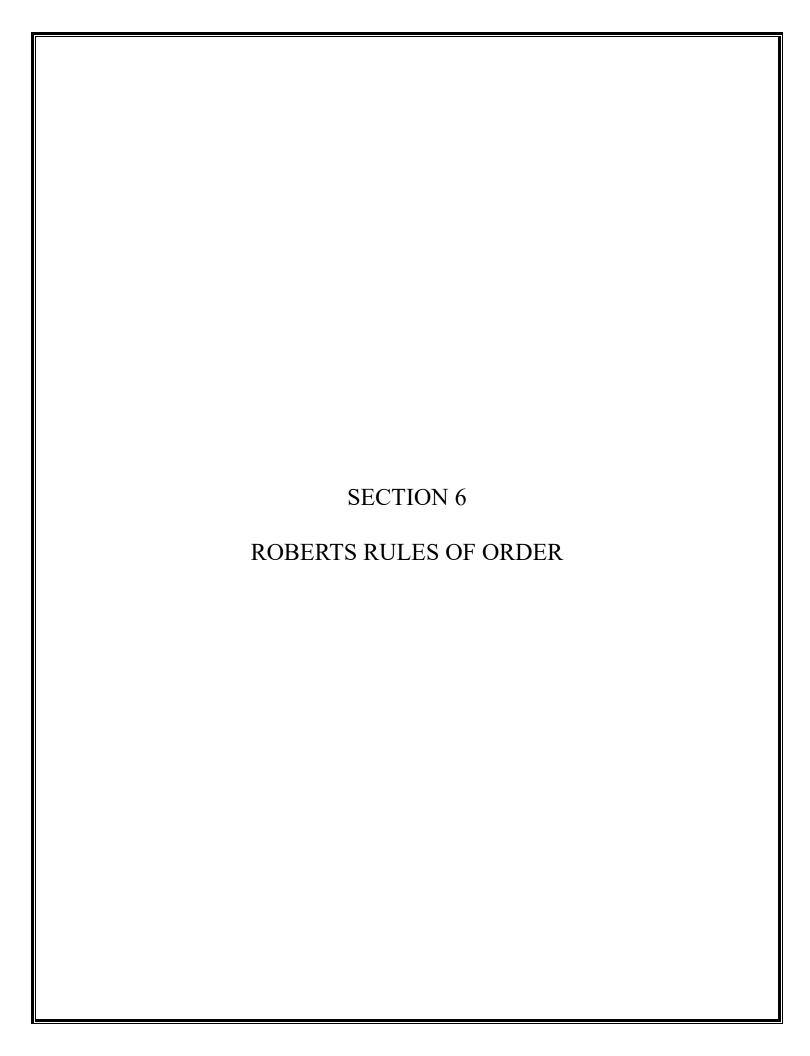
(Added to NRS by <u>2017</u>, <u>2486</u>)

# NRS 281A.790 Additional types of remedies and penalties; duties of Commission upon finding willful violation; circumstances in which violation not deemed willful; effect of chapter upon criminal law; judicial review of certain actions of Commission; burden of proof.

- 1. In addition to any other penalties provided by law and in accordance with the provisions of NRS 281A.775, the Commission may impose on a public officer or employee or former public officer or employee civil penalties:
  - (a) Not to exceed \$5,000 for a first willful violation of this chapter;
- (b) Not to exceed \$10,000 for a separate act or event that constitutes a second willful violation of this chapter; and
  - (c) Not to exceed \$25,000 for a separate act or event that constitutes a third willful violation of this chapter.
- 2. In addition to any other penalties provided by law, if any person prevents, interferes with or attempts to prevent or interfere with any investigation or proceedings pursuant to this chapter or the discovery of a violation of this chapter, the Commission may, upon its own motion or upon the motion of the current or former public officer or employee who is the subject of the investigation or proceedings:
  - (a) Impose on the person committing such an act a civil penalty not to exceed \$5,000; and
- (b) If appropriate under the facts and circumstances, assess against the person committing such an act an amount equal to the amount of attorney's fees and costs actually and reasonably incurred by the current or former public officer or employee as a result of the act.
- 3. If the Commission finds that a violation of a provision of this chapter by a public officer or employee or former public officer or employee has resulted in the realization of a financial benefit by the current or former public officer or employee or another person, the Commission may, in addition to any other penalties provided by

law, require the current or former public officer or employee to pay a civil penalty of not more than twice the amount so realized.

- 4. In addition to any other penalties provided by law, if a proceeding results in an opinion that:
- (a) One or more willful violations of this chapter have been committed by a State Legislator removable from office only through expulsion by the State Legislator's own House pursuant to Section 6 of Article 4 of the Nevada Constitution, the Commission shall:
- (1) If the State Legislator is a member of the Senate, submit the opinion to the Majority Leader of the Senate or, if the Majority Leader of the Senate is the subject of the opinion or the person who requested the opinion, to the President Pro Tempore of the Senate; or
- (2) If the State Legislator is a member of the Assembly, submit the opinion to the Speaker of the Assembly or, if the Speaker of the Assembly is the subject of the opinion or the person who requested the opinion, to the Speaker Pro Tempore of the Assembly.
- (b) One or more willful violations of this chapter have been committed by a state officer removable from office only through impeachment pursuant to <u>Article 7</u> of the Nevada Constitution, the Commission shall submit the opinion to the Speaker of the Assembly and the Majority Leader of the Senate or, if the Speaker of the Assembly or the Majority Leader of the Senate is the person who requested the opinion, to the Speaker Pro Tempore of the Assembly or the President Pro Tempore of the Senate, as appropriate.
- (c) One or more willful violations of this chapter have been committed by a public officer other than a public officer described in paragraphs (a) and (b), the willful violations shall be deemed to be malfeasance in office for the purposes of NRS 283.440 and the Commission:
- (1) May file a complaint in the appropriate court for removal of the public officer pursuant to <u>NRS</u> 283.440 when the public officer is found in the opinion to have committed fewer than three willful violations of this chapter.
- (2) Shall file a complaint in the appropriate court for removal of the public officer pursuant to <u>NRS</u> 283.440 when the public officer is found in the opinion to have committed three or more willful violations of this chapter.
- → This paragraph grants an exclusive right to the Commission, and no other person may file a complaint against the public officer pursuant to NRS 283.440 based on any violation found in the opinion.
- 5. Notwithstanding any other provision of this chapter, any act or failure to act by a public officer or employee or former public officer or employee relating to this chapter is not a willful violation of this chapter if the public officer or employee establishes by sufficient evidence that:
- (a) The public officer or employee relied in good faith upon the advice of the legal counsel retained by his or her public body, agency or employer; and
  - (b) The advice of the legal counsel was:
- (1) Provided to the public officer or employee before the public officer or employee acted or failed to act; and
- (2) Based on a reasonable legal determination by the legal counsel under the circumstances when the advice was given that the act or failure to act by the public officer or employee would not be contrary to the provisions of this chapter as interpreted by the Commission.
- 6. In addition to any other penalties provided by law, if a public employee commits a willful violation of this chapter or fails to complete a period of compliance imposed by the Commission pursuant to NRS 281A.785 or by the review panel as part of the terms and conditions of a deferral agreement, the public employee is subject to disciplinary proceedings by the employer of the public employee and must be referred for action in accordance to the applicable provisions governing the employment of the public employee.
- 7. The provisions of this chapter do not abrogate or decrease the effect of the provisions of the Nevada Revised Statutes which define crimes or prescribe punishments with respect to the conduct of public officers or employees. If the Commission finds that a public officer or employee has committed a willful violation of this chapter which it believes may also constitute a criminal offense, the Commission shall refer the matter to the Attorney General or the district attorney, as appropriate, for a determination of whether a crime has been committed that warrants prosecution.
- 8. The imposition of a civil penalty pursuant to subsection 1, 2 or 3 is a final decision for the purposes of judicial review pursuant to <u>NRS 233B.130</u>.
- 9. A finding by the Commission that a public officer or employee has violated any provision of this chapter must be supported by a preponderance of the evidence unless a greater burden is otherwise prescribed by law.
- (Added to NRS by 1977, 1108; A 1987, 2097; 1991, 1600; 1993, 2244; 1995, 2446; 1997, 258, 3330, 3333; 1999, 2564, 2743; 2001, 199; 2003, 3394; 2005, 1577, 2281; 2007, 639; 2009, 1064; 2013, 3782; 2015, 922; 2017, 2501)—(Substituted in revision for NRS 281A.480)



## **SECTION 6**

#### Roberts Rules of Order - Cheat Sheet

Robert's Rules of Order (1915) is the oldest and most commonly used guide to parliamentary procedure, a set of rules for conduct at meetings that allows everyone to be heard and to make decisions without confusion. Because of its age, the book has been adapted many times and has specific rules about meeting processes, making it confusing to many. The following guide serves as a cheat sheet for running effective meetings.

#### **MEETING STRUCTURE**

The following outlines the structure of a typical meeting using this method.

- Call to order.
- Roll call of members present (voting delegate to respond).
- Reading of the minutes of the last meeting.
  - Meeting leader typically will ask if there are any additions or changes to the minutes.
  - This typically will be followed by a vote to approve the minutes.
- Officers' reports -
  - These are simply updates and do not include votes.
- Committee reports
  - These also are updates and do not include votes.
- Old business
  - This is important business previously planned for discussion at the current meeting.
  - This can include items that were discussed at the last meeting, but more information was needed or they weren't on the agenda for a vote.
  - Old business can include votes.
- Regular business
  - This is any item listed on the agenda as regular business for the body to discuss.
  - The body can vote on each issue listed on the agenda.
  - The body cannot vote on any item not listed on the agenda.
  - The body also can vote to table discussion of any item until a later meeting, but they must either set a date for more discussion or postpone indefinitely.
- New business
  - Any new business or resolutions before the body that requires a vote.
  - This must also include a description on the agenda.
- Announcements
  - These are announcements from the body, but do not include votes.
- Adjournment
  - The meeting leader will move for adjournment, signifying the end of the meeting.

#### **TYPES OF MOTIONS**

Motions are typical methods used by members of a body to express themselves during a meeting. A motion is a proposal that the entire membership can take action on. There are six basic types of motions:

- Main Motions:
  - Introduces items to the membership for their consideration.
  - They cannot be made when any other motion is on the floor.
- Subsidiary Motions:
  - Change or affect how a main motion is handled, and is voted on before a main motion.
- Privileged Motions:
  - Bring up items that are urgent about special or important matters unrelated to pending business.
- Incidental Motions:
  - Provide a means of questioning procedure concerning other motions and must be considered before the other motion.
- Motion to Table:
  - Used in the attempt to "kill" a motion.
- Motion to Postpone:

- This is often used as a means of parliamentary strategy and allows opponents of motion to test their strength without an actual vote being taken.
- Also, debate is once again open on the main motion.
- This can be a postponement until a set date or indefinitely.

## **HOW TO PRESENT A MOTION**

Motions are presented by:

- Obtaining the floor
  - Wait until the last speaker has finished.
  - Rise and address the Chairman by saying, "Mr. (or Ms.) Chairman"
  - Wait until the Chairman recognizes you.
- Make Your Motion using "I move that we..."
- Wait for Someone to Second Your Motion
  - Another member can second your motion or the Chairman will call for a second.
- If there is no second to your motion, it is lost.

Parliamentary Procee	lure At A Glance -	your guide of what to sa	y and when to say it
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TO DO THIS:	YOU SAY THIS	May you interrupt the speaker?	Do you need a second ?	ls it debatable ?	Can it be amended ?	What vote is needed?	Can it be reconsidered ?
Adjourn Meeting	"I move to adjourn."	NO	YES	NO	NO	Majority	NO
Call an Intermission	"I move to recess for"	NO	YES	NO	YES	Majority	NO
Complain about heat, noise, etc.	"I rise to a question of privilege."	YES	NO	NO	NO	No Vote	NO
Temporarily suspend considering an issue	"I move to lay the motion on the table."	NO	YES	NO	NO	Majority	NO
End debate and amendments	"I move the previous question."	NO	YES	NO	NO	2/3	NO
Postpone discussion for a certain time	"I move to postpone discussion until"	NO	YES	YES	YES	Majority	YES
Give closer study of something	"I move to refer the matter to committee."	NO	YES	YES	YES	Majority	YES

Amend a Motion	"I move to amend the motion by"	NO	YES	YES	YES	Majority	/ YES
Introduce Business	"I move that"	NO	YES	YES	YES	Majority	y YES
THE MOTION	ONS LISTED AB	OVE ARE IN	ORDER OF	PRECEDANO	CE BELO	W, THERE IS	S NO ORDER
Protest breach of conduct or rules	"I rise to a point of order."	YES	NO	NO	NO	No Vote	NO
Vote on a ruling of the chair	"I appeal from the chair's decision."	YES	YES	YES	NO	Majority	YES
Suspend rules temporarily	"I move to suspend the rules so that"	NO	YES	NO	NO	2/3	NO
Avoid considering an improper matter	"I object to consideratio n of this motion."	YES	NO	NO	NO	2/3	YES 2
Verify a voice vote by having members stand	"I call for a division," or "Division!"	YES	NO	NO	NO	No Vote	NO
Request Information	"Point of information"	YES	NO	NO	NO	No Vote	NO
Take up a matter previously tabled	"I move to take from the table"	NO	YES	NO	NO	Majority	NO
Reconsider a hasty action	"I move to reconsider vote on"	YES	YES	YES	NO	Majority	NO

